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## ANALYSIS AND PREDICTION OF EFFECTIVENESS FOR

## **RESIDENTIAL HVAC DUCTWORK**

by

Jinson J. Erinjeri, M.S.

A Dissertation Presented in Partial Fulfillment of the Requirement for the Degree of Doctor of Philosophy

## COLLEGE OF ENGINEERING AND SCIENCE LOUISIANA TECH UNIVERSITY

March 2007

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## ABSTRACT

An analysis of residential HVAC ductwork has been performed. Ductwork in 55 homes in Northern Louisiana was tested to determine duct leakage by means of using various measurement techniques to determine the differences between the existing methods as well as the revised method developed in this project referred to as Generalized Subtraction Correction Algorithm (GSCA). A protocol to measure and estimate return leaks at operating pressure was developed. The weighted average return leakage for the homes sampled was determined to be 115 cfm at operating pressure whereas the weighted average duct leakage was determined to be 348 cfm at 25 Pa. A methodology for determining supply leaks at operating pressure based on the input from the return leaks was also derived. Annual energy savings by sealing duct leaks was determined using both REM/Rate<sup>TM</sup> and a new protocol developed by combining REM/Rate<sup>TM</sup> and ASHRAE<sup>TM</sup> 152. These protocols gave substantially different results and the reasons for using the newly developed protocol are presented. Using the combined protocol, the average annual heating and cooling cost per home due to duct leakage was determined to be \$347. Homes were also tested for duct leaks in both pressurization and depressurization mode to determine whether the measurements differed. A statistical test on these differences indicates that there are reservations in using these two modes interchangeably. Additionally, the data was statistically analyzed to determine various correlations between various measured and derived parameters.

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## **CHAPTER 1**

## INTRODUCTION AND RESEARCH OBJECTIVES

## 1.1 Introduction

Leakiness of forced-air distribution systems is one of the major causes of highenergy consumption in homes. Our State of Louisiana is no exception to such leaks. According to the Energy Information Administration (EIA) [1], the State of Louisiana ranks second highest among the states in energy consumption by source and total energy consumption per capita. In an earlier study performed by Erinjeri [2], the contribution of leakiness via HVAC ducts to this energy use was determined using various existing methods, and a comparison and analysis of these methods were performed.

Figure 1.1 represents the air leakage through the various components in a typical house. It can be seen that majority of the leaks are contributed by the plates (sills and the intersection of walls and ceilings), the HVAC system, and the fireplace. This study, however, mainly focuses on the HVAC part of the air leakage, especially duct leakage. In addition, this study also encompasses other sources of air leakage, with a concentration on energy losses. Leaks through the building envelope also constitute a major source of energy loss in residential buildings.

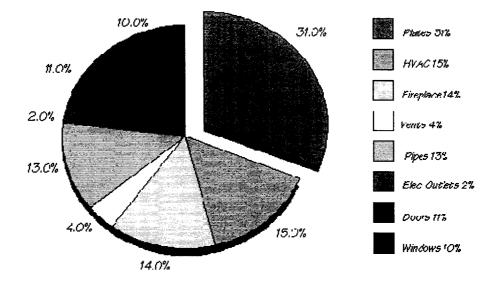


Figure 1.1 Sources of air leakage [3].

In addition, this phase also focused on determining a more effective way of determining duct leakage, resulting in the creation of the Generalized Subtraction Algorithm. The main reasons for this phase of the study were as follows:

- 1. There was and still is no standard test procedure that can be used to estimate the duct leakage precisely for a given home.
- 2. New technology has led to the increased replacement of manually collected data methodologies by automated collection methods. Such methods are inherently more accurate because of the reduced error in each datum collected, and the fact that hundreds of times more data are collected for each test. Moreover, such tests actually require less operator time.
- 3. There is a continuing debate regarding the best practical method to use and the applicability of these tests to predict duct leakage in an actually running HVAC system.

- There was a need to determine the differences between the existing methods which led to the development of new duct leakage methodology, Generalized Subtraction Correction Algorithm (GSCA).
- 5. The measurements of estimated duct leakage by employing the Generalized Subtraction Correction Algorithm (GSCA) was compared with the existing method to determine the similarities and differences between them.

Fifty-five homes in Northern Louisiana were tested to compare the GSCA with the existing methods. This phase of the project also compared the existing methods statistically to determine how well one method predicts the results of the other methods. This phase of study also attempted to determine an empirical relationship between whole house leakiness and building characteristics. Multiple regression techniques were employed to determine this empirical relationship.

Duct leakage can occur in both the supply and the return side of the duct system. Some sources of leaks are readily accessible, while others are much more difficult to access for repair. In particular, the return side of the duct system is more easily accessible than the supply side. The second phase of this study includes development of a new test protocol to measure return leaks. The main focus on this phase of study was the following:

- To develop a protocol to measure return leaks with resources available to the energy auditor as there is no existing standard method for measuring return leakage.
- 2. To measure the return as well as the supply leaks at operating pressure.
- 3. To develop a database of return leakage verses supply leakage.

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4. To determine whether or not there are meaningful statistical differences in measurements between the pressurized and the depressurized conditions.

The third phase of the study is the development and testing of a new duct-sealing technology in laboratory conditions. The main reason for this study is that present-day duct sealing technologies can be expensive and time consuming due to the inaccessibility of the locations of these leaks. In addition, some of the duct sealing techniques are found to have health-related problems as they use various biocides along with the sealants. The vapors of such biocides are harmful, if inhaled in excess. The focus on return leaks becomes critical because they are easier to seal than the supply leaks, and are frequently of a similar size. The basic duct sealing technology development concept is to transfer the technology from the well understood and broadly established, piping-industrystandard techniques utilized to internally seal underground pipes to internally sealing HVAC duct systems. This report highlights the feasibility study on a promising duct sealing technology performed in laboratory conditions. This phase also projected the average residential energy savings derived by sealing duct leaks. To obtain the energy savings due to sealing of the duct leaks an energy audit of individual homes was performed. In this study, a sample of 43 homes were tested for duct leaks and audited for energy efficiency.

#### 1.2 Objectives

The objectives for this study were the following:

 To utilize the duct-leakage database generated in the thesis titled "Testing Ductwork in Residential Buildings" (Erinjeri, 2002) to: 4

- a. estimate the average duct leakage in residencies in the Northern regions of Louisiana
- compare the results obtained by utilizing various testing methods for measuring duct leakage, and determine the consistency of these results
- c. estimate the total energy cost to Louisiana homeowners caused by ductleakage
- to develop a more effective method of measuring duct leakage, namely the Generalized Subtraction Correction Algorithm (GSCA)
- to compare the results obtained utilizing GSCA for measuring duct leakage over the existing methods.
- to determine an empirical relationship between whole-house leakiness and building characteristics
- 5. to develop a test protocol to determine the return leaks at operating pressure and collect sufficient data to generate a database on residential return duct-leakage
- 6. to develop a methodology for determining the supply leaks at operating pressure
- to statistically determine whether or not there are differences in the measurements of duct leakage between the pressurized and depressurized conditions
- to develop and test cost-effective methods to significantly reduce duct-leakage, specifically to:
  - a. perform a feasibility study on a new duct-sealing technology in laboratory conditions
  - b. measure the actual average effectiveness of the duct-sealing technology in both the supply and the return system of the duct system

- to project the average residential energy savings derived from sealing residential duct leaks
- 10. to communicate the results of this investigation to energy raters, retrofitting building contractors, and the general public to encourage the adoption of this technology in sealing duct leaks.

#### 1.3 Organization

Chapter Two provides the primary literature review performed for this study. Chapter Three gives a statistical analysis of the comparison of duct leakage results provided by automated and manual tests. In Chapter Four, an empirical relationship between the whole-house leakiness and the building characteristics is presented. Chapter Five describes the development of a new algorithm (GSCA) for determining duct leakage. Chapter Six describes a protocol to test and measure return leaks. Chapter Seven provides the data obtained from the actual measurement of duct leakage, taking into account both supply and return leaks. In Chapter Eight, a statistical analysis is presented for determining the differences between pressurized and depressurized conditions on various measurement protocols. Chapter Nine presents a detailed procedure for determining the energy efficiency of a home. This chapter also projects the energy saving in dollars that would result from the sealing of the ducts. Chapter Ten describes a feasibility study on a new duct sealing technology to seal supply and return leaks in laboratory conditions. In Chapter Eleven, the conclusions and outlook for future work in this area is presented.

## **CHAPTER 2**

## LITERATURE REVIEW

Existing ductwork has been shown to have substantial leaks in tests performed on existing structures in several states including Arkansas, Washington, and Florida [3, 4, 5]. Reported findings include the following:

- 1. The leaks are primarily associated with holes or disconnects in the ducts.
- 2. The main driving force for duct leakage is the HVAC blower.
- 3. Poor workmanship on the ducts, the wrong materials, and damage by humans and animals are the main causes of the holes in the duct system.
- 4. Duct leakage results in a significant increase in the summer cooling energy costs of homes due to
  - the duct placement outside of conditioned space
  - an attic environment, typified by high temperatures and high humidity levels, that allows hot, humid air to be added to the cooling load. Duct leakage similarly adds to the winter heating load by allowing additional unconditioned air into the home.

Due to high temperature and humidity in Louisiana and other Southeastern US states, the leakage of unconditioned air into the duct system and/or building envelope can lead to more than a doubling of the heating and cooling energy costs. An estimated

15 to 30 percent of a home's total heating and cooling energy is lost through leaky ductwork, costing consumers about five billion dollars per year [6].

The RCDP (Residential Construction Demonstration Project) in the Northwest (Idaho, Montana, Oregon, and Washington) funded a project to determine duct leakage in representative homes in their area [7]. They found that the houses had a large range in duct leakiness, from 0 cubic feet per minute at 50 Pascals of pressure (CFM50) to 465 CFM50. In addition, contractors reported duct-sealing costs averaging \$355 per house beyond what they normally would spend on installation, with a range from \$100 to \$900 [7]. Field studies performed in Washington, D.C. and Minnesota revealed that the ducts leaked by about 1300 CFM50 and 2217 CFM50 respectively [8].

According to the Energy Information Administration (EIA) [1], the State of Louisiana ranks second highest among the states in energy consumption by source and total energy consumption per capita. A study by Erinjeri of 55 homes in Northern Louisiana has determined average duct leakage in residential homes in the range of 313 CFM25. However, in Chapter Three, we determined the average duct leakage to be 29% with a projected loss of HVAC efficiency of over 70%. This efficiency loss was determined using the HVAC efficiency tables published by Jeffrey S. Tiller in the book titled *Builders Guide to Energy Efficient Homes in Louisiana* [9]. In Chapter Nine, the problems associated with regards to the application of this table in determining efficiency loss are presented. In addition, a new approach in estimating % energy wastage due to duct leakage is also presented.

In this study, data collected by Erinjeri in each of the 55 homes tested in North Louisiana were used to determine duct leakage. Many testing procedures were utilized in each home for the following reasons:

- 1. The test procedures used in this study were almost precisely identical to tests commonly employed in general practice.
- 2. There was and is still no standard test procedure that can be used to determine the correct duct leakage value. At the time of the design of these tests, one of the tests we actually performed, a test we call "Combined", was starting to be generally recognized as the standard. It had, in the previous few years, overtaken the older standard, called Modified Subtraction. Erinjeri [2] also performed many common variants of both of these tests in his study.
- 3. New equipment has made Modified Subtraction with manually collected data increasingly replaced by automated collection methods. Such methods are inherently more accurate because of reduced error in each datum collected and hundreds of times as much data are collected for each test. Moreover, such tests actually require less operator time.
- 4. There is a continuing debate regarding the best practical method to use.
- 5. Since these two standard kinds of tests are commonly performed at different pressures, namely direct fan pressurization of ducts is normally performed at 25 Pa, while Modified Subtraction is normally performed at 50 Pa, some rationale is needed to compare these tests.
- 6. There is a debate about the applicability of these tests to predict duct leakage in an actually running HVAC system.
- Part of the purpose of this study was to provide some evidence that one test method out performs another in some or most cases.
- A few modifications in duct-leakage calculations were possible due to the contributions made by researchers in this field mainly;

- a. In Modified Subtraction, the duct leakage exponent is assumed to be 0.65.
  This value may have been chosen because it is the apparent average house-leakiness flow exponent of thousands of homes' tested. However, with automated equipment, it is possible to accurately measure any particular home's house-leakiness flow-exponent. Therefore alternative values for this variable can be used.
- Experimentally measured, mean duct-leakage flow-exponent of 0.60 allows for a renormalization of duct-leakage flows taken at 50 Pa to 25 Pa and visaversa. The details of this study regarding mean duct leakage flow exponent of 0.60 is presented in the Chapter Three.
- c. Using a generalized version of Modified Subtraction as presented in Chapter Four, it is possible to test duct leakage with a Blower Door<sup>TM</sup> at any duct pressure.
- d. Unlike older versions of the Duct Blaster<sup>TM</sup> manual that required no use of actual measured attic pressure, the latest versions of the manual require testing ducts at the pressure difference between the ducts and the attic.

The series of duct leakage tests applied in this study were not only designed to study the relative merit of the commonly used duct-leakage tests but also to check the predictable size in errors in duct-leakage calculation caused by inaccuracies of inherent theoretical assumptions. All the statistical analysis pertaining to this study is presented in Chapter Three of this dissertation.

Typical duct systems lose 25% to 40% of the heating energy or cooling energy put out by a central furnace, heat pump, or air conditioner [10]. Duct repairs made on 25 homes in Florida indicated that 14.0% of the house leaks were in the duct system. Repair of these duct leaks reduced the house ACH50 from 12.30 to 11.13 indicating that 68% of the duct leaks were repaired [11]. They also showed that duct repairs reduced winter peak demand in electrically heated Florida homes by about 1.6 kW per house at about one-sixth the cost of building new electrical generation capacity [11]. Other effects of duct leaks include the following:

- increased peak electrical demand requiring a higher capital cost to the utility (that can be higher than the operating or capital cost to the homeowner) due to the increased demand during the utilities' peak-demand period.
- oversizing condenser and air handling systems to compensate for duct leaks –
   adds to the capital cost to the homeowner and creates humidity problems in the home.
- indoor air-quality problems associated with excess or incompletely handled humidity and dirt in the home as well as mechanical ventilation induced airinfiltration – these effects raise the energy bill, decrease comfort, and threaten the health of the residents as well as the longevity of the HVAC equipment.
- increased indoor relative humidity the resulting potential for fungus, mold,
   mildew growth, and condensation on surfaces threaten human health and
   building longevity.
- possible depressurization of the house, and the possibility of back-drafting of flue gases threatens occupants' safety with fires or carbon monoxide poisoning.

Diagnosis is the key to successful repair; namely, the leak sites must be found. Ducts leak as the result of failure of duct materials, duct sealants, duct sealant application methods, poor workmanship, inadequately sealed original mechanical joint systems, and damage by humans and animals. Duct repair can also be a very costeffective means to solve building moisture problems such as mold, mildew, moisture saturation, and material decay. Leaks in return ductwork draw air into the house from crawlspaces, garages and attics, bringing along dust, mold spores, insulation fibers and other contaminants [12]. The repair of 70% of duct leakage in the typical Florida home has been shown, by simulation studies [13, 14] to reduce cooling energy by 21%, and peak demand by 25%, on a peak summer day. Recommended priorities when designing a new home or retrofitting an existing home in Florida include a maximum tested ductleakage of 25 cfm/1000 sq. ft of conditioned floor area at a 50 Pa test pressure, and all ductwork installed in attics insulated with R-8 or better [15].

There has been a continuous encouragement for the use of high-energy efficient HVAC equipments. However, this impetus does not necessarily result in the returns anticipated over a period of time. It has been shown that a 13 SEER air conditioning unit connected to a duct system with a 30% leakage costs the same to operate as a less expensive 10 SEER unit connected to a tight system. Sealing ductwork would have a considerable impact on summer peak loads by allowing smaller, more efficient cooling equipment to be installed, resulting in an additional reduced expense to the customer. The main areas of concerns researchers found in regards to inefficient duct systems are the use of building cavities as ductwork, excessive use of flex duct and poorly designed duct systems [16].

The return part of the duct system is frequently constructed differently from the supply side, and is believed to cause a significant and frequently larger contribution to duct leakage. Therefore, obtaining a database on the contribution of return vs. supply leakage can be useful in developing cost-effective duct-sealing technology. A previous study by Synertech of Syracuse, New York [8] tested basements in roughly 400 houses. The results showed that roughly 70% of these houses had leaky ducts with return leaks greater than supply leaks in 60% of the houses. Duct-system related studies in Washington, D.C. [8] revealed that for houses in which the supply and the return leaks were differentiated, 55% of the leakage was in the return ducts and a significant fraction of that was to outside, through the attic. Studies performed by North Carolina Alternative Energy Corporation have shown that a deficiency of 20% in indoor airflow reduced the SEER rating by 17% [17]. HVAC systems having a 15% return leak (from a 120-degree attic) can reduce the effective capacity or, equivalently, Energy Efficiency Ratio (EER) of the system by 50% [9]. A 30% return leak can result in cooling demand that would exceed the capacity of an otherwise properly sized HVAC system. The adverse effects of return leaks are many, including causing, or enhancing major health problems.

With small rips, separations, or cracks in return ducting, air can bypass the filters and the cooling coils. The unconditioned and unfiltered air then enters the supply duct system and is distributed to the entire house. When unfiltered, humid air, consistently reaches the evaporator coil, clogging can result, thus decreasing the efficiency of the cooling system, reducing the airflow through the duct system, lowering the equipment's useful life and threatening the homeowner health with allergic reactions to mold and the possibility of Legionnaire's Disease.

During the winter or in colder climates than usually found in Louisiana, dominant return leaks can pressurize a home and force the normally present warm and moist air through exterior walls and ceilings, causing condensation on cold surfaces within the structures [18]. Under these circumstances building material durability is threatened by fungal growth, mildew and rot. If the surface relative humidity exceeds 65% to 70% on a continuous basis, then molds can amplify and create a problem, particularly in the absence of light and airflow [19, 20]. During the summer or in warmer climates common in Louisiana, return leaks frequently cause the HVAC blower to draw air from the attic where the air temperature and humidity level are often higher than ambient outside air. Thus in the summer time a return leak may draw more humid, 150°F air into the duct-system to mix with the 70 - 80°F conditioned, house air. The higher return air temperature and humidity can overwhelm the system capacity, making it impossible to cool the home. Often HVAC contractors choose to "fix" the problem by installing higher capacity units instead of tightening the duct system; although this overcomes the temperature problem, it simultaneously causes the relative humidity to rise in the home. This "solution" then causes two problems: (1) a lower comfort level at temperatures between 75 to 79°F – which causes the homeowner to lower the thermostat's set-point and waste energy and (2) poor indoor air quality, which threatens the health of the homeowner and the building's durability. Thus the adverse effects of return leaks include the following:

- increased capital cost of oversized air handling systems to compensate for duct leaks.
- increased capital cost of peak-electricity generation capacity of the local utility.
- increased energy costs for homeowners.
- decreased comfort for homeowners.
- increased health risk to homeowners.

- increased risk of damage to homes from moisture-related degradation of building materials.
- increased relative humidity in the summer.
- potential for mold and mildew growth and condensation on surfaces.
- increased potential for mold growth on the cooling coil (evaporator) which degrades system efficiency and shortens system life.
- increased potential harboring and transmission of airborne diseases.
- increased potential of residents developing allergies from increased exposure to mold.

This study has developed a protocol to measure leaks in the return system. The experimental setup and the process of measurement of return leakage is presented in Chapter Six. The return leakage is also represented as a size of a single hole in square inches, which is the cumulative size of all the holes. This representation lets one visualize the effect of return leaks on energy loss. In addition, this study has developed and demonstrated cost-effective energy-conserving methodologies that can result in substantial benefits to all citizens of the country. Furthermore, duct leakage has the greatest proportional effect on lower-income individuals because they spend a higher percentage of their income on air conditioning.

Measurement of duct leaks as a whole and return leak separately was performed in 43 homes both in the pressurized and the depressurized conditions. The presence of significant differences in measurement between the two conditions is critically important for the research community because the presence of duct leaks is generally measured by pressurizing the house as well as the duct system. However, in normal HVAC operating conditions, the return plenums are depressurized. Thus, the question is whether the measurement made by pressurizing the return plenum accurately measures the pressure in the normally operating HVAC system where in the return plenum is depressurized. The basic reasoning behind the differences becomes the foremost issue once we determine that the differences are significant. Chapter Eight presents the results of these measurements as well as the statistical analysis of the differences in these measurements.

Two key issues that have recently emerged after World War II in regard to construction of homes are healthy and tight homes. The healthy home refers to buildings that are environmentally friendly, family safe, properly ventilated, and free from indoor pollutants. Tight construction refers to homes that are energy efficient, with an indoor environment well controlled through mechanical ventilation systems [21]. In reality, a compromise must exist between the above two issues for a good home. This report mainly deals with a study regarding the tightness of homes in Northern Louisiana, with the assumption that the homes have an efficient ventilation system.

Air-tightness quantifies the tendency of a home to allow air to flow through its pressure envelope in a range of pressures (typically between 4 and 50 Pascal's) against that envelope [21]. Air-tightness of buildings directly reflects air leakage sites. Air leakage sites include exterior doors, windows, foundations, electrical boxes and plumbing fixtures [22]. Building air-tightness measurements are used for a variety of purposes such as [23]:

- 1. Documenting the construction air-tightness of buildings.
- 2. Estimating natural air infiltration rates in houses. Air infiltration is nothing but air that leaks into the building through cracks or gaps.
- 3. Measuring and documenting the effectiveness of air sealing activities.

4. Measuring duct leakage in forced air distribution systems.

There are a number of standardized formats for measuring air-tightness as described in Minneapolis Blower  $\text{Door}^{\text{TM}}$  Operation Manual. However, this study will focus on three commonly used formats namely Cubic Feet per Minute at 50 Pa (CFM50), Effective Leakage Area (ELA) and Equivalent Leakage Area (Eq.LA) Cubic Feet per Minute at 50 Pascals (CFM50):

CFM50 is the airflow (in cubic feet per minute) through the Blower Door<sup>™</sup> fan needed to create a change in building pressure of 50 Pa. It is the most common measure representing air-tightness [21].

Effective Leakage Area (ELA):

ELA was developed by Lawrence Berkeley Laboratory (LBL) and is used in their infiltration model. The Effective Leakage Area is defined as "the area of a special nozzle-shaped hole that would leak the same amount of air as a building does at a pressure of 4 Pa." ELA is most often expressed in square inches (sq. in.) [21].

Equivalent Leakage Area (EqLA):

EqLA is defined by Canadian researchers at the Canadian National Research Council as "the area of a sharp-edged orifice (a sharp round hole cut in a thin plate) that would leak the same amount of air as the building does at a pressure of 10 Pascal's" [21].

For air leakage to occur there must be both a hole or crack and a driving force (pressure difference) to push the air through the hole. The five most common driving forces, which operate in buildings, are [21]:

1. Stack Effect: Stack effect is the tendency of warm buoyant air to rise out the top of a building and be replaced by colder outside air entering the bottom.

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- 2. Wind Pressure: Wind blowing on a building will cause outside air to enter on the windward side of the building and leave on the leeward side.
- 3. Point Source Exhaust or Supply Devices: Chimneys for combustion appliances and exhaust fans push air out of the building when they are operating.
- 4. Duct Leakage to the outside: Leaks in forced air duct systems create pressures, which increase air leakage in buildings.
- Door Closure coupled with forced air duct systems: Research has shown (Minneapolis Blower Door<sup>TM</sup>) that in buildings with forced duct systems, imbalances between supply and return ducts can dramatically increase air leakage.

Any of the above factors will lead to a pressure gradient between the inside of the home and the outside. This pressure gradient (the driving force) along with the presence of a hole or crack propagates the air leakage. However, it is very difficult to quantify all of the above driving forces at the same time, and obtain a fixed consistent air-tightness value. Specialized devices are used to measure the air-tightness of homes, but these measurements are subject to change when the magnitude and direction of driving forces change.

In regard to the air-tightness, this study involves developing an empirical model to estimate the air-tightness of residential buildings without actually performing a Blower Door<sup>TM</sup> test. The Minneapolis Blower Door<sup>TM</sup>, manufactured by the Energy Conservatory is a specialized tool used to measure air-tightness in residential buildings [21]. The Blower Door<sup>TM</sup> fan blows air into or out of the building to create a pressure gradient between the inside and the outside of the building. This pressure gradient is used to measure the air-tightness in terms of volumetric units. Avoiding the use of

Blower Door<sup>TM</sup> to obtain air-tightness will be very beneficial to those who want a quick and reasonable estimate. This model will estimate the air-tightness of a given house based on the physical information such as the year of construction, conditioned area, conditioned volume, the number of stories and the number of bedrooms. The estimate of the air-tightness obtained from the will be compared with houses of known air-tightness to check for its effectiveness. It is important to note that such a model will be applicable to Northern Louisiana only, because we assume that the houses in this region have similar kind of building and environmental characteristics. We have not found such airtightness models applied by any industry in a particular region. This region-wise specific model is the first approach in this direction. There are some building diagnostic softwares in the market to determine the air-tightness values such as TECTITE<sup>TM</sup> and ZipTest Pro<sup>TM</sup> [23]. These software programs are used at homes while performing airtightness tests. In addition, these programs require various user inputs such as CFM50, leakage flow exponent, the weather factor, floor area, building volume, building height, and occupant count. However, this study seeks to limit the user input by considering a given region in the US rather than stretching the estimations to the whole of US. The usefulness of the model developed lies in the fact that it is less time consuming to reasonably estimate air-tightness. In this study, we have grouped the homes with air infiltration based on area and age. The advantage of this model is that based on the physical information of the house, the air-tightness can be determined without even visiting the house. However, the disadvantage is that individual houses are unique, and the deviation from the mean may be very large. Thus in some instances, with certain categories (age, location, etc.), the model may be more efficient in determining which houses should be tested, rather than be used to give specific values for an home.

The third phase of the study involves sealing of duct leaks. Sealing duct leaks is very important as it helps in:

- 1. reducing the amount of heated or cooled air the supply fan must run to deliver the same amount of air to the conditioned space
- 2. saving energy and also save homeowners money
- 3. improving indoor air quality
- 4. enhancing human comfort

The most commonly used sealant methods are duct tape, foil tape and fiberglass tape, which in actuality does not adequately seal joints between ducts and has a short life. Researchers at Department of Energy's Lawrence Berkeley National Laboratory have developed aerosol spray sealants [24] capable of sealing cracks of 1/4 inch or less in diameter. The sealant is a fine mist of vinyl plastic monomer injected into the duct system by a computer-controlled machine which forces sealant-laden air out of cracks and leaks. As the air leaves the ducts, sticky particles are deposited where leaks occur and seal the leaks. The technology is effective in sealing supply leaks effectively and costs (1998) between \$450 and \$600, depending on what needs to be done; roughly the same as the cost of hand sealing though less labor intensive [25, 26]. Measurements have demonstrated that aerosol duct sealing systems can only reduce duct leakage rates to between 2% and 3% in commercial buildings. However, aerosol duct sealing is a laborintensive service that costs on the order of \$0.40/ft<sup>2</sup> (of floor space), with light commercial buildings costing slightly less and large commercial buildings costing slightly more (due to system complexity). Because the average commercial building spends approximately \$0.60/ft<sup>2</sup> each year on HVAC energy consumption, aerosol duct sealing will pay itself back in about ten years [26]. It is important to note that this estimate does not include any impact on peak electricity demand which, due to the strong correlation between air-conditioning loads and peak electricity demand, would tend to improve the economics of duct sealing. The authors of the article titled "Improved Duct Sealing" states that cost reduction opportunities exist for the aerosol sealing technology [26]. Therefore, inexpensive, more stable and permanent materials need to be researched.

The third part of this study is the development, testing and education of the public regarding innovations in duct-sealing technology. This study has attempted to develop a cost-effective technique to find and seal duct-leaks effectively, thereby reducing air conditioning-related energy consumption in existing construction. The basic development concept is to transfer the technology from the well understood and broadly established, piping-industry-standard techniques utilized to internally seal underground pipes to internally sealing HVAC duct systems. As underground pipe systems (e.g., water lines) age, they frequently have similar leak problems to those found in duct systems. To avoid having to dig up the pipes, an otherwise very expensive operation, methodologies (Trenchless Technology) have been devised to fix/seal these flaws from the inside. Namely, they use robotic or pull-through cameras [27] to access the condition of the system, and location and severity of any leaks found. One of two means is then employed to seal leaks. The first method employs a robotic or pullthrough observation and spray-sealing system. It is passed through the pipes and an epoxy sealer is sprayed on the walls of the pipes to seal the leaks from the inside. The second method inserts a folded inflatable lining through the damaged pipe system. The lining is then expanded like a balloon, expands, and is sealed to the walls of the pipe. Holes are then cut for laterals. Since underground systems are designed to operate under higher pressures than duct-systems, they are usually heavier, structurally robust and can support significant weight; therefore, the equipment used to seal them is similarly heavy. Ductwork is less robust than pipelines and may not be able to support heavy equipment. On the other hand, the conditions within a duct system are probably cleaner and more suitable for imaging than underground systems. However, because the problems for sealing leaks in pipes/ducts transporting fluid (water/air) are similar, it is expected that a similar solution can be employed. In researching the application to ducts, we found that the liners were comparatively expensive, and there is a need to develop thinner liners that would be cheaper. There are two specific applications where this may be appropriate: (1) holes which are too difficult to access or too large to be sealed by the spray technology and (2) where ducts may be too flexible or flimsy to support the spray equipment. The first case includes return chases to attic HVAC units, which typically may have very large holes to the attic. The second case is typical of flex-duct systems wherein the expanding liners after curing would make the ducts rigid, and, therefore more robust and durable - significantly increasing their longevity. It is widely believed that the first of these two problems can be more economically repaired with simpler technology. But the marketplace for flex ducts may be greater than a simple fix for duct leakage, because there is a large difference in longevity between the more expensive metal duct-systems and flex ducts. Thus the same technology originally conceived for duct leakage repair could become a cost-effective original installation option for new construction. However, for most cases, the spray technology would be cheaper and simpler to use. This research has focused on developing the spray technology and demonstrating its cost-effectiveness on sealing duct-systems. This dissertation presents a feasibility study performed in the laboratory for sealing ducts. Various critical zones of a duct system where duct leak occurs were sealed with a special sealing compound. This compound was tested for its sealing capabilities in laboratory conditions with the goal of developing a spray technology to seal ducts. In particular, the compound was initially tested by applying the compound with brush on metal ducts as well as wooden structures. The study on return leaks becomes vital, as it is easier to detect and seal return leaks than supply leaks. If return leaks dominate in a home, then it is more practical to seal the return than the supply leaks.

In Chapter Nine, we discuss the issues relating to the HVAC efficiency tables published by Jeffrey S. Tiller's book titled *Builders Guide to Energy Efficient Homes in Louisiana* [9]. We have addressed the ASHRAE<sup>TM</sup> 152 standard and employed it in conjunction with REM/Rate<sup>TM</sup> to study the potential average energy savings that sealing duct leaks would have for Louisiana homeowners. In addition, we have compared these results with the most widely used auditing software - REM/Rate<sup>TM</sup>. The information on energy savings will then be used to estimate the total cost of duct leakage to Louisiana citizens. This study comprised of testing the 43 homes to determine the difference in average annual utility costs incurred by an individual homeowner with and without duct leaks.

In response to market pressures, we expect that many retrofitting and new building contractors will consider these methodologies. In a market already sensitized to the economic, global-political and environmental needs for conservation and to the very common desire to capture the potential cost savings and improved environmental health aspects, many contractors will be looking to new construction and repair methods. This research's target audiences are building contractors and architects. The work on this project will highlight the importance of the constructing of leak-proof return systems. It will also enable home energy raters to more reliably determine the source of duct leaks in a home. The information provided by this study can be also extended to small commercial buildings because the principles behind the construction and functionality of HVAC systems, as well as the equipment, are often identical.

# CHAPTER 3

# STATISTICAL COMPARISON OF DUCT LEAKAGE TESTS

In this chapter, the analysis of duct leakage in the 55-home sample from the study by Erinjeri [2] was used. The mean (average) duct leakage as estimated by various test methods is summarized. After an extensive discussion of sources of error that may explain their differences, Automated Performance Testing (APT) and Manual test results are compared. Combined duct leakage is extrapolated to 50 Pa and a mean of the ductleakage flow-exponent is calculated.

The study of duct leakage in the 55-home sample included measurements utilizing the three methods, namely:

- Subtraction Correction method using a DG-3 gauge and a Manual data collection algorithm
- (2) Subtraction Correction method using APT hardware and  $\text{TECTITE}^{\text{TM}}$  software
- (3) Duct Blaster<sup>TM</sup> assisted by Blower Door<sup>TM</sup> (referred to as "Combined").

On analyzing, it was found that, among Blower-Door<sup>TM</sup>-only methods, the depressurized APT method produced the best data; unless otherwise stated, duct leakage results are derived from this test (DU-2A together with DT-6A). Erinjeri has presented in detail all the notations and explanations of the test procedures in his study [2].

#### 3.1 Mean Duct Leakage

Mean Duct Leakage is presented in Table 3.1; its rows and columns summarize the source duct leakage measurements found in Appendix A1. For the most part, at each home, duct leakage was measured multiple times by using most of the five tests; the prevalence of each of these tests is listed in the second column of Table 3.1. Mean values are presented on the first five rows of Table 3.1.

| Tests                                 | Number of<br>Tests | Mean<br>Duct-<br>Leakage-<br>to-Outside<br>(cfm) | Standard<br>Deviation<br>(cfm) |
|---------------------------------------|--------------------|--|--------------------------------|
| 1. APT Depressurized (50 Pa)          | 42                 | 733  | 859                            |
| 2. Manual Depressurized (50 Pa)       | 43                 | 645  | 578                            |
| 3. APT Pressurized (50 Pa)            | 16                 | 459  | 261                            |
| 4. Manual Pressurized (50 Pa)         | 16                 | 654  | 334                            |
| 5. Combined (25 Pa)                   | 43                 | 313  | 154                            |
| 6. Combined (50 Pa)                   | 43                 | 474  | 233                            |
| 7. Weighted Sum of all tests at 50 Pa | 160                | 604  | 503                            |
| 8. Weighted Sum of all tests at 25 Pa | 160                | 399  | 332                            |

 Table 3.1 Mean duct-leakage-to-outside.

Because the Combined data were entered into Table 3.1 as interpolated to 25 Pa and the other data at 50 Pa, a sixth row was created as follows: an entry in row five was multiplied by  $(50/25)^{0.60}$  to obtain the corresponding entry in row six. The seventh row of Table 3.1 presents a weighted sum of duct leakage measurements at 50 Pa. The eighth row presents a weighted sum of the duct leakage measurements at 25 Pa; it was obtained from the seventh row by multiplying by  $(25/50)^{0.60}$ .

Unlike the directly obtained means (averages) described herein, this chapter also provides a more detailed and complete statistical analyses of the raw data. For example, at the conclusion of this chapter, Table 3.10 displays revised values for the same parameters displayed in Table 3.1; i.e., the means were recalculated using subsets of original samples after outliers were removed.

# 3.2 <u>Relationships of Depressurized</u> <u>APT and Manual Methods'</u> <u>Measured Results</u>

The relationships in measurements of duct leakage CFM50 between the APT and Manual methods are examined.

The Line of Equality plot and other statistical tests facilitate the distinction between two kinds of data: data probably a product of the common and intended testing environment, and outliers, data probably undermined by errant conditions. It is possible to perform statistical tests on a preferred subset within the sample that avoids outliers. These techniques are explained in the book titled *Introduction to Linear Regression Analysis* by Douglas C. Montgomery, Elizabeth A. Peck and G. Geoffrey Vining.

Duct leakage by Manual vs. APT methods for pressurized and depressurized tests are compared via Line of Equality graphs in Figures 3.1 and 3.2, respectively. Since most of the points lie near or along the 45-degree line, APT and Manual testing methods are in general agreement. Moreover, because most of the data are clustered in the graph, the data probably conform to a relatively consistent testing environment.

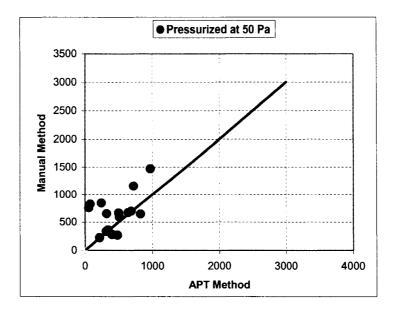


Figure 3.1 Line of equality plot: Manual vs. pressurized APT.

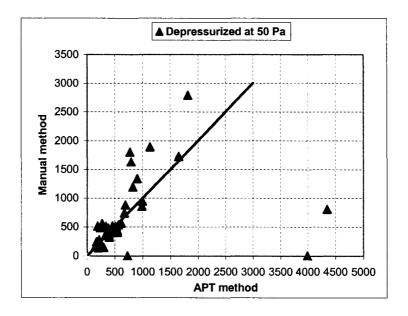


Figure 3.2 Line of equality plot: Manual vs. depressurized APT.

# 3.3 <u>Sources of Error that Distinguish APT</u> <u>from Manual Measurements</u>

Both here and in Section 3.5, various sources of error that affect duct leakage measurements is discussed. Although these discussions are extensive, we note that we may still not have listed all of the causes of error. While in some cases the consequences

of these errors are suggested, in general attempted to comprehensively estimate the magnitudes of their effects. However, beyond these two discussions, the investigations of these sources of error are beyond the scope of this report.

Sources of errors in measurements can be caused by a combination of categories, including:

- a. Human error, (e.g., error in setting up the experiment, misreading or untimely reading of the meters, failure to notice defective experimental conditions, inconsistent implementation of procedures)
- b. Unanticipated variations in the testing environment, (e.g., changes in wind speed, seals falling off taped registers, opening/closing of air valves in the building envelope)
- c. Insufficient or inconsistent accuracy in the test equipment, (e.g., accuracy depends upon magnitude, sensitivity to temperature, zeroing of gauges that may not be automatic)
- d. Deficiencies in the data collection algorithm, (e.g., lack of redundancies in the tests that if present would minimize the magnitude of measurement and subsequent calculation errors or, alternatively, help alert the tester to changes in the test environment; inadequate tests at initial steady-state conditions; inadequate procedures to insure dynamic steady-state conditions)
- e. Inaccurate to incomplete theoretical models, (e.g., using the wrong flow exponent for the whole-house leakiness, using the wrong flow exponent for duct-leakage to outside, assuming that no valves in the building envelope that can change position within and between various tests, assuming that attic pressure will not change during any test that depressurizes a home)

The use of the "Can't Reach Fifty Factor" is a source of error of types d and e. 1. When a home cannot reach 50 Pa during a Manual test, the flow at 50 is extrapolated from the measured flow at the measured pressure. The procedure is to look up a "Can't Reach Fifty Factor" from the row on the table corresponding to the pressure where the flow measurement was taken; the factor is then multiplied by the measured flow to extrapolate the flow at 50 Pa. The derivation of the "Can't Reach Fifty Factor" table is based upon the assumption that the flow exponent of the tested home is 0.65 since this value has been reported to be the mean flow exponent for thousands of homes [12]. However, this procedure introduces an error into the Manual CFM50 reading that will not correspond to an error in an APT CFM50 reading. Unlike the Manual method, which does not collect sufficient data to estimate the flow exponent for the particular home, the APT method calculates that flow exponent from the data it collects and uses it for the extrapolation to 50 Pa. Therefore, we would expect a difference between the Manual and APT duct leakage calculations for homes where the Blower Door<sup>TM</sup> could not take the home to 50 Pa and the APT calculates a flow exponent different from 0.65. The greater the difference in attained house pressure from 50 Pa and the flow exponent from 0.65, the greater is the difference in APT and Manual values. Even if the home's APT calculated whole-house leakiness flow exponent is 0.65 during the untaped test, the APT whole-house leakiness calculated flow exponent will most likely be different from 0.65 during the taped

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test. This difference in flow exponent invariably happens because the characteristic shape and size of the average infiltration hole of the home will shift with the exclusion of some of the duct leaks, thereby changing the flow exponent.

Measurements taken at dynamic, non-steady-state flow conditions are sources of 2. error of categories a and d. All of the measurements presume that the readings of the manometers will occur when the home has reached pressure for a time period sufficient for steady-state flows. A Manual test may not have sufficient safeguards to assure steady state. In a Manual test, the operator of the fan speed controller is instructed to begin each test with the fan off and increase the fan speed until 50 Pa is reached and then takes a reading. In fact, the fan speed and the house pressure are both returned to zero three times during a sequence of three readings. However, the home's pressure reading almost always exceeds 50 Pa during the adjustment period just before the measurement is recorded and in response the operator lowers the fan speed. In fact, the fan speed operator may often cycle through several lowering and raising adjustments before the desired stability in the manometer reading is attained, at which time the measurement is taken. However, the steady-state condition may not have been reached. It may be that left with the fan speed kept at the current setting, waiting an extra five to ten seconds would result in few Pa change in the house pressure. In such a case, the reading was taken within non-steady-state conditions and should therefore be more appropriately assigned to a different pressure than 50 Pa. (As explained two paragraphs below, a two Pa error can be expected to be associated with more than a 100 CFM error.) However, the Manual algorithm does not require this idling test to assure steady state, so there is no indication to the fan speed

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operator that the manometer measurement was taken too early. In the case that the Manual reading is made for the sole purpose of measuring CFM50 for wholehouse leakiness, the resulting error may be insignificant. However, since this report is devoted primarily to measuring duct leakage, which depends upon the difference between two such readings, this error can be very significant. APT measurements are far more resilient against this kind of error since 100 data are collected at each of a series of eight decreasing house pressures over five minutes all the while the fan speed is kept on or near the target test pressure; i.e., there are no intermediate trips to zero Pa. Although the initial (first five or ten at each pressure) flow measurements in the automated test may be inappropriately assigned, because steady state may not have been reached, to the simultaneously measured pressure, the mere fact that 100 readings are taken at each target pressure provide significantly more assurance that the average value of each of these flow readings is very close to the true steady-state flow at the averaged measured pressure. Thus, each APT whole-house leakiness CFM50 measurement can be expected to be much less affected by a non-steady state flow error than a Manual measurement, and the corresponding duct-leakage calculation – derived from a difference in two such readings – will correspondingly be subject to significantly less error.

3. The ratio of the magnitudes of whole-house leakiness to duct leakiness for a home is a source of error primarily of categories c and d. The larger this ratio, the greater is this source of error. To appreciate this error, one should recognize that in the Manual test, the Blower Door<sup>TM</sup> operator uses a digital manometer calibrated against the average Blower Door<sup>TM</sup> to create a single unified

(manometer/Blower Door<sup>TM</sup>) instrument that has decreasing sensitivity with larger flow readings. This setup cannot be expected to have the same  $\pm 0.1$  Pa (95% confidence interval) accuracy, published for the manometer alone, at every flow reading regardless of the magnitude of the measured flow. Again, for the purpose of ascertaining whole-house leakiness, the calibration of the manometer to the Blower Door<sup>TM</sup> can be more than adequate, but for the purpose of measuring duct leakage via subtraction, this error can be quite substantial. Here again, the APT measurement is significantly less affected by the decreasing accuracy at higher readings inherent in the manometer/Blower Door<sup>TM</sup> calibration used. The APT CFM50 extrapolated flow is more accurate because it is derived from a regression on eight data – each of which was the average of 100 (or more) measurements made with the manometer/Blower Door<sup>TM</sup> device.

4. Failing to record or take into account the pressure in the home when the Blower Door<sup>TM</sup> fan is off is a source of error of types d and e. Even without a Blower Door<sup>TM</sup> in operation, the home may be under a persistent negative (or much less common, positive) pressure of a few Pascals; often caused by stack effects or exhaust fans operating within the home or attic. Even more commonly, very modest changes in wind conditions can generate variations in the home's pressure greater than 1 Pa during a one-minute test. Consider the following example: if the home has a normal –two Pa steady-state pressure when the Blower Door<sup>TM</sup> fan is off, a measured depressurized flow at 50 Pa should be assigned to 48 Pa. If such a home's initial pressure, is roughly 131 CFM (393.2 = 5000/50<sup>0.65</sup>; 393.2 \*48<sup>0.65</sup> = 4869; 5000 – 4869 = 131). One could argue that

this error in whole-house leakiness will not affect the subtraction algorithm for duct leakage, because this superfluous flow would be the same in taped and untaped cases and thus be subtracted away. However, a home's tendency to have a persistent negative pressure is frequently associated with a persistent negative pressure in the attic. If duct leakage enhances the connection between the attic and home, the attic is better connected to the home with the duct registers untaped than taped; in that case, the error made by ignoring the initial house pressure will not subtract away. Another scenario is the potential change in exterior pressure fields on the home associated with changing wind conditions during the time between the taped and untaped tests. Here too, the Manual test is far more sensitive to this error than the APT test because the Manual test algorithm does not record the pressure in the home when the Blower Door<sup>TM</sup> fan is off, while the APT test includes measuring 100 data before and after the 800 data are collected, during which time the Blower Door<sup>TM</sup> fan is off and the fan is covered.

- 5. Failure to zero the manometer between readings is a source of error of type c. If the manometer has not been reset to zero, it may display a value against a misinterpreted reference. There is no provision for this resetting in the Manual test algorithm, but auto-zeroing occurs eight or more times during the APT test.
- 6. Failure to account for air temperature is a source of error of type c and e. Flow through the fan is measured as a drop in pressure across the fan, and the manometer's calibration to the Blower Door<sup>TM</sup> uses this pressure drop to calculate the flow. However, this calibration depends upon the density of the air

that in turn is affected by temperature. Unlike the APT tests that collect and use temperature information, Manual tests do not record or use this information.

- 7. Failure to restart a test when a duct register's seal opens, exterior door opens or exterior fan starts up during the test is a source of error of type a and d. Because the Manual test is actually a redundant one-point test, little information in the resulting data indicates a defect in the test environment. However, an APT test collects data for roughly five minutes, and displays the results graphically during the testing. The computer operator of an APT test soon learns from experience that the quality of an APT test increases, as the displayed graph's data points are more collinear. If a change in the test environment happens during this time, the gathered data will usually show a large change or fluctuation away from linearity. This error probably did not plague our data since when such an event occurred both tests were repeated after the environmental problem was repaired. However, in normal practice when only a Manual test is performed, the resulting error caused by this type of event could be very large.
- 8. Using the wrong mean whole-house leakiness flow exponent and the way it is used in the data collection algorithms are a source of error of categories a, b, d and e. Unlike error one which tends to cause an extrapolated CFM50 Manual reading to diverge from the true value for a particular home, the use of the mean exponent can make a reported APT reading diverge from an actual, measured CFM50 reading in a particular home for a quite different reason. When the TECTITE<sup>TM</sup> software performs the regression analysis at the end of the collection of a thousand or more data, the correlation coefficient is calculated and compared to a minimum acceptable value; when this value is not attained, the

regression is ignored, and instead, all of the data is averaged to a single point and 0.65 is the assumed flow exponent. The reason for this step is associated with the most common use of the APT-TECTITE<sup>TM</sup> algorithm, for extrapolating CFM4, namely the flow at four Pa. However, for our use, the reported value of CFM50 is consequently affected by this calculation procedure and it therefore depends upon the choice of mean flow exponent. To a very limited extent, this error may have been smaller if 0.64 were used instead. To avoid this error, the tester should always, if practical, repeat or adjust, as necessary, the test conditions to insure that TECTITE<sup>TM</sup> actually calculates a flow exponent for that home. Subsequent to the data collections of this experiment, we have found that that in all but very rare circumstances, sufficient care and skill in the APT data collection procedures will allow the TECTITE<sup>TM</sup> regression analysis to calculate a correlation coefficient greater than 99%; however, precautions needed to insure this level of accuracy were not taken during the data collections for this report.

9. The opening or closing of valves during a test can cause errors of categories b, d and e. Although this problem is more easily observed in Section 3.4 below wherein the Combined data are analyzed, this source of error will also cause the APT results to diverge from the Manual. Valves opening or closing during a test will result in a change in the flow exponent. Therefore, a single steady-state measurement at 50 Pa will only experience a single set of open or closed valves, as in the Manual test. However, during the APT test, which takes measurements at a series of pressures, the home may exhibit changes in the flow exponent. This may, in fact, be the reason why the correlation coefficient may be inexplicably low for some homes, despite the care and skill of the equipment operator. In

such situations, one would expect the APT test to report a CFM50 different from the value actually measured. Note that this scenario can happen even when the reported correlation coefficient (because it is not small) and the flow exponent of that home are used in the extrapolation. These valves could behave quite differently at different pressure differences and even in a different way when performing depressurized vs. pressurized measurements. For these reasons, this source of error is revisited in Section 3.5 because the Combined tests are pressurized tests, at pressures between 15 and 35 Pa, while the tests under discussion in Section 3.4 are both depressurized tests focused on measurements near 50 Pa.

The explanations of three additional sources of error regarding Blower-Door<sup>TM</sup>only tests are delayed to Section 3.5 because these kinds of errors do not tend to cause the APT and Manual results to diverge from each other. Instead, these errors cause the APT and Manual results to similarly diverge from accurately measuring duct leakage to outside. Therefore, they tend to cause the Blower-Door<sup>TM</sup>-only test results to diverge from the Combined results.

The following is not a source of error but a comment about the unusual importance of duct leakage testing accuracy in Louisiana. The reader should consider that although a single duct leakage measurement on a single home may have a 50 to 200 CFM error in a Manual test and a much smaller error in an APT test, the real importance of this difference in error comes into play in Louisiana wherein the HERO (Home Energy Rebate Option) program requires duct leakage tests to be performed at least twice on homes with existing duct systems. Because the goal of this program is to promote energy efficiency, which in turn is significantly compromised by duct leakage

greater than 50 CFM25, the HERO program would be best served by a duct leakage measuring algorithm capable of confirming changes in duct leakages significantly smaller than 50 CFM25. For example, if the second duct leakage measurement finds no decrease after known repairs were done, it will create unresolved complaints, unsatisfied customers and frustrated AC contractors. Conversely, if the duct leakage test were sufficiently accurate, this same opportunity would measure the slight improvement and enhance the customer's confidence in the rater's competence as well as the ability of the customer to demand higher quality work from the AC contractor. Thus, the accuracy of a duct leakage test can actually play a larger role in Louisiana than it does in many, if not all, other states.

#### 3.4 <u>Combined Duct Leakage</u>

Combined duct leakage measurements provide a unique opportunity to more directly measure duct leakage (to outside) than the Blower-Door<sup>TM</sup>-only methods otherwise used in this report. Many researchers and practitioners believe that the Combined method is the best and most reliable test for duct leakage to outside. For this reason, predictions of Combined from both Depressurized APT and, independently, Depressurized Manual data are analyzed statistically in Sections 3.6.1 and 3.7.1, respectively.

In each home, the Combined measurement of duct leakage flow,  $F_{15}$ , was attempted at  $P_{15} = 15$  Pa,  $F_{25}$  at  $P_{25} = 25$  Pa, and  $F_{35}$  at  $P_{35} = 35$  Pa, respectively. Unlike the APT measurements, the Combined data were only collected utilizing pressures well below 50 Pa. Therefore, to have comparable data, it was necessary to extrapolate the Combined data to 50 Pa. The extrapolation was accomplished using one of the

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following two algorithms; however, both rely upon the following relationship between pressure and flow.

The pressure-flow equation is

$$F = C\Delta P^n$$

(3.1)

where F is airflow, C is the leakage coefficient,  $\Delta P$  is the pressure difference and n is the flow exponent.

Eq. 3.1 was used to determine the airflow at 50 Pa ( $F_{50}$ ). When all three data,  $F_{15}$ ,  $F_{25}$  and  $F_{35}$ , were present for a particular home, the best method for determining n and C was to perform a two-dimensional regression analysis on the logarithm of the flow data, i.e., log(Flow) vs. Pressure. Among the outputs of the regression analysis is the line of best fit. The y-intercept and slope of this line can each be exponentiated to obtain C and n of Eq. 3.1, respectively. Extrapolating the Combined reading to 50 Pa is then reduced to substituting these values into Eq. 3.1.

When only two flow readings are known, a method based upon simultaneous equations was employed, (see flowchart in Figure 3.3). Requiring only  $F_{15}$  and  $F_{25}$ , this algorithm extrapolates Combined measurements to 50 Pa after it first establishes values of *C* and *n*. (The same method could also be performed using any pair of data, e.g., at 25 and 35 Pa as well.) This method was employed for homes where the maximum attainable pressure was 25 Pa.

In summary, for each home in the sample, both algorithms first establish C and n; these parameters are calculated using regression if possible or simultaneous equations when only two data are known; in either case, C and n are used within Eq. 3.1 to extrapolate the airflow to 50 Pa. Each home will get have its own duct-leakage flow exponent and flow coefficient calculated, and the extrapolation to CFM50 (as well as, interpolation to CFM25) is more accurate for that home. The results of this work are presented in the 33 rows of data in Table 3.2. In a few homes, flow readings at 35 Pa were not possible and the corresponding cells are left blank.

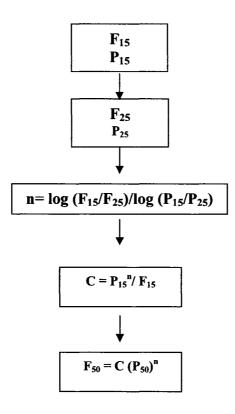


Figure 3.3 Flow chart for calculating duct leakage parameters via simultaneous equations.

### 3.4.1 <u>Mean Combined Duct Leakage</u> and Mean Flow Exponent

At the beginning of this chapter, Mean Combined Duct Leakage was estimated in Table 3.1 using the flow exponent 0.60. However, the best way to obtain this value is to treat each home independently, as explained previously in this section. Following this methodology, we independently obtain both: Mean Combined Duct Leakage = 312 CFM25 and,

Mean Combined Duct Leakage = 473 CFM50.

| Table 3.2 Combined | duct leakage flow data a | nd parameters. |
|--------------------|--------------------------|----------------|
|                    |                          |                |

| Home<br>No. | Flow<br>at<br>15 Pa | Flow<br>at<br>25<br>Pa | Flow<br>at<br>35<br>Pa | Flow<br>Expone-<br>nt | Flow<br>Coefficie-<br>nt | Flow<br>Interpol-<br>ated to<br>25 Pa | Flow<br>Extrap-<br>olated to<br>50 Pa |
|-------------|---------------------|------------------------|------------------------|-----------------------|--------------------------|---------------------------------------|---------------------------------------|
| 3           | 368                 | 551                    | 636                    | 0.657                 | 63.32                    | <u>231a</u><br>525                    | 828                                   |
| 4           | 449                 | 651                    | 020                    | 0.727                 | 62.66                    | 651                                   | 1078                                  |
| 5           | 212                 | 285                    | 350                    | 0.590                 | 42.86                    | 286                                   | 431                                   |
| 6           | 115                 | 153                    | 195                    | 0.618                 | 21.39                    | 156                                   | 240                                   |
| 7           | 420                 | 529                    | 642                    | 0.497                 | 108.67                   | 538                                   | 759                                   |
| 8           | 234                 | 316                    | 387                    | 0.592                 | 47.00                    | 317                                   | 477                                   |
| 9           | 571                 | 729                    |                        | 0.478                 | 156.39                   | 729                                   | 1016                                  |
| 11          | 144                 | 204                    | 258                    | 0.688                 | 22.34                    | 204                                   | 329                                   |
| 12          | 121                 | 160                    | 187                    | 0.516                 | 30.01                    | 158                                   | 226                                   |
| 13          | 299                 | 387                    | 497                    | 0.592                 | 59.42                    | 400                                   | 602                                   |
| 14          | 337                 | 486                    | 584                    | 0.654                 | 57.79                    | 475                                   | 748                                   |
| 15          | 161                 | 246                    | 278                    | 0.660                 | 27.64                    | 231                                   | 365                                   |
| 16          | 92                  | 132                    | 154                    | 0.616                 | 17.58                    | 128                                   | 196                                   |
| 17          | 234                 | 307                    | 380                    | 0.570                 | 49.74                    | 311                                   | 462                                   |
| 18          | 395                 | 478                    | 625                    | 0.528                 | 92.48                    | 506                                   | 729                                   |
| 19          | 129                 | 172                    |                        | 0.563                 | 28.07                    | 172                                   | 254                                   |
| 20          | 163                 | 235                    | 300                    | 0.719                 | 23.28                    | 235                                   | 387                                   |
| 21          | 162                 | 214                    | 268                    | 0.590                 | 32.56                    | 218                                   | 328                                   |
| 22          | 264                 | 362                    |                        | 0.618                 | 49.52                    | 362                                   | 555                                   |
| 25          | 91                  | 111                    | 136                    | 0.467                 | 25.38                    | 114                                   | 158                                   |
| 26          | 115                 | 173                    | 208                    | 0.709                 | 17.08                    | 167                                   | 274                                   |
| 27          | 77                  | 93                     | 100                    | 0.310                 | 33.53                    | 91                                    | 113                                   |
| 28          | 95                  | 121                    | 159                    | 0.599                 | 18.43                    | 127                                   | 192                                   |
| 29          | 140                 | 211                    | 245                    | 0.671                 | 23.20                    | 201                                   | 320                                   |
| 30          | 248                 | 325                    | 393                    | 0.543                 | 56.88                    | 327                                   | 476                                   |
| 31          | 243                 | 340                    | 422                    | 0.653                 | 41.52                    | 339                                   | 534                                   |
| 32          | 149                 | 201                    | 269                    | 0.691                 | 22.57                    | 209                                   | 337                                   |
| 33          | 309                 | 409                    | 497                    | 0.561                 | 67.60                    | 411                                   | 606                                   |
| 34          | 260                 | 377                    | 448                    | 0.650                 | 45.25                    | 366                                   | 575                                   |
| 35          | 109                 | 148                    | 183                    | 0.610                 | 20.83                    | 149                                   | 227                                   |
| 36          | 315                 | 427                    | 517                    | 0.586                 | 64.59                    | 425                                   | 638                                   |
| 37          | 218                 | 315                    | 364                    | 0.614                 | 41.92                    | 303                                   | 464                                   |
| 38          | 261                 | 325                    | 415                    | 0.537                 | 60.03                    | 338                                   | 490                                   |
| 39          | 171                 | 259                    | 298                    | 0.669                 | 28.49                    | 246                                   | 391                                   |

| 43 | 290 | 391 |     | 0.585 | 59.48  | 391 | 586 |
|----|-----|-----|-----|-------|--------|-----|-----|
| 46 | 407 | 524 |     | 0.495 | 106.62 | 524 | 738 |
| 48 | 240 | 308 | 390 | 0.566 | 51.23  | 317 | 469 |
| 49 | 86  | 131 | 204 | 1.002 | 5.56   | 140 | 280 |
| 50 | 111 | 170 | 198 | 0.694 | 17.31  | 161 | 261 |
| 51 | 388 | 490 | 558 | 0.431 | 121.17 | 485 | 654 |
| 52 | 178 | 256 | 315 | 0.678 | 28.54  | 253 | 405 |
| 53 | 338 | 491 | 590 | 0.663 | 56.61  | 479 | 759 |
| 55 | 160 | 233 |     | 0.736 | 21.82  | 233 | 388 |

The mean flow exponent was found to be 0.60914. Using t-statistics, it was determined that the 95% confidence for the mean value of the exponent ranged between 0.5773 and 0.6410. The calculated mean value and its confidence interval agree with 0.60 - the value provided by Gary Nelson [28].

# 3.5 <u>Sources of Error that Distinguish APT and</u> <u>Manual from Combined Measurements</u>

In this section and in Section 3.3, various sources of error that affect duct leakage measurements are discussed. It is important to note that all the plausible causes of error may not have been listed nor the estimated magnitudes of the effects of these listed errors. However, beyond these two discussions, the investigations of these sources or errors are beyond the scope of this thesis.

As stated in Section 3.3, sources of errors in measurements can be caused by a combination of categories, including

- a. Human error,
- b. Unanticipated variations in the testing environment,
- c. Insufficient or inconsistent accuracy in the test equipment,
- d. Deficiencies in the data collection algorithm,
- e. Inaccurate to incomplete theoretical models,

This section describes the problems that tend to cause APT and Manual measurements to differ from Combined results, as well as general sources of error of Combined tests that decrease their accuracy.

1. The opening or closing of valves during a test can cause errors of types b, d and e (Section 3.3 and Section 3.4). It is important to note that, the flow exponent (n) has limiting values of 0.50 and 1.00 for fully developed turbulent and laminar flow respectively [30]. However in Table 3.2, the flow exponent for home 49 is greater than 1.00, and the respective flow exponents for homes 7, 9, 25, 27, 46 and 51 are less than 0.50. One possible reason for this discrepancy is the opening and/or closing of air valves at different pressurizations, namely that in a given home we may not be looking at airflow through equivalent openings between pressure changes. In addressing the decision of whether to accept, to reject, or to alter these values, it is important to note that there is no evidence that similar changes might not be occurring in some, or in all of the other homes measured. Thus, this study has decided to retain all the values in our analysis, while pointing out a possible flaw in the assumption of the applicability of the flow equation to this problem; namely it may give acceptable results, but its physical assumptions may not always be satisfied.

This last paragraph points to another error that can help explain the discrepancy between the mean duct leakage CFM50 for Manual or APT versus CFM50 for Combined. The fact that seven out of 33 homes exhibit flow exponents outside of the theoretical range (ignoring the existence of valves that open or close under varying pressures) strongly suggests that this phenomenon is probably a common occurrence. That we do not see it in the data of the

remaining homes simply indicates that it does not produce a large enough average change in the exponent to put it outside of the acceptable range. However, this change in the home parameters means that this can be a very significant source of error for a particular home. Moreover, the set of valves will probably be quite different in a pressurized test (e.g. Combined) than in a depressurized test (e.g. APT and Manual).

As mentioned in Section 3.3, three additional sources of error regarding Blower-Door<sup>TM</sup>-only tests were delayed to this section because these kinds of errors do not tend to cause the APT and Manual results to diverge from each other. Instead, these errors cause the APT and Manual results to diverge from accurately measuring duct-leakage-to-outside in a similar manner. However, they do tend to cause the Blower-Door<sup>TM</sup>-only test results to diverge from the Combined results.

2. Incorrectly assuming that the attic pressure remains constant and at 50 Pa during both taped and untaped measurements of whole-house leakiness is a source of error of categories b, d and e. Our tests demonstrate that this assumption is seldom verified in practice and will definitely affect the resulting measurements; it will tend to affect the Manual and APT tests in the same manner but it may have a very different affect upon the Combined tests. This source of error will change the meaning of the reading; in fact: ignoring attic pressure will cause the flow readings to be assigned to a higher pressure than it was actually tested at, resulting in an underestimate of the measured CFM25 flow. Because the APT and Manual tests occur at different pressures and opposite pressurization direction from a Combined test, we should expect a significantly different effect

on attic pressures. This result may account for the significantly lower CFM50 mean of the Combined measurements as compared with either the APT or Manual measurements.

- 3. Incorrectly assuming that the pressure in the ducts when the registers are untaped is the same as that in the house leakiness is a source of error of types b, d and e. This source of error will tend to affect the APT and Manual tests the same way. Although this error does not tend to distinguish the APT and Manual tests from each other, it will cause APT and Manual tests to be more unlike the Combined test.
- 4. Incorrectly assuming that the average duct leakage flow exponent is 0.65 is a source of error of type e. Although this error does not tend to distinguish the APT and Manual tests from each other, it will cause APT and Manual tests to be more unlike the Combined test.
- 5. Combined duct leakage measurements are susceptible to an inherent error in addition to those discussed above in reference to the Manual and APT tests. In (apparently) rare situations, airflow short-circuits can be set up between the Duct Blaster<sup>™</sup> and some hole between the ducts and the house. The measured flow assigned to duct-leakage-to-outside will then incorrectly contain this short-circuit flow, and thus the Combined measurement will be an overestimate of duct leakage-to-outside. To investigate this concern, special tests (not otherwise described in this report) were devised and implemented in a few homes. However, in the few homes where these specialized tests were performed, we found no evidence of short-circuits. When this kind of error occurs it would be

of types b, d and e. Tests performed by Blower-Door<sup>TM</sup>-only methods would not be susceptible to this error.

It should be pointed out that when the evaporator coil is significantly blocked and short-circuiting is possible, a Duct Blaster<sup>TM</sup> assisted by Blower Door<sup>TM</sup> test; i.e., Combined test, would be much more likely to overestimate duct leakage than a Blower-Door<sup>TM</sup>-only test. In the former case, the test must pass adequate air through the evaporator coil to pressurize the ducts to a given pressure. However, a Blower-Door<sup>TM</sup>-only test may not even develop a pressure across the evaporator coil. The barrier to airflow provided by the coil, in this case, is not in the theoretical model of measuring duct leakage via subtraction.

### 3.6 <u>Statistical Comparisons: Depressurized</u> <u>APT vs. Depressurized Manual</u>

Depressurization measurements were obtained at 50 Pa using the APT and Manual methods. From the 55-home sample, only 42 could be used for comparing the APT and the Manual methods. The reduction was caused by a variety of experimental difficulties. Most of the excluded homes had acoustical ceilings that precluded depressurization tests. These data can be found in Appendix A1.

The 42-home sample of depressurized APT and Manual tests were statistically analyzed in two ways. In Section 3.6.1, the two samples are compared on a home-byhome basis to determine how well a single Manual measurement can predict the corresponding APT measurement; Line of Equality and Regression Analysis were used. The means of the Manual and APT measurements are statistically compared in Section 3.6.2 using the Paired t-test and a Non-Parametric test.

### 3.6.1 <u>Predicting Depressurized APT</u> from Manual Measurements

### 3.6.1.1 Line of equality

Figure 3.4 shows the Line of Equality associating APT vs. Manual methods within the 42-home sample. It shows that the APT and Manual methods are in reasonable agreement when the duct leakage is below 1000 CFM but there are more outliers for higher values.

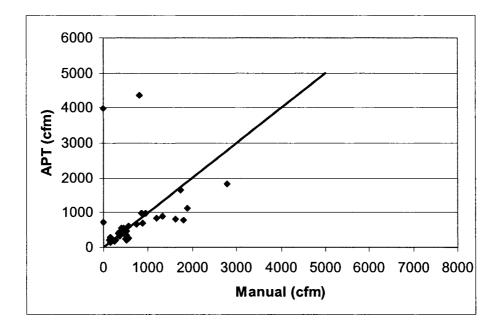


Figure 3.4 Line of equality: APT vs. Manual.

The causes for these abnormal variations may be attributed to errant conditions, such as: unusual wind speed, untimely changes in other building environmental parameters, human errors, as well as, test conditions that may have resulted in the inconsistent opening/closing of building airflow valves. A more complete list of sources of error can be found in Section 3.4.

### 3.6.1.2 <u>Regression analysis</u>

A simple regression analysis was used to investigate and model the relationship between APT and Manual methods. Figure 3.7 demonstrates a regression analysis for the preferred subset of the sample data. This analysis is presented in Section 3.6.1.6 after outliers are removed. The resulting regression provides a 95% confidence interval in addition to the comparison parameters.

### 3.6.1.3 <u>Determining outliers using</u> standardized residuals

Using the results of regression technique, data associated with standardized residuals with magnitude above two were removed and this procedure was repeated until all such outliers were removed. Using this procedure, 11 outliers were removed and the sample size decreased from 42 to 31.

A regression analysis provides a prediction. However, to obtain a quantitative confidence interval, namely an interval in which there is a defined probability (normally taken to be 95%) of being correct, Independence of Errors and Normality must be established. Confirmations of these assumptions were ascertained via Figure 3.5 for Independence of Errors and Figure 3.6 for Normality.

### 3.6.1.4 Independence of errors

The observation order of the data is the home numbers (experiment number) after outliers are removed. The residual plot indicates that the residuals are random, thereby supporting independence of errors.

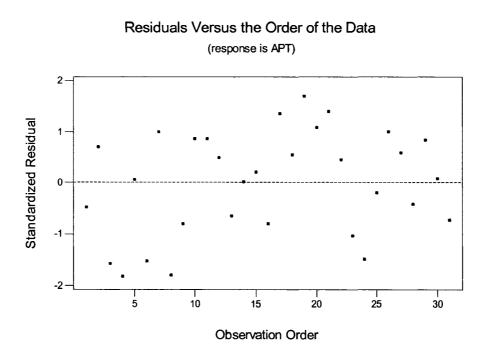
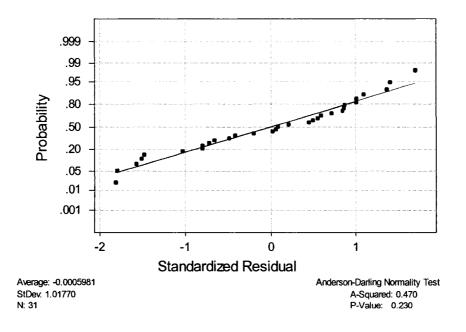


Figure 3.5 Standardized residuals' plot: APT vs. Manual.

# 3.6.1.5 Normality

The residuals were tested for normality using an Anderson-Darling test [31][32]. Figure 3.6 displays an Anderson Darling P-value of 0.230 confirming the 95% confidence in normality.



## Normal Probability Plot

Figure 3.6 Normal probability plot of standardized residuals: APT vs. Manual.

To summarize, 11 outliers were removed from the data, leaving a 31-home sample (all residuals data that lie between +/-2 standard deviations of the mean). To validate the two assumptions needed for producing a 95% prediction interval within the regression model, Independence of Errors and Normality were confirmed. The 31-home sample was used for the plot of Standardized Residuals vs. Observation Order (Figure 3.5) and the Normal Probability Plot of Residuals (Figure 3.6). The scatter of the data in Figure 3.5 suggests that the errors are independent. The normal probability plot (Figure 3.6) and its analysis using the Anderson-Darling normality test established the closeness of the revised data set to a normal distribution. Therefore, a 95% Prediction Interval is justified. Statistical calculation details are presented in Appendix A2.

### 3.6.1.6 <u>Regression analysis and</u> <u>95% prediction interval</u>

The regression analysis (Figure 3.7) performed on the 31-home sample illustrates the 95% prediction interval for the data.

The regression equation is

$$APT = 176.552 + 0.574620 \text{ Manual} \tag{3.2}$$

Namely, the value of the APT reading can be predicted from the Manual reading using Eq. 3.2. For a given manual value, the dashed lines in Figure 3.7 give the interval that includes the true APT value with probability of 0.95.

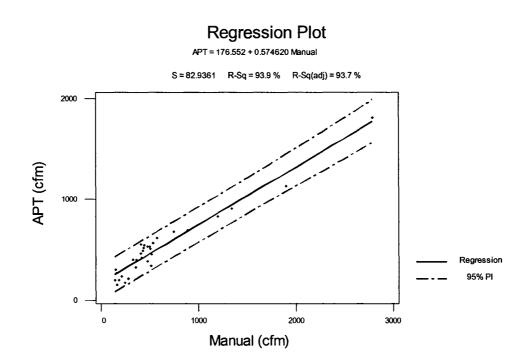


Figure 3.7 Regression plot with 95% confidence interval: APT vs. Manual.

Also displayed in the MINITAB<sup>TM</sup> output is the coefficient of determination,  $r^2$ , with a value of 0.939; thus indicating a high correlation between the two measuring methods (the correlation coefficient r = 0.97).

Table 3.3 shows the predicted APT values for each Manual reading and their 95% prediction intervals; namely a predicted APT value, given in column four, has a 0.95 probability of being within the prediction interval; the prediction interval is bounded by the values in columns five and six, centered about the predicted APT value, given in column four.

| Observation/(Home<br>Number)          | Manual<br>(cfm) | APT<br>(cfm) | Predicted<br>(cfm) | 95% Prediction<br>Interval |         |
|---------------------------------------|-----------------|--------------|--------------------|----------------------------|---------|
| 1 (03)                                | 1337.90         | 907.00       | 697.73             | 524.44                     | 871.02  |
| · · · · · · · · · · · · · · · · · · · |                 | 1818.00      | 1221.44            | 1035.83                    | 1407.05 |
| 2 (04)                                | 2789.20         |              |                    | 1035.83                    |         |
| 3 (05)                                | 508.40          | 340.30       | 372.09             |                            | 544.96  |
| 4 (06)                                | 245.50          | 170.10       | 274.29             | 100.44                     | 448.15  |
| 5 (11)                                | 418.70          | 421.80       | 418.93             | 246.36                     | 591.49  |
| 6 (12)                                | 166.70          | 149.70       | 262.57             | 88.56                      | 436.58  |
| 7 (13)                                | 474.10          | 530.80       | 481.56             | 309.20                     | 653.92  |
| 8 (14)                                | 1895.60         | 1134.00      | 828.06             | 652.99                     | 1003.12 |
| 9 (16)                                | 209.60          | 232.50       | 310.15             | 136.72                     | 483.58  |
| 10 (18)                               | 742.50          | 674.00       | 563.85             | 391.43                     | 736.26  |
| 11 (20)                               | 427.60          | 492.40       | 459.49             | 287.09                     | 631.90  |
| 12 (21)                               | 148.00          | 301.50       | 349.80             | 176.76                     | 522.84  |
| 13 (26)                               | 354.50          | 326.80       | 364.34             | 191.41                     | 537.26  |
| 14 (28)                               | 153.80          | 266.30       | 329.57             | 156.34                     | 502.81  |
| 15 (29)                               | 361.10          | 400.50       | 406.69             | 234.05                     | 579.32  |
| 16 (30)                               | 477.30          | 385.38       | 398.00             | 225.31                     | 570.68  |
| 17 (33)                               | 569.60          | 615.30       | 530.12             | 357.77                     | 702.46  |
| 18 (34)                               | 502.30          | 510.10       | 469.67             | 297.28                     | 642.05  |
| 19 (36)                               | 408.40          | 549.80       | 492.48             | 320.13                     | 664.82  |
| 20 (37)                               | 438.30          | 517.40       | 473.86             | 301.49                     | 646.23  |
| 21 (38)                               | 445.30          | 546.60       | 490.64             | 318.29                     | 662.99  |
| 22 (39)                               | 327.20          | 401.10       | 407.03             | 234.40                     | 579.66  |
| 23 (40)                               | 182.80          | 198.20       | 290.44             | 116.78                     | 464.10  |
| 24 (42)                               | 278.30          | 216.10       | 300.73             | 127.19                     | 474.27  |
| 25 (43)                               | 517.00          | 457.40       | 439.38             | 266.91                     | 611.86  |
| 26 (45)                               | 535.00          | 566.20       | 501.90             | 329.56                     | 674.24  |
| 27 (47)                               | 409.00          | 459.40       | 440.53             | 268.06                     | 613.00  |

**Table 3.3** 95% Prediction interval for duct leakage values: APT vs. Manual.

| 28 (51) | 1192.00 | 828.30 | 652.51 | 479.63 | 825.39 |
|---------|---------|--------|--------|--------|--------|
| 29 (53) | 496.50  | 530.50 | 481.39 | 309.03 | 653.75 |
| 30 (54) | 883.30  | 690.40 | 573.27 | 400.83 | 745.71 |
| 31 (55) | 140.90  | 199.10 | 290.96 | 117.31 | 464.61 |

# 3.6.2 <u>Comparing the APT Mean</u> to the Manual Mean

To confirm that the two methods tend to measure the same duct leakage, a comparison of the means was performed using the Paired t-test. The Paired t-test was performed on the 42-home sample using the MINITAB<sup>TM</sup> software and the detailed results are presented in Appendix A2.

### 3.6.2.1 Paired t-test application

The 95% confidence interval,  $\Delta$ , for the mean difference between APT and Manual was determined to be between -197 cfm and 360 cfm; since this range includes zero, the test indicates that there is no statistically significant difference in the average measured readings of the APT and the Manual method. A Non-Parametric test was also performed because an assumption of the Paired t-test was violated, namely: the set of paired differences (APT – Manual) failed a normality test (presented in Appendix A2).

# 3.6.2.2 <u>Non-Parametric test</u> <u>application</u>

The Wilcoxon signed-rank test was used to compare the means of the APT and Manual measurements. This test utilizes both direction and magnitude of the differences between the two measured values of duct leakage. The 95% confidence interval for the difference between the means of the APT and Manual methods is bounded by –118 cfm and 39 cfm. Since this range includes zero, we can conclude that on average the APT and Manual methods measure the same amount of duct leakage. The conclusion of the

Parametric test (Paired t-test) was similar to that of the Non-Parametric test (Wilcoxon signed-rank test) even though the Paired t-test was not formally applicable since the data did not follow a normal distribution. The agreement in these conclusions derives from the robustness of the Paired t-test against relatively small deviations from normality.

# 3.7 <u>Statistical Comparisons: Combined</u> <u>vs. Depressurized APT</u>

Measurements obtained at 50 Pa in the depressurized state for APT and the Combined methods produced two experimental data sets. These sets were statistically compared in two ways. In Section 3.7.1, the two samples are compared on a home-by-home basis to ultimately determine how well a single APT measurement can predict the corresponding Combined measurement. These sets were analyzed with Line of Equality and Regression Analysis. In Section 3.7.2, the mean of the set of APT measurements is compared to the mean of the set of Combined measurements. These two values were compared with a Paired t-test and a Non-Parametric test.

### 3.7.1 Choosing a Suitable Sample

### 3.7.1.1 <u>Extrapolating combined</u> data to 50 Pa

Unlike the APT measurements, the Combined data were only collected utilizing pressures well below 50 Pa. Therefore, to have comparable data, it was necessary to extrapolate the Combined data to 50 Pa. The extrapolation is explained in Section 3.4.

### 3.7.1.2 <u>Finding a suitable sample</u> and line of equality

Although it was originally a 55-home sample, only 34 homes had sufficient data to compare the APT and Combined methods. Some of the excluded homes had missing APT data, but most had missing Combined data. Figure 3.8 illustrates the Line of Equality for Combined vs. APT for the resulting 34-home sample. While running the regression analysis we checked for outliers in the data. Using the standardized-residual methodology, 7 outliers were removed leaving a 27-home sample for the final regression model. Section 3.5 provides a list of possible sources of error.

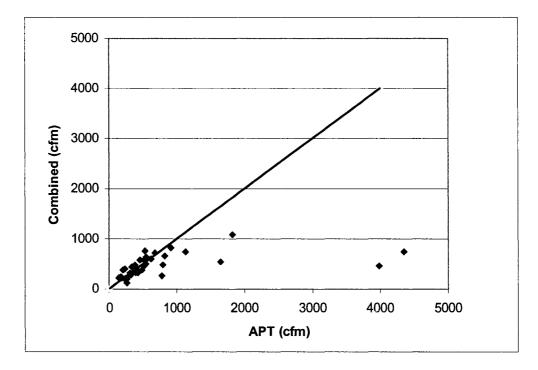


Figure 3.8 Line of equality: Combined vs. APT.

### 3.7.1.3 Independence of errors

Assumptions of Independence of Errors and Normality were confirmed via Figure 3.9 and Figure 3.10, respectively. Standardized Residuals vs. Observation Order is graphed in Figure 3.9. All the standardized residuals are within +/-2 and the scatter indicates that the errors are independent.

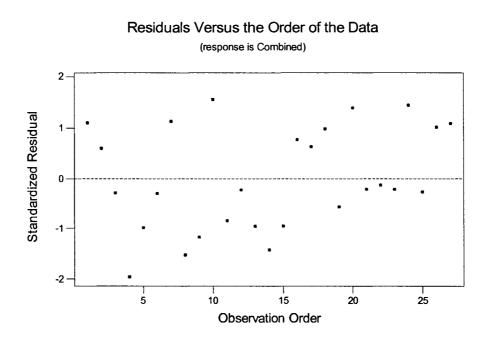


Figure 3.9 Standardized residuals' plot: Combined vs. APT.

# 3.7.1.4 Normality

Normality was confirmed using an Anderson-Darling test presented in Figure 3.10. The results of the statistical output can be found in Appendix A2. A P-value of 0.100 of the Anderson-Darling test indicates that the revised data follows a normal distribution.

## Normal Probability Plot

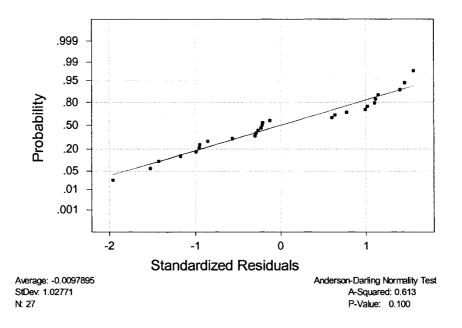


Figure 3.10 Normal probability plot of standardized residuals: Combined vs. APT.

## 3.7.1.5 <u>Regression analysis and</u> <u>95% prediction interval</u>

The response variable is taken to be Combined and the predictor is taken to be APT data. The regression analysis was performed on the revised 25-home sample (Figure 3.11). This figure illustrates the 95% prediction interval. The regression equation was determined to be:

Combined = 
$$160.535 + 0.625714$$
 APT (3.3)

Using Eq. 3.3 and the indicated, dashed line confidence interval, the Combined reading is predicted from the APT reading with a probability of 0.95. The  $r^2$  value of 0.715 indicates a moderate correlation (r = 0.846) between the two measuring methods. The output for regression analysis can be found in Appendix A3.

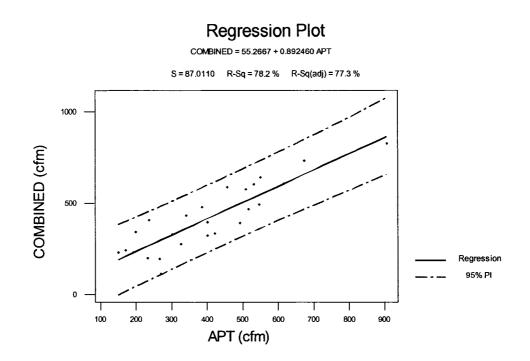


Figure 3.11 Regression plot with 95% confidence interval: Combined vs. APT.

Table 3.4 shows the predicted Combined values for each APT reading and their 95% prediction intervals. A predicted Combined value, from an observed APT reading, falls within its prediction interval with probability 0.95.

| Table 3.4 95% Prediction inter | rval for duct leakage: | Combined vs. APT. |
|--------------------------------|------------------------|-------------------|
|--------------------------------|------------------------|-------------------|

| Observation<br>/(Home<br>Number) | APT<br>(cfm) | Combined<br>(cfm) | Predicted<br>(cfm) |         | tion Interval<br>fm) |
|----------------------------------|--------------|-------------------|--------------------|---------|----------------------|
| 1 (03)                           | 907.00       | 827.510           | 728.058            | 510.824 | 945.29               |
| 2 (05)                           | 340.30       | 430.572           | 373.466            | 167.424 | 579.51               |
| 3 (06)                           | 170.10       | 239.942           | 266.969            | 56.364  | 477.57               |
| 4 (08)                           | 795.00       | 477.234           | 657.978            | 446.071 | 869.88               |
| 5 (11)                           | 421.80       | 329.296           | 424.462            | 219.312 | 629.61               |
| 6 (12)                           | 149.70       | 226.339           | 254.205            | 42.816  | 465.59               |
| 7 (13)                           | 530.80       | 602.188           | 492.664            | 287.369 | 697.96               |
| 8 (14)                           | 1133.80      | 747.740           | 869.970            | 637.900 | 1102.04              |
| 9 (16)                           | 232.50       | 195.717           | 306.014            | 97.498  | 514.53               |
| 10 (18)                          | 674.00       | 729.215           | 582.267            | 374.464 | 790.07               |

| 11 (20) | 492.40 | 387.048 | 468.637 | 263.568 | 673.71 |
|---------|--------|---------|---------|---------|--------|
| 12 (21) | 301.50 | 327.508 | 349.188 | 142.424 | 555.95 |
| 13 (26) | 326.80 | 273.639 | 365.019 | 158.747 | 571.29 |
| 14 (28) | 266.30 | 191.863 | 327.163 | 119.579 | 534.75 |
| 15 (29) | 400.50 | 320.037 | 411.134 | 205.834 | 616.43 |
| 16 (30) | 385.38 | 475.915 | 401.673 | 196.230 | 607.12 |
| 17 (33) | 615.30 | 605.803 | 545.537 | 339.077 | 752.00 |
| 18 (34) | 510.10 | 574.981 | 479.712 | 274.562 | 684.86 |
| 19 (35) | 190.90 | 226.931 | 279.984 | 70.128  | 489.84 |
| 20 (36) | 549.80 | 638.490 | 504.553 | 299.075 | 710.03 |
| 21 (37) | 517.40 | 463.917 | 484.280 | 279.085 | 689.47 |
| 22 (38) | 546.60 | 490.459 | 502.551 | 297.107 | 707.99 |
| 23 (39) | 401.10 | 390.903 | 411.509 | 206.214 | 616.80 |
| 24 (43) | 457.40 | 586.000 | 446.737 | 241.709 | 651.77 |
| 25 (51) | 828.30 | 654.462 | 678.814 | 465.472 | 892.16 |
| 26 (52) | 236.60 | 404.510 | 308.579 | 100.184 | 516.98 |
| 27 (55) | 199.10 | 388.019 | 285.115 | 75.539  | 494.69 |

## 3.7.2 <u>Statistical Comparison of</u> <u>Means: Combined vs. APT</u>

To confirm that the two methods tend to measure the same duct leakage, a comparison of the means was performed using the Paired t-test on the 34-home sample.

## 3.7.2.1 Paired t-test application

The 95% confidence interval,  $\Delta$ , for the mean difference between APT and Manual was determined to be between -16 cfm and 594 cfm; since this range includes zero, the test indicates that there is no statistically significant difference in the average measured readings of APT and Combined method. However, Non-Parametric tests give more reliable results when normality conditions are not satisfied, as in this case.

## 3.7.2.2 Non-Parametric test

Non-Parametric tests are distribution-free methods are used when the assumption of normality cannot be justified. The Wilcoxon signed-rank test mentioned in Section 3.6.2 was performed on the data. The 95% confidence interval for the mean difference between APT and Combined ranged between -14 cfm and 185 cfm. Because this range includes zero, we can conclude that on average the APT and Combined methods measure the same quantity of duct leakage. The details of the Wilcoxon signed-rank test  $MINITAB^{TM}$  software are presented in Appendix A3.

## 3.8 <u>Statistical Comparisons: Combined</u> <u>vs. Depressurized Manual</u>

Measurements obtained at 50 Pa in the depressurized state for Manual and Combined produced two data sets. These sets were statistically compared in two ways. In Section 3.8.1, the two samples are compared on a home-by-home basis to ultimately determine how well a single Manual measurement can predict the corresponding Combined measurement. These data were analyzed with Line of Equality (Figure 3.12) and Regression Analysis techniques. In Section 3.8.2, the mean of the set of Manual measurements is compared to the mean of the set of Combined measurements. These two values were analyzed with Paired t-test and Non-Parametric tests.

## 3.8.1 Assembling the Airflow Data

For comparing them with Manual readings at 50 Pa, Combined measurements were extrapolated to 50 Pa (as explained in Section 4.9).

## 3.8.2 <u>Finding a Suitable Sample</u> and Line of Equality

From the 55-home sample, only 35 could be used for comparing the Manual and Combined methods. These data given can be found in Appendix A4. Figure 3.12 illustrates the Line of Equality for Combined vs. Manual for the resulting 35-home sample.

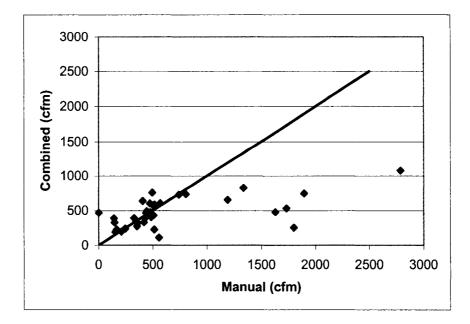


Figure 3.12 Line of equality: Combined vs. Manual.

## 3.8.2.1 <u>Removing outliers</u>

While running the regression analysis we checked for outliers in the data. Using the standardized residuals > 2 test, three outliers were removed – leaving a 32-home sample for the final regression model. Section 3.5 provides a list of possible sources of error.

A regression analysis can be performed on any data; however, to produce a 95% confidence interval it is important to verify Independence of Errors and Normality assumptions. The normality test was performed on the residuals using Anderson-Darling tests to verify the validity of the model.

### 3.8.2.2 Independence of errors

Assumptions of Independence of Errors and Normality were confirmed via Figure 3.13 and Figure 3.14, respectively. Normality was confirmed using an Anderson-Darling test. The Residuals vs. Observation Order is shown in Figure 3.13. All the standardized residuals are within +/- 2 and the scatter indicates that the errors are independent.

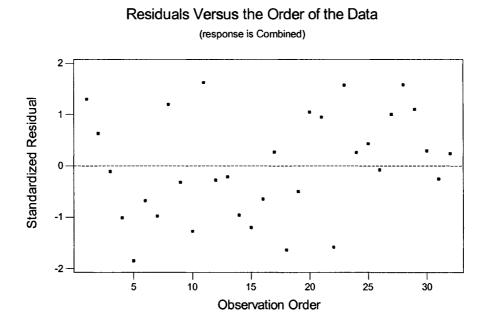


Figure 3.13 Standardized residuals' plot: Combined vs. Manual.

## 3.8.2.3 Normality

A P-value of 0.552 for the Anderson-Darling test, illustrated in Figure 3.14, indicates that the 32-home sample follows a normal distribution. The details are in Appendix A4.

# Normal Probability Plot

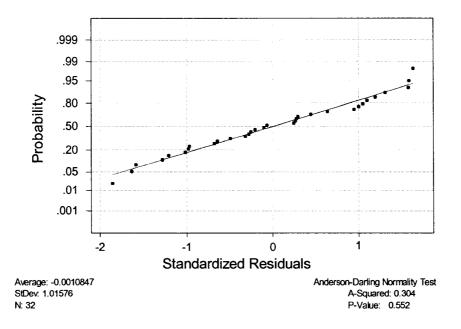


Figure 3.14 Normal probability plot of standardized residuals: Combined vs. Manual.

## 3.8.2.4 <u>Regression analysis and</u> <u>95% confidence interval</u>

In this analysis the response variable was taken to be the Combined method and the predictor variable was duct leakage by the Manual method.

The regression analysis, performed on the 32-home sample, is shown in Figure

3.15. This figure illustrates the 95% prediction interval. The regression equation is:

Combined 
$$= 319.411 + 0.247518$$
 Manual (3.4)

Namely using Eq. 3.4, the value of the Combined reading can be predicted from

the Manual reading and the  $r^2$  value of 0.545 indicates a moderate correlation (r = 0.738) between the two measuring methods.

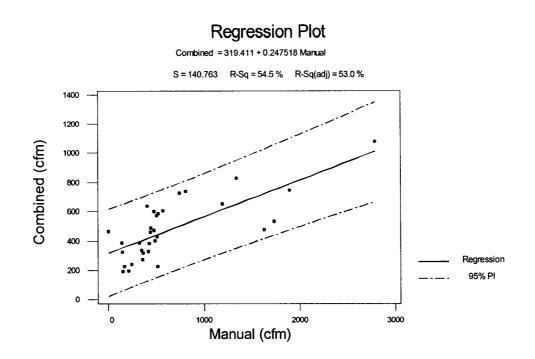


Figure 3.15 Regression plot with 95% confidence interval: Combined vs. Manual.

Table 3.5 shows the predicted Combined values for each Manual reading and their 95% prediction intervals. A predicted Combined value, from an observed Manual value, falls within its prediction interval with a probability of 0.95.

Table 3.5 95% Prediction interval for duct leakage values: Combined vs. Manual.

| Observation/<br>(Home Number) | Manual<br>(cfm) | APT<br>(cfm) | Predicted<br>(cfm) | 95% Predict | ion Interval |
|-------------------------------|-----------------|--------------|--------------------|-------------|--------------|
| 1 (03)                        | 1337.9          | 827.51       | 650.57             | 352.905     | 948.23       |
| 2 (04)                        | 2789.2          | 1077.70      | 1009.79            | 666.554     | 1353.02      |
| 3 (05)                        | 508.4           | 430.57       | 445.25             | 153.074     | 737.42       |
| 4 (06)                        | 245.5           | 239.94       | 380.18             | 86.265      | 674.09       |
| 5 (08)                        | 1630.5          | 477.23       | 722.99             | 419.545     | 1026.43      |
| 6 (11)                        | 418.7           | 329.30       | 423.05             | 130.467     | 715.63       |
| 7 (12)                        | 166.7           | 226.34       | 360.67             | 65.917      | 655.43       |
| 8 (13)                        | 474.1           | 602.19       | 436.76             | 144.452     | 729.07       |
| 9 (14)                        | 1895.6          | 747.74       | 788.61             | 478.315     | 1098.90      |
| 10 (16)                       | 209.6           | 195.72       | 371.29             | 77.013      | 665.57       |

| 11 (18) | 742.5  | 729.22 | 503.19 | 211.152 | 795.23  |
|---------|--------|--------|--------|---------|---------|
| 12 (20) | 427.6  | 387.05 | 425.25 | 132.719 | 717.78  |
| 13 (21) | 148.0  | 327.51 | 356.04 | 61.067  | 651.02  |
| 14 (26) | 354.5  | 273.64 | 407.16 | 114.167 | 700.14  |
| 15 (28) | 153.8  | 191.86 | 357.48 | 62.572  | 652.39  |
| 16 (29) | 361.1  | 320.04 | 408.79 | 115.847 | 701.73  |
| 17 (30) | 477.3  | 475.92 | 437.55 | 145.258 | 729.84  |
| 18 (31) | 1732.0 | 533.57 | 748.11 | 442.222 | 1054.00 |
| 19 (32) | 345.1  | 336.82 | 404.83 | 111.772 | 697.89  |
| 20 (33) | 569.6  | 605.80 | 460.40 | 168.386 | 752.41  |
| 21 (34) | 502.3  | 574.98 | 443.74 | 151.543 | 735.94  |
| 22 (35) | 515.2  | 226.93 | 446.93 | 154.780 | 739.08  |
| 23 (36) | 408.4  | 638.49 | 420.50 | 127.858 | 713.14  |
| 24 (37) | 438.3  | 463.92 | 427.90 | 135.423 | 720.37  |
| 25 (38) | 445.3  | 490.46 | 429.63 | 137.191 | 722.07  |
| 26 (39) | 327.2  | 390.90 | 400.40 | 107.205 | 693.59  |
| 27 (43) | 517.0  | 586.00 | 447.38 | 155.231 | 739.52  |
| 28 (46) | 807.0  | 738.30 | 519.16 | 226.920 | 811.40  |
| 29 (48) | 1.4    | 469.15 | 319.76 | 22.757  | 616.76  |
| 30 (51) | 1192.0 | 654.46 | 614.45 | 318.948 | 909.96  |
| 31 (52) | 486.0  | 404.51 | 439.70 | 147.447 | 731.96  |
| 32 (55) | 140.9  | 388.02 | 354.29 | 59.223  | 649.35  |

## 3.8.3 <u>Statistical Comparison of Means</u>: <u>Combined vs. Manual</u>

To confirm that the two methods tend to measure the same duct leakage, a comparison of the means was performed using the Paired t- test on the 35-home sample test.

### 3.8.3.1 Paired t-test application

The 95% confidence interval,  $\Delta$ , for the mean difference between APT and Manual was determined to lie between 24 cfm and 384 cfm; Because this range does not include zero, the test indicates that a statistically significant difference in the average measured readings of APT and Combined method. However, it is necessary to test the results with a distribution-free method since the conditions of normality are unsatisfied.

### 3.8.3.2 Non-Parametric test

Non-Parametric tests are distribution-free methods that are used when the assumptions of normality cannot be justified, as in this case. The Wilcoxon signed-rank test was then performed. The 95% confidence interval for the difference between Manual and Combined ranged between -23 cfm and 264 cfm. Since this range includes zero, we can conclude that on an average the two methods measure the same amount of duct leakage.

# 3.9 <u>Mean Duct-Leakage-to-Outside</u> <u>Following Statistical Analyses</u>

Table 3.6 displays the statistically revised, mean values of the duct leakage methods, i.e., as discussed in Sections 3.6.2, 3.7.2, and 3.8.2; compare it to Table 3.1 where all of the original data was used. There are no differences between the two tables in the pressurized rows. However, the two sub-rows of the Combined row (fifth row) highlight the fact that the statistical analysis of the mean of the Combined data was against the APT in Section 3.7.2 and, in another analysis, against the Manual data in Section 3.8.2, because the comparison was different so was the set of outliers. The weighted sum of the two sub-rows is used to calculate the sixth row. The seventh row is a weighted sum of rows 1-4 together with the sixth row. The eighth row is the conversion of the weighted sum to 25 Pa.

Combined test results have a standard deviation that is less than half of either the APT or Manual data.

 Table 3.6 Mean duct-leakage-to-outside.

| Test                              | Number<br>of<br>Outliers | Number<br>of Tests | Mean<br>Duct<br>Leakage<br>to<br>Outside<br>(cfm) | Standard<br>Deviation<br>(cfm) |
|-----------------------------------|--------------------------|--------------------|---|--------------------------------|
| 1. APT Depressurized (50 Pa)      | 11                       | 31                 | 511   | 330                            |
| 2. Manual Depressurized (50 Pa)   | 11                       | 31                 | 582   | 556                            |
| 3. APT Pressurized (50 Pa)        | n/a                      | 16                 | 459   | 261                            |
| 4. Manual Pressurized (50 Pa)     | n/a                      | 16                 | 654   | 334                            |
| 5. Combined (50 Pa) (vs. APT)     | 7                        | 34                 | 452   | 179                            |
| Combined (50 Pa) (vs. Manual)     | 3                        | 32                 | 480   | 205                            |
| 6. Combined (50 Pa) Weighted Sum  | n/a                      | 33                 | 466   | 192                            |
| 7. All tests (50 Pa) Weighted Sum | n/a                      | 127                | 528   | 341                            |
| 8. All tests (25 Pa) Weighted Sum | n/a                      | 127                | 348   | 225                            |
| 9. Combined (25 Pa)               | n/a                      | 33                 | 307   | 127                            |

The sets of APT results have lower standard deviations than the Manual results. The lower standard deviations strongly imply that the Manual measurements were less consistent than the APT measurements. It is important to note that the higher consistency of APT measurements does not necessarily indicate that the target value is more accurately being measured.

If we assume that the average AC size of the various samples was near three tons, then the expected mean percent duct leakage would be 348/1200 = 29%.

In comparison with the last two rows of Table 3.10, after outliers are removed the weighted sum of all tests decreased about 15%. This decrease in weighted sum implies that outliers are dominated by relatively large readings.

## 3.10 <u>Projecting Energy Waste Associated with</u> <u>Duct Leakage</u>

From the database of 55 homes we calculate average duct leakage. Projecting the energy waste in the homes of our study requires some assumptions; they will be highlighted in bold in this section.

Duct leakage CFM25 should be used to characterize what is happening in realworld conditions [29, 9]. In the real world, the pressure in a duct system typically varies from roughly –50 Pa in the return plenum to 30 Pa in the supply plenum, but it drops to values much closer to zero near the registers. For this reason, duct leakage CFM50 is not as good an estimate of real-world duct leakage as CFM25. Table 3.6 shows that for the homes in our database, about 348 CFM25 is the average duct leakage to outside.

If we assume that three tons is the average size of the cooling systems of our homes, then we can calculate the average % duct leakage. Because the standard assumption is that an HVAC moves an average of 400 cfm per ton of cooling, a 3-ton unit moves an average of 1200 cfm. Therefore, the average duct leakage is roughly 350/1200 or 29% duct leakage. Assuming that % loss of HVAC efficiency = 2.5 times % duct leakage, we can conclude that efficiency would drop by 2.5 \* 29% = 73%. The value of 2.5 is obtained from the graph published in HVAC efficiency tables published by Jeffrey S. Tiller's book titled *Builders Guide to Energy Efficient Homes in Louisiana* [9] assume 65% replacement air from outside.

Assuming that the **average efficiency of the AC is 9 SEER**, then the resulting efficiency after losses associated with duct leakage would be  $(100\% - 73\%) \times 9$  SEER = 2.4 SEER. Because (9 - 2.4) / 2.4 = 6.6 / 2.4 = 2.7, it can be noted that more than 2.5 times as much energy is lost by the ducts than is actually delivered to our homes.

In Chapter Nine, the actual energy lost due to duct leaks has been presented. The energy lost obtained in Chapter Nine is more realistic as it does not include the assumptions considered in this section. Note that this loss of energy calculated was based completely on certain assumptions as highlighted.

# **CHAPTER 4**

# EMPIRICAL AIR- TIGHTNESS MODEL OF RESIDENTIAL HOMES IN NORTH LOUISIANA

Two key issues have recently emerged after World War II in regard to construction of homes - healthy homes and tight homes. The healthy home refers to buildings that are environmentally friendly, family safe, properly ventilated, and free from indoor pollutants. Tight construction refers to homes that are energy efficient, with an indoor environment well controlled through mechanical ventilation systems [21]. In reality, there must be a compromise between the above two features for a good home. This chapter deals mainly with a study regarding the tightness of homes in Northern Louisiana, assuming that homes have efficient ventilation system. The purpose of this study is to develop an empirical model to estimate the air-tightness in residential houses in Northern Louisiana without actually measuring the air leakage rates.

### 4.1 Overview

Air-tightness quantifies the tendency of a home to allow air to flow through its pressure envelope in a range of pressures (4 - 50 Pa) against that envelope [33]. Air-tightness of buildings directly reflects air leakage sites. Air leakage sites include exterior doors, windows, foundations, electrical boxes and plumbing fixtures [34].

There are a number of standardized formats for measuring air-tightness as described in Minneapolis Blower Door<sup>TM</sup> Operation Manual [21]. However, this study will focus on three of the commonly used formats namely Cubic Feet per Minute at 50 Pa (CFM50), Effective Leakage Area (ELA) and Equivalent Leakage Area (EqLA). The definitions of these three formats were described in Chapter Two.

The Minneapolis Blower  $\text{Door}^{\text{TM}}$ , manufactured by the Energy Conservatory, is a specialized tool used to measure air-tightness in residential buildings [21]. The Blower Door<sup>TM</sup> fan blows air into or out of the building to create a pressure gradient between the inside and the outside. This pressure gradient is used to measure the air-tightness in terms of volumetric units. Avoiding the use of Blower Door<sup>TM</sup> to obtain air-tightness will be very beneficial to those who want a quick and reasonable estimate. This study involves developing an empirical model to estimate the air-tightness of residential buildings without actually performing a Blower Door<sup>TM</sup> test. This model will estimate the air-tightness of a given house based on the physical information such as the year of construction, conditioned area, the number of stories and the number of bedrooms. The estimate of the air-tightness obtained from the developed model is compared with houses of known air-tightness to check for its effectiveness. It is important to note that such a model will be applicable to Northern Louisiana only, because we assume that the houses in this region have similar building and environmental characteristics. We have not found such air-tightness models applied by any industry in a particular region to the best of our knowledge. This region-specific model is the first approach in this direction. The usefulness of the model developed lies in the fact that it is less time consuming to reasonably estimate air-tightness. The advantage of this model is that, based on the physical information of the house, the air-tightness can be determined without even visiting the house. In addition, we have attempted to categorize the homes as needing or not needing to be fixed for whole house leakiness, without actually inspecting or testing the home.

It is important to note that environmental-related problems such as poor indoor air quality (IAQ) could have a significant negative impact on a building's value. Lower market value or a lease rent reduction are two likely scenarios that can occur once an unresolved IAQ problem becomes known or a building is tagged with "sick building syndrome" [35]. Presently, in the US energy experts can review the house plans and can conduct a Home Energy Rating to assess the energy efficiency of a home. The Home Energy Rating System [36] has now become a nationally recognized system used to evaluate all the features of a house. These features include structure and foundation type, insulation levels, heating and cooling systems, air-tightness, windows, water heating equipment, and appliances. Building Tightness Limits (BTL) have been developed in some states in the US. BTL are guidelines based on estimates of the minimum air exchange rate of a building necessary to provide enough fresh air to maintain satisfactory health of the occupants and durability of the structure [37]. BTL usually specify a building's minimum air leakage rate in CFM50 for comparison with the measured value of CFM50. Various building tightness calculation procedures have been developed for ensuring acceptable IAQ. One of these methods is based on the American Society of Heating, Refrigerating and Air-Conditioning Engineers Standard 62-1999, Ventilation for Acceptable Indoor Air Quality. This method referred to as the BTL method is clearly explained in an article by Tsongas in Home Energy magazine [38]. For an acceptable IAQ, this standard requires 15 cfm per person (assuming a minimum of five people) or 0.35 Air Changes per Hour (ACH), whichever is greater, that must be supplied by

natural air leakage and/or continuously operating ventilation. We have not developed a model with ACH in this report but recommended it as a part of future study.

Blower doors<sup>TM</sup> measure building tightness, and the natural infiltration rate of a house based on a number of parameters. A single BTL does not incorporate factors like climate, a building's wind exposure, building size, or the number of occupants. Air exchange rates can vary widely depending on such factors. Max Sherman of the Lawrence Berkeley Laboratory has developed tables for each of the four climate zones in the United States [38, 39]. The tables include a U.S. map, divided into four climate zones. The tables account for the number of occupants, the number of stories of the building, and its wind shielding characteristics. Weatherization personnel can use the map to find their particular zone and then select the appropriate table with the correct CFM50 minimum values. However, the simple model presented in this report does not take into consideration all of the factors discussed for determining air-tightness. The main objective of this empirical study is to give reasonable estimates of air-tightness with minimum inputs. The easily obtainable characteristics of a residential building are taken as the independent variables. The model developed is based on the assumption that the houses in a particular region have similar kinds of building and environmental characteristics. This assumption is basically considered to suppress the influence of climatic factors and other related factors attributed to a particular region - in this case North Louisiana. Also, the variables considered in the model are obtainable without even visiting a home; the purpose being quick and inexpensive. However, if precise and accurate measurements are required, then this model may not be the suited one. The details regarding the variables considered in this model is presented in Section 4.4.

### 4.2 Sampling and Data Collection

The primary data were collected performing additional Blower Door<sup>TM</sup> tests in 66 homes in and around Ruston, Lincoln Parish, in North Louisiana. The locations of the 66 houses tested in North Louisiana are presented in Figure 4.1. From Figure 4.1, we can see that the majority of the houses sampled are from Ruston (87%) followed by Dubach (5%), Choudrant (2%), Monroe (2%) and Simsboro (2%). The data of 66 houses were split into two parts to perform cross-validation. Cross validation is a validation technique where in the data set is split into model building and prediction sets [41]. The first part comprising of 46 homes formed the model building set or the estimation sample where as the remaining 20 homes comprised of the prediction set or the validation sample. The complete set of data is presented in Appendix B1. The detail of the validation process is described in Section 4.7.

The data for this study were collected by performing Blower  $Door^{TM}$  tests in sixty-six homes in the Northern part of Louisiana. The details of the testing procedure using Blower  $Door^{TM}$  are as follows:

Step 1: Calculate the floor area and the volume of the home.

Step 2: Set control on pilot for all combustion appliances.

- Step 3: Turn off the air handler of the HVAC unit and remove the filter. Turn off attic fans, dryer and other exhaust fans.
- Step 4: Attach the Blower Door <sup>™</sup> to an exterior doorframe selecting one, which provides a clear airflow path to outside.
- Step 5: Prepare the Automated Performance Testing System (APT) measuring equipment for testing in depressurized mode.

Step 6: Launch the TECTITE<sup>TM</sup> software and run the process.

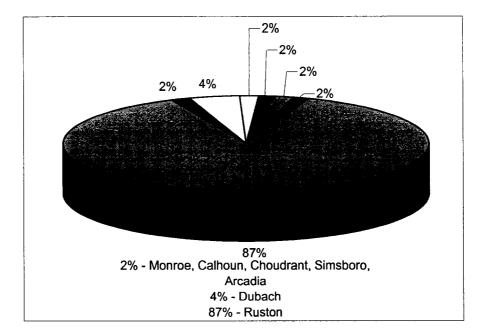


Figure 4.1 % Location of residential houses sampled.

In default mode of the TECTITE<sup>TM</sup> software, 100 data are collected at the beginning and end of the test for each set pressure difference between the home and outside (50, 45, 40, 35, 30, 25, 20 and 15 Pa). The output of this entire process gives airtightness measures such as CFM50, ACH50, Effective Leakage Area (ELA), and Equivalent Leakage Area (EqLA). It is important to note that this study has only considered the three most common measures of air-tightness - CFM50, ELA and EqLA. The definitions of all these measures were described in Chapter Two.

# 4.3 <u>Response Variables - CFM50,</u> <u>ELA and EqLA</u>

The three response variables or the dependent variables – CFM50, ELA and EqLA measured using Blower Door<sup>TM</sup> were compared against each other and the plots between these two variables are shown in Figures 4.2, 4.3 and 4.4. From Figure 4.2, we can see that as ELA increases CFM50 also increases. However, the relationship between

ELA and CFM50 widens beyond the 250 sq. in. and 4000 CFM mark. Considering the line of equality, a 45-degree line from the origin, we can see a few points lie away from the line of equality.

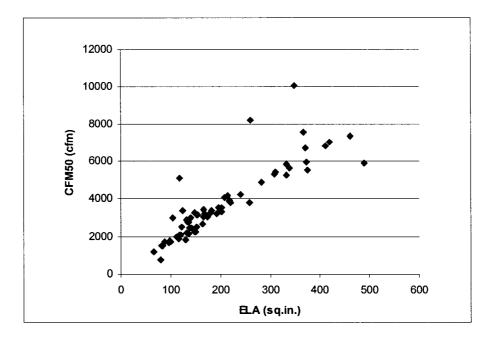


Figure 4.2 Plot of CFM50 vs. ELA.

The correlation coefficient between CFM50 and ELA was determined to be 0.88, which indicates a high correlation. However, this value of correlation does not indicate the agreement between them but measures only the strength of the relationship [42].

The relationship between ELA and CFM50 [12]: is given by:

$$ELA = 0.055 \text{ x CFM50}$$
 (4.1)

Equation 4.1 is an empirical relationship and the value of 0.055 is questionable. The data obtained by our study were compared against this model by calculating the ratio of ELA to CFM50. However, we obtained a mean of 0.056217 with a standard deviation of 0.011389 for the complete sample of 66 houses. Therefore, this suggests that there is a

kind of relationship between CFM50 and ELA but it is to be noted that these values vary from home to home.

The plot of EqLA vs. ELA is presented in Figure 4.3. From the plot of EqLA vs. ELA we can see a linear relationship between these two variables with a high correlation of 0.98. The average of ratio of EqLA to ELA was determined to be 1.895521 with a standard deviation of 0.167797. The obtained ratio is in accordance with the Blower Door<sup>TM</sup> Manual [21], which states that the calculated EqLA will typically be about two times as large as the ELA.

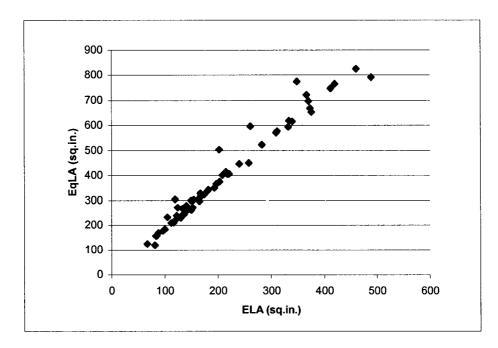


Figure 4.3 Plot of EqLA vs. ELA.

Figure 4.4 shows the plot of EqLA vs. CFM50 and we can see that as EqLA increases CFM50 also increases. However, the relationship between ELA and CFM50 widens beyond the 450 sq. in. and 4000 cfm mark. Considering the line of equality, we can see that there are a few points, which lie away from the line of equality.

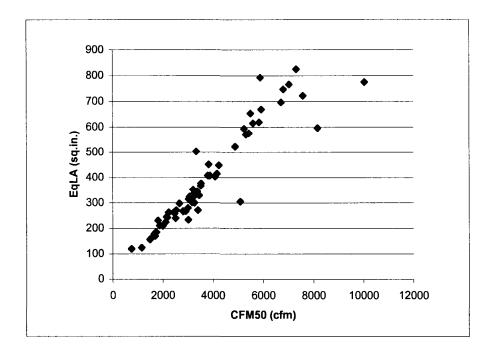


Figure 4.4 Plot of EqLA vs. CFM50.

The correlation coefficient between CFM50 and EqLA was determined to be 0.94, which indicates a high correlation. The average of ratio of EqLA to CFM50 was determined to be 0.105018 with a standard deviation of 0.014734. The value of 0.105018 is approximately twice the average of ratio of ELA vs. CFM50; which corresponds to the fact that the measured value of EqLA is approximately twice that of ELA.

## 4.4 Model Building Process

A sample of 46 observations (estimation sample) from the total of 66 observations was used in the model building process. To build the empirical model for determining the air-tightness based on physical information, we applied the multiple linear regression technique. Three regression models of the form

$$Y = B_0 + B_1 X_1 + B_2 X_2 + B_{p-1} X_{p-1} + e$$

were developed, where

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Y = air-tightness (CFM50 or ELA)

 $X_i$  = home parameters, i = 1,2,....p-1.

In the above model, Y (CFM50/ELA/EqLA) is the dependent/response variable where as house parameters constitute the independent/predictor variables. The home parameters considered in the model building process were Year of Construction/Built (YB), Floor Area (FA), Number of Stories (NOS), and Number of Bedrooms (NOB). These independent variables are easily available for a given home in a given region.

Table 4.1 shows the details of the predictor variable with their respective range and units for the total sample size of 66 homes. For example, Year Built has houses built between 1920 and 2004. The 42-home data consist of homes with 1, 1.5 and 2 stories and the number of bedrooms ranging from 2 to 5. The variables Number of Stories (NOS) and Number of Bedrooms (NOB) were considered as categorical variables while performing the regression analysis. Therefore, dummy variables also called indicator variables were introduced into the model building process. In general, a qualitative variable with 'a' levels is represented by 'a-1' indicator variables, each taking on the values 0 and 1 [34]. Tables 4.2 and 4.3 show the levels of the indicator variables for NOS and NOB.

| Predictors Units  |                 | Range        | House Parameters |
|-------------------|-----------------|--------------|------------------|
| Year Built        | Numerical Value | 1920 - 2004  | X1               |
| Floor Area        | Square Feet     | 1041 - 3866  | X <sub>2</sub>   |
| Number of Stories | Numerical Value | 1, 1.5 or 2  | X <sub>3</sub>   |
| Number of Bedroom | Numerical Value | 2, 3, 4 or 5 | X4               |

| Table 4.1 | Predictor | details. |
|-----------|-----------|----------|
|-----------|-----------|----------|

| X <sub>32</sub> |   |
|-----------------|---|
| 0               | If the observation is a home with 1 story   |
| 1               | If the observation is a home with 1.5 story |
| 0               | If the observation is a home with 2 story   |
|                 | X <sub>32</sub><br>0<br>1<br>0              |

Table 4.2 Levels of indicator variable for story.

Table 4.3 Levels of indicator variable for bedroom.

| X <sub>41</sub> | X <sub>42</sub> |   |
|-----------------|-----------------|---|
| 1               | 0               | If the observation is from a home with 2 bedrooms         |
| 0               | 1               | If the observation is from a home with 3 bedrooms         |
| 0               | 0               | If the observation is from a home with 4 or more bedrooms |

The house parameter NOS represented by  $X_4$  in Table 4.1 was categorized as  $X_{41}$  and  $X_{42}$  as shown in Table 4.2. The house parameter NOB,  $X_5$  was similarly categorized as  $X_{51}$  and  $X_{52}$  as shown in Table 4.3. The response for the above model was the airtightness measure of CFM50 or ELA or EqLA. The independent variables considered in Tables 4.1, 4.2 and 4.3 were used for regressing against CFM50, ELA and EqLA.

The initial regression model was modeled using the 46 observations from the estimation sample including the following variables:

| Dependent variable:   | ELA/CFM50/EqLA                                       |  |  |  |  |
|-----------------------|--|--|--|--|--|
| Independent variable: | YB, FA, NOS (S1 and S2 are the indicator variables), |  |  |  |  |
|                       | NOB (B2 and B3 are the indicator variables)          |  |  |  |  |

The model developed with CFM50 as the dependent variable will be termed

CFM50 and the one developed with ELA will be termed ELA and so forth in the entire report unless otherwise stated. This regression model was constructed separately for ELA, CFM50 and EqLA with the help of the SAS<sup>TM</sup> software. The presence of multi-collinearity was checked by determining the variance inflation factors (VIF). These factors measure how much the variances of the estimated regression coefficients are inflated as compared to when the independent variables are not linearly related [41]. A maximum VIF value in excess of ten is often taken as an indication that multi-collinearity may be unduly influencing the least squares estimates. The SAS<sup>TM</sup> program and the output of the above result are presented in Appendix B2 and B3 respectively. The outputs of the SAS<sup>TM</sup> results do not show any multi-collinearity effects.

To know which variables will contribute significantly to the model and overcome the problems of multi-collinearity, we performed the Stepwise selection method. Stepwise regression is a modification of forward selection in which at each step all regressors entered into the model previously are reassessed via their partial F-statistics [43]. Stepwise regression requires two cutoff values  $F_{IN}$  and  $F_{OUT}$ . In most applications, we choose  $F_{IN} > F_{OUT}$  and in our case, we apply  $F_{IN} = 0.10$  and  $F_{OUT} = 0.05$ . Applying the Stepwise regression technique to the three models (CFM50, ELA and EqLA), the results show that the variables Year Built, Area and S1 were significant for ELA and EqLA models. For CFM50 as the response variable, the results show that Year Built, and Area are significant. It is important to note that in all three models, the VIF is much less than 10 and hence there are no problems of multi-collinearity associated with these models. The results of the Stepwise selection process are summarized in Tables 4.4, 4.5 and 4.6. The detailed results of these runs using SAS<sup>TM</sup> is presented in Appendix B3. From Table 4.4, the included predictor variables in the model ELA are Area, YB and S1. Therefore the regression equation for the response ELA becomes

$$Y_{ELA} = B_0 + B_1 X_1 + B_2 X_2 + B_{31} X_{31} + e$$
(4.2)

Incorporating the parameter estimates we obtain the following regression Eq. 4.3

$$Y_{ELA} = 5217.08 - 2.63 X_1 + 0.10 X_2 - 57.52 X_{31} + e$$
 (4.3)

Table 4.4 Summary of Stepwise regression for ELA.

| Variable |                       | Number                                     | Partial  | Model   |  |   |
|----------|-----------------------|--|--|---|--|---|
| Entered  | Label                 | Vars In                                    | R-Square   | R-Square  | C(p) F Value   | Pr > F  |
|          |                       |  |  |   |  |   |
| Area     | Area                  | 1  | 0.4914   | 0.4914  | 41.6032 42.52  | <.0001  |
| YB       | YearBuilt             | t 2  | 0.1828   | 0.6743  | 13.5485 24.13  | <.0001  |
| S1       |                       | 3  | 0.0544   | 0.7286  | 6.6134 8.41  | 0.0059  |
|          | Entered<br>Area<br>YB | Entered Label<br>Area Area<br>YB YearBuilt | Entered Label Vars In<br>Area Area 1<br>YB YearBuilt 2 | Entered Label Vars In R-Square<br>Area Area 1 0.4914<br>YB YearBuilt 2 0.1828 | Entered Label Vars In R-Square R-Square<br>Area Area 1 0.4914 0.4914<br>YB YearBuilt 2 0.1828 0.6743 | Entered Label Vars In R-Square R-Square C(p) F Value<br>Area Area 1 0.4914 0.4914 41.6032 42.52<br>YB YearBuilt 2 0.1828 0.6743 13.5485 24.13 |

**Table 4.5** Summary of Stepwise regression for CFM50.

|      | Variable |           | Number  | Partial Model |          | 1       |         |        |
|------|----------|-----------|---------|---------------|----------|---------|---------|--------|
| Step | Enter    | ed Label  | Vars In | R-Square      | R-Square | C(p)    | F Value | Pr > F |
|      |          |           |         |               |          |         |         |        |
| 1    | Area     | Area      | 1       | 0.4449        | 0.4449   | 42.6889 | 35.27   | <.0001 |
| 2    | YB       | YearBuilt | 2       | 0.2635        | 0.7085   | 4.4778  | 38.88   | <.0001 |
| 3    | S1       |           | 3       | 0.0334        | 0.7419   | 1.3806  | 5.44    | 0.0246 |

From Table 4.5, the included predictor variables in the CFM50 model are YB and Area. Therefore the regression equation for the response CFM50 becomes

$$Y_{CFM50} = B_0 + B_1 X_1 + B_2 X_2 + e$$
(4.4)

Incorporating the parameter estimates we obtain the following regression Eq. 4.5

$$Y_{CFM50} = 115105 - 58.64 X_1 + 2.14 X_2 + e$$
(4.5)

The summary of Stepwise regression for EqLA as the response variable is presented in Table 4.6 with significant predictor variables YB, Area and S1.

Table 4.6. Summary of Stepwise regression for EqLA.

|     |       | Varia        | ıble |          | Number Partial |          | Model  |         |        |
|-----|-------|--------------|------|----------|----------------|----------|--------|---------|--------|
| Ste | ep Er | ntered Label | v    | ars In H | R-Square       | R-Square | C(p) I | 7 Value | Pr > F |
| 1   | Area  | Area         | 1    | 0.502    | 90.            | 5029 4   | 9.7899 | 44.52   | <.0001 |
| 2   | YB    | YearBuilt    | 2    | 0.222    | 80.            | 7257 1   | 0.6565 | 34.92   | <.0001 |
| 3   | S1    |              | 3    | 0.048    | 30.            | 7739     | 3.7462 | 8.96    | 0.0046 |

The regression equation for the response EqLA is

$$Y_{EqLA} = B_0 + B_1 X_1 + B_2 X_2 + B_{31} X_{31} + e$$
(4.6)

Incorporating the parameter values, we obtain the Eq. 4.7

$$Y_{\text{EaLA}} = 10732 - 5.40 X_1 + 0.19 X_2 - 102.40 X_{31} + e$$
(4.7)

Both EqLA and ELA have the same predictor variables significant as seen from Eqs. (4.2) and (4.6). In addition, the parameters also have the same sign indicating that the variables have a similar effect on the response variables in both models.

In general, all three models have a negative sign for the predictor variable Area. This suggests that as the age of the house increases, the values of air-tightness decreases. The positive sign of the parameter Area in all three models indicate that as the conditioned area increases the air-tightness value decreases. It is important to note that higher the value of air-tightness, the more leaky the house is. Also, in the ELA and EqLA models, single story buildings are significant. However, this conclusion on the number of stories cannot be generalized because of the small sample size considered for single and 1.5 story residential buildings. To make the model simpler and to avoid the negative consequences of the small sample size on the independent variables - NOS and NOB; we performed regression considering only Year Built and Area of home as independent variables. Therefore, similar equations obtained in Eqs. (4.3), (4.5), (4.7) for ELA, CFM50 and EqLA are obtained for the new model respectively. The detailed multiple regression for this analysis are presented in Appendix B3.

$$Y_{EqLA} = 9859.40 - 5.02 X_1 + 0.21 X_2 + e$$
(4.8)

$$Y_{CFM50} = 115105 - 58.64 X_1 + 2.14 X_2 + e$$
(4.9)

$$Y_{ELA} = 4726.77 - 2.41 X_1 + 0.11 X_2 + e$$
(4.10)

Eqs (4.8), (4.9) and (4.10) are the models considering only Area and the Year Built as independent variables. Eq. (4.9) is the same as Eq. (4.5) because the Stepwise regression concluded that Area and the Year Built were the only significant independent variables at a significance level of 0.01. All analyses from this point till the end of this chapter deal with these three equations unless otherwise stated.

### 4.5 Aptness of the Regression Model

The regression models for CFM50, ELA and EqLA (Eqs. (4.8), (4.9) and (4.10)) were checked for aptness in order to verify the major assumptions behind the regression analysis. The major assumptions behind the regression analysis are [43]:

- 1. The relationship between the response and regressors is linear, at least approximately.
- 2. The error terms have constant variance.
- 3. The errors are normally distributed.
- 4. The error term has zero mean.
- 5. The error terms are independent.

### 4.5.1 Nonlinearity of Variables

To review the relationship between the dependent variable and each of the independent variables, plots were generated with each of the dependent variables (CFM50, ELA and EqLA) against each of the independent variables. The plots are presented in Appendix B4 (Figures B4.1 to B4.6) and are not displaying any non-linear characteristics. The conditioned area vs. the response variable shows a linear effect. The residual plots, i.e. the plot of residuals vs. predicted for the three dependent variables, were plotted and is presented in Appendix B5 (Figures B5.1 to B5.3). Review of the plots shows that residuals are not displaying much of systematic tendencies or trends. Therefore, no transformations were done on these data. The interpretation of outliers, if present, is dealt with in detail in Section 4.7.

# 4.5.2 Nonconstant Error Variance

The plots of the residuals against the predicted values were used to test for a nonconstant error variance. The plots do not show any definite pattern. Hence, the regression model satisfies the assumption of nonconstant variance implying that the data are homoscedastic. The plots are presented in Appendix B5.

## 4.5.3 Normality of Error Terms

A significant departure from normality is a serious violation of the assumptions in regression. A simple method of checking the normality assumption is to construct a normal probability plot of the residuals [43]. The error terms are expected to fall approximately along a straight line if the normality assumption is satisfied. The normal probability plots in Figures 4.5, 4.6 and 4.7 shows that the resulting points lie approximately on a straight line (except for few outliers) verifying the assumptions of normality.

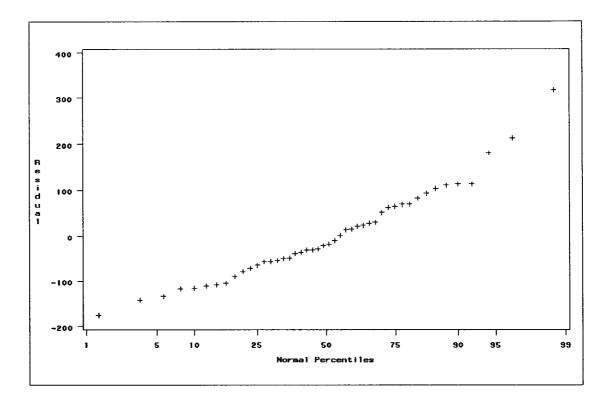


Figure 4.5 Normal plot for error terms of CFM50.

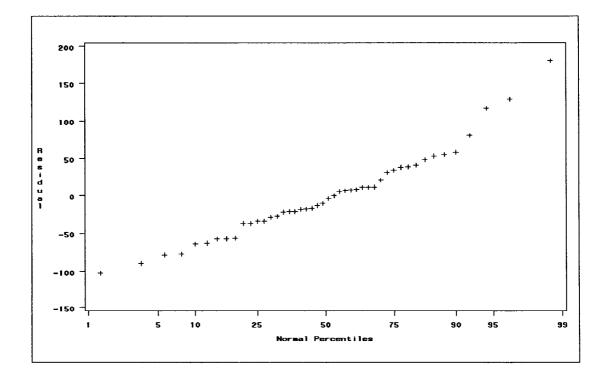


Figure 4.6 Normal plot for error terms of ELA.

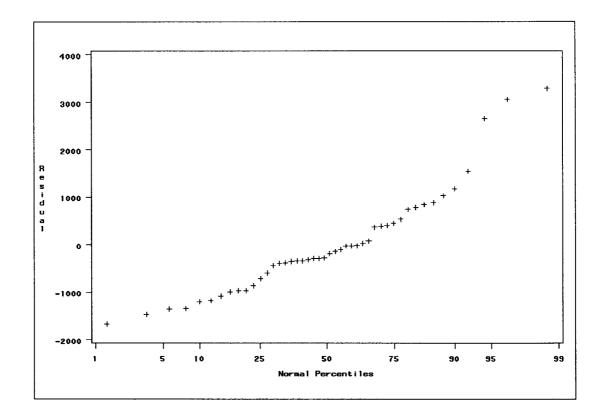


Figure 4.7 Normal plot for error terms of EqLA.

# 4.5.4 Independence of Error Terms

A regression model requires independence of the error terms. Again, a residual plot can be used to check this assumption. The independence of errors is verified by plotting predicted values against the residuals. A random, pattern-less lot with a scatter within +/- 2 standard deviations implies independent errors. The figures in Appendix B5 show the plot of residual vs. the predicted values for the three models. The graphs do not show dependence of error terms.

## 4.6 Outliers

The outliers for the data under study were investigated and are presented in Sections 4.6.1, 4.6.2, 4.6.3, and 4.6.4.

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### 4.6.1 X Outliers

Leverage values (diagonal element  $h_{ii}$  in the Hat matrix) greater than 2p/n are considered to be outlying cases. The target value is 2x(3)/46 = 0.13 for all the three models - ELA, EqLA and CFM50. Comparison of  $h_{ii}$  to the target value detected observations 1, 4, 11, 14, 35 and 36 as X outliers in the case of CFM50, ELA and EqLA. These observations need to be examined to determine if they are really influential or not. The outputs of the Hat diagonals are presented in Appendix B3.

### 4.6.2 Y Outliers

To identify outlying Y observations, an examination of the studentized deleted residuals (d<sub>i</sub>) for large absolute values and the appropriate t-distribution is necessary. Taking an alpha of 0.10, t <sub>tab</sub> = (0.9998, 42) = 2.970. Comparison of d<sub>i</sub><sup>\*</sup> to t <sub>tab</sub> for the case of EqLA and ELA, we find observation 22 as the outlying Y observation where as 37 as the outlying Y observation in the case of CFM50. The detailed output is presented in Appendix B3.

### 4.6.3 Influence of Outliers

To identify the influence of observations identified as X and Y outliers, the measures DFFITS were used. The results of all DFFITS measures are shown in Appendix B3 respectively.

#### 4.6.4 **DFFITS**

An observation is considered influential if the absolute value of DFFITS exceeds twice the square root of p/n for large datasets. The target value calculated was 0.51. Observations 11, 22, 35 and 37 are found to be influential in the case of CFM50 where as 4, 7, and 22 were found to be influential in the case of ELA. In the case of EqLA, observations 4, 7, 35 and 22 were found to be influential. Examination of the data associated with the observations did not reveal any typographical errors or miscalculations and therefore all the observations were retained. The regression equations of CFM50, ELA and EqLA were maintained as obtained before and the predictive power of these three models was determined. The details of predictive power of the three models are described in Section 4.7.

### 4.7 Model Validation and Predictive Power

The air-tightness model for EqLA, CFM50, and ELA was given in Eqs. (4.8), (4.9) and (4.10) respectively. The final step in a model-building process is validation of the above selected regression models. Model validation involves checking a candidate model against independent data. For this study, we employed the preferred method [41] of data splitting. The first set called the model-building set was used to develop the model. In this report, we term this data set as the estimation sample. The second data set called the validation set or the validation sample was used to determine the predictive ability of the selected model. In this study, the predictive ability of the three models was determined in order to the find the best predictive model. Splits of the data can be made random [41]. However, it is important that the model-building data should be large enough to obtain a reliable model. In this case, we have 46 observations in the estimation sample and 20 observations in the prediction sample with a total of 66 observations. Predictive capability can be determined by calculating the mean of the squared prediction errors (MSPR).

$$MSPR = \frac{\sum_{i=1}^{n^*} (Y_i - Y_i^{\wedge})^2}{n^*}$$
(4.11)

where,  $Y_i$  is the value of the response variable in the  $i^{th}$  validation case,

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 $Y_i$  hat is the predicted value of the  $i^{th}$  validation case based on the model building data set, and  $n^*$  is the number of cases in the validation data set.

If the MSPR is fairly close to the error mean square (MSE) based on the regression fit to the model building data set, then the MSE for the selected regression model is not seriously biased and gives an appropriate indication of the predictive ability of the model.

From Table 4.7, we can conclude that MSPR of CFM50 is very far from the MSE of model building data set, whereas the MSPR of ELA and EqLA is greater than twice that of the MSE of their respective model building data set.

| Model                | MSPR       | MSE        | β <sub>1</sub>          | β <sub>2</sub>      |  |
|----------------------|------------|------------|-------------------------|---------------------|--|
| Estimation<br>Sample |            |            | 95% confidence limits   |                     |  |
| CFM50                | -          | 1252981.00 | -77.60872<br>-39.67393  | 1.61156<br>2.66746  |  |
| ELA                  | -          | 3405.49    | -3.39765<br>-1.41997    | 0.08316<br>0.13821  |  |
| EqLA                 | -          | 10240.00   | -6.73866<br>-3.30936    | 0.16399<br>0.25944  |  |
| Validation<br>Sample |            |            |                         |                     |  |
| CFM50                | 1218783.00 | 1433863.00 | -113.40811<br>-32.28458 | -0.37415<br>2.95292 |  |
| ELA                  | 8535.04    | 10041.00   | -8.20149<br>-1.41279    | -0.09010<br>0.18832 |  |
| EqLA                 | 23294.50   | 27405.00   | -13.91515<br>-2.69988   | -0.11737<br>0.34260 |  |

**Table 4.7** Validation results.

The validation results suggest that the predictive ability of these two models may not be high. However, the predicting model should have an estimate of their predictive power. When a given process is represented by competing models, their respective predictive power can be used to select the most appropriate model [44, 45]. One approach to discriminate among alternative models is through assessing the predictive power of such models. In this study, the three competing models are CFM50, ELA and EqLA. We have employed the Theil's statistic [46] and Root-Mean-Square Percent Error (RMSPE) [47] to determine the predictive power of the two regression models.

Theil's Statistic is given by

$$U = \sqrt{\sum_{i} (Y_{i} - Y_{i}^{*})^{2}} / \sum_{i} Y_{i}^{2}$$
(4.12)

whereas RMSPE is defined as

$$RMSPE = \frac{1}{n^*} \sum_{i=1}^{n^*} \left(\frac{Y_i - Y_i^{\hat{}}}{Y_i^{\hat{}}}\right)^2$$
(4.13)

In both Theil's Statistic and RMSPE,  $Y_i$  and  $Y_i^{\uparrow}$  represents the actual and the predicted response value for the *i*<sup>th</sup> observation respectively. Table 4.8 shows the predictive power of the competing models with respect to Theil's Statistic and RMSPE.

Table 4.8 Predictive power of competing models.

| Statistic | CFM50  | EqLA   | ELA    |
|-----------|--------|--------|--------|
| Theil's U | 0.3055 | 0.3720 | 0.4077 |
| RMSPE     | 0.0042 | 0.006  | 0.0068 |

From Table 4.8, we can conclude that the CFM50 model outperforms the ELA as well as EqLA model since both Theil's U Statistic and RMSPE for CFM50 are lower than ELA and EqLA respectively.

### 4.8 Air-tightness Classification of Residential Homes

In Section 4.7, we determined that CFM50 model is a better model in estimating air-tightness. This section attempts to characterize the data of whole house leakiness to meaningful structures based on CFM50, Floor Area and Year Built. Due to the small

sample size, additional data from New Orleans was added to increase the sample size of homes to 83. The study attempted will enable us to:

- 1. Characterize the homes based on observable factors such as age and conditioned area.
- 2. Develop a classification chart by which we can segregate the homes based on the most influential factors.
- 3. Verify the model building outcomes of Section 4.4.

We have employed the Cluster Analysis technique to classify the data of 83 homes. Cluster Analysis comprises of classification algorithms that organize observed data into meaningful structures [48]. There are basically two methods of clustering, hierarchical and non-hierarchical clustering methods [49]. In this study, we have used the non-hierarchical method to cluster the data into meaningful structures. We have used SAS<sup>™</sup> software to perform cluster analysis. The SAS's<sup>™</sup> FASTCLUS procedure was used to perform a disjoint cluster analysis on the basis of distances computed from one or more quantitative variables [50]. The FASTCLUS procedure combines an effective method for finding initial clusters with a standard iterative algorithm for minimizing the sum of squared distances from the cluster means. For all the details, refer to *Applied Multivariate Methods for Data Analysts* by Dallas E. Johnson.

The variables used in the cluster detection algorithm are called basis variables. From a purely exploratory point of view, all available information should be included as basis variables in the analysis. From a practical point of view, however, it is desirable to select basis variables that have the potential to be both analytically and strategically useful. Myers [51] provides an excellent discussion on the types of basis variables and the need for careful forethought when selecting basis variables for use in cluster identification algorithms. In this case, we select the base variables as CFM50, Year Built and Floor Area as per the conclusions obtained in Sections 4.4 and 4.7.

The SAS<sup>TM</sup> program and the detailed output using the PROC FASTCLUS are presented in the Appendix B6. The procedure (PROC FASTCLUS) was run twice (Run1 and Run2) to test whether or not the number of clusters generated was significant or not. In the first run, we set a predetermined number of clusters to be formed. In this case, we set the maximum number of clusters to be three, as it seemed very reasonable based on the sample size and the range of basic variables. It is important to note that the values of the variables were standardized with mean equal to 0 and standard deviation equal to one.

The output of PROC FASTCLUS for the first run is presented in Table 4.9 and the output obtained is in the standardized form. We can see that there are 10, 61, and 12 homes respectively in clusters one, two and three. From the cluster means, we can conclude that as the age of the house and the conditioned area increases the air-tightness decreases. This observation can be seen in the case of cluster three. However, in the case of cluster two, we observe that smaller area and newly built homes have a smaller CFM50 values. The negative and positive sign indicates the direction of the magnitude of values.

|       |                                      |          |   |   | Cluster Summary   | )   |   |  |           |        |
|-------|--------------------------------------|----------|---|---|---|---|---|--|-----------|--------|
|       |                                      |          |   | Ma  | ximum Distance  |   |   |  |           |        |
|       | <b>r</b>                             |          | RMS Std   |   | from Seed   | Radius  | Nearest                                       |  | stance E  |        |
| uster | Frec                                 | luency   | Deviation   |   | to Observation  | Exceeded  | Cluster                                       | LIU  | ister Cer | itrola |
| 1     |                                      | 10       | 0.9042  |   | 2.4190  |   | 3   |  |           | 2.899  |
| 2     |                                      | 61       | 0.5596  |   | 1.8083  |   | 3   |  |           | 2.563  |
| 3     |                                      | 12       | 0.9484  |   | 3.1050  |   | 2   |  |           | 2.563  |
|       |                                      |          |   | Sta   | tistics for Vari  | ables   |   |  |           |        |
|       |                                      | Variable | Total S   | STD   | Within STD  | R-Square  | RSQ/(1-R                                      | ISQ )  |           |        |
|       |                                      | year     | 1.00  |   | 0.64195   | 0.597954  | 1.487   |  |           |        |
|       |                                      | area     | 1.00  |   | 0.73546   | 0.472286  | 0.894   |  |           |        |
|       |                                      | cfn50    | 1,00  |   | 0.63127<br>0.67119  | 0.611222<br>0.560487  | 1.572<br>1.275                                |  |           |        |
|       |                                      |          |   |   |   |   |   |  |           |        |
|       |                                      | over-All | 1.00  | 000   | V.0(113   | 0.300401  |   | 0241   |           |        |
|       |                                      | UVER-ALL |   |   | • F Statistic =   | 51.01   |   | 0241   |           |        |
|       |                                      | UVER-HLL |   | seudo   | F Statistic =   |   |   | 5247   |           |        |
|       |                                      |          |   | seudo   |   |   |   |  |           |        |
|       | Cluste                               |          | P   | seudo   | o F Statistic =<br>uster Means  |   |   | °m50   |           |        |
|       | Cluste<br>1                          | r        | P:<br>بر<br>1.993938  | c1<br>ear<br>979  | F Statistic =<br>uster Means<br>-0.68935  | 51.01<br>area<br>59257  | cf<br>1.370949                                | <sup>°</sup> m50   |           |        |
|       | Cluste                               | r        | P:<br>vi  | seudo<br>C1<br>ear<br>979<br>881                            | o F Statistic =<br>uster Means  | 51.01<br>area<br>19257<br>18372   | cf  | `m50<br>3444<br>3561   |           |        |
|       | Cluste                               | r        | P:<br>-1.993938<br>0.374708<br>-0.243154                            | seudo<br>C1<br>ear<br>979<br>881<br>327                     | -0.68935<br>-0.20523  | 51.01<br>area<br>9257<br>8372<br>1107                                   | cf<br>1.370949<br>-0.466148                   | `m50<br>3444<br>3561   |           |        |
|       | Cluste                               | <b>*</b> | P:<br>-1.993938<br>0.374708<br>-0.243154<br>Cluste                  | seudo<br>C1<br>ear<br>979<br>881<br>327                     | -0.68935<br>-0.20523<br>1.61776   | 51.01<br>area<br>9257<br>8372<br>1107                                   | cf<br>1.370949<br>-0.466148<br>1.227130       | `m50<br>3444<br>3561   |           |        |
|       | Cluste<br>1<br>2<br>3<br>Cluste<br>1 | <b>*</b> | P:<br>-1.993938<br>0.374708<br>-0.243154<br>Clust<br>94<br>0.451566 | c1<br>ear<br>979<br>881<br>327<br>er S<br>ear<br>322        | F Statistic =<br>uster Means<br>-0.68935<br>-0.20523<br>1.61776<br>tandard Devia<br>0.82946 | 51.01<br>area<br>9257<br>8372<br>1107<br>tions<br>area<br>5929          | cf<br>1.370949<br>-0.466148<br>1.227130       | °m50<br>3444<br>3561<br>3650<br>650                              |           |        |
|       | Cluste<br>1<br>2<br>3<br>Cluste      | <b>*</b> | P:<br>-1.993938<br>0.374708<br>-0.243154<br>Clust                   | C1<br>ear<br>979<br>881<br>327<br>er S<br>ear<br>322<br>910 | • F Statistic =<br>uster Means<br>-0.68935<br>-0.20523<br>1.61776<br>tandard Devia          | 51.01<br>area<br>9257<br>8372<br>1107<br>tions<br>area<br>55929<br>5529 | cf<br>1.370949<br>-0.466148<br>1.227130<br>cf | <sup>2</sup> m50<br>3444<br>3561<br>3650<br>fm50<br>3775<br>4165 |           |        |

#### Table 4.9 Run1 results from PROC FASTCLUS procedure.

The clustering of the data points is presented in Figure 4.8. The numerical data points correspond to the respective clusters and we can see that cluster one is separated from the other two clusters distinctly. Prin2 and Prin1 on the axes are the principal components output obtained using FASTCLUS procedure. The principal components are artificial variables generated that will account for most of the variance in observed variables.

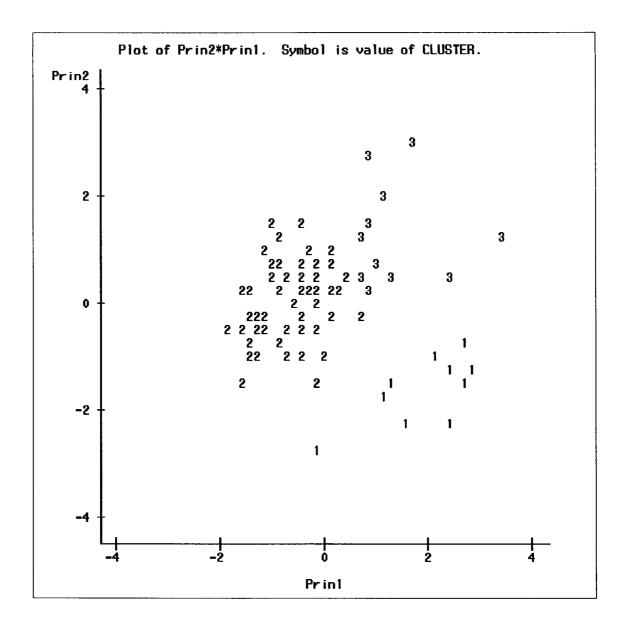


Figure 4.8 Plot of the clusters using run1.

The cluster means on the original data from Run1 is presented in Table 4.10. The mean values of CFM50 for cluster one is 8068 cfm with mean year 1921 and mean area 1576 sq. ft. whereas cluster three has mean cfm50 of 7668 with mean area equal to 3164 sq. ft. with mean year of construction 1964. Comparing clusters one and three with two, we can conclude that newer and smaller homes have higher air-leakage rates.

|               |          |                    | The SAS System                 | 03:03 Sund             | ay, October                |
|---------------|----------|--------------------|--------------------------------|------------------------|----------------------------|
| *******       |          | ****               | - Cluster=1                    | ******                 | *******                    |
|               |          | The                | NEANS Procedure                |                        |                            |
| Variable      | H        | Nean               | Std Dev                        | Nininun                | Maximun                    |
| year          | 10       | 1921.20            | 11.1035530                     | 1900.00                | 1930.00                    |
| area<br>cfn50 | 10<br>10 | 1576.33<br>8068.10 | 571. <b>1355746</b><br>3472.46 | 535.0000000<br>2915.49 | 2284.80<br>12558.48        |
| Variable<br>  | N<br>61  | Nean<br>1979.44    | Std Dev                        | Minimum<br>1940.00     | Maxinun<br>2004.00         |
| area<br>area  | 61       | 1909.68            | 470.8881097                    | 816.0000000            | 2899.00                    |
| cfn50         | 61       | 2961.55            | 1161.86                        | 747.0000000            | 5889.00                    |
| -422442       | *****    | ****               | - Cluster=3                    | ******                 | <b>乐张集会中称录为集</b> 新集        |
| Variable      | H        | Nean               | Std Dev                        | Nininun                | Maxinun                    |
| year          | 12       | 1964.25            | 27.0458365                     | 1905.00                | 1990.00                    |
| area<br>cfn50 | 12<br>12 | 3164.92<br>7668.33 | 623.4906806<br>2272.49         | 2250.00<br>4696.09     | <b>4148.00</b><br>12305.89 |

Table 4.10 Cluster means on the original data from run1.

It is important to note that the number of homes in cluster four is only three. This low frequency of homes in cluster four suggests an ineffective clustering process. However, the significance tests in regards to the appropriate number of clusters were tested using Beale's pseudo F-statistic [49].

The Beale's pseudo F-statistic is given by

$$F^* = J x (U) x (L)^{-1}$$
 (4.14)

where,

F\* = Beale's psuedo F-statistic

- $w_2$  = intracluster residual some of squares from run two (Run2)
- $w_1$  = intracluster residual some of squares from run one (Run1)
- N = total number of observations

 $c_1 =$  Number of clusters in Run1

 $c_2 =$  Number of clusters in Run2

 $k_1 = c_1^{-2/p}$ 

 $k_2 = c_2^{-2/p}$ 

p= number of variables

$$J = (w_1 - w_2) / w_2$$

$$\mathbf{U} = (\mathbf{N} - \mathbf{c}_2) \mathbf{k}_2$$

 $L = (N - c_1) k_1 - U$ 

In this analysis, the respective substitutions for the Beale's pseudo F-statistic are as follows:

$$w_2 = 88.70, w_1 = 108.12, N = 66, c_1 = 3, c_2 = 4, k_1 = 0.4807, k_2 = 0.3968, p = 3$$

The Beale's pseudo F-statistic was determined to be 0.9694. The table value with numerator degrees of freedom seven and denominator degrees of freedom 31 was determined to be 2.49. Since the F calculated value (0.9694) is less than F table value (2.33), we can conclude that four-cluster solution is not significantly better than the three-cluster solution. The SAS<sup>TM</sup> output for the cluster analysis is presented in Appendix B7. Table 4.11 shows the results obtained from Run2 of PROC FASTCLUS. Run2 has produced four clusters.

#### Table 4.11 Run2 results from PROC FASTCLUS procedure.

#### Cluster Sunnary

| Cluster | Frequency | RMS Std<br>Deviation | Naxinun Distance<br>from Seed<br>to Observation | Radius<br>Exceeded | Nearest<br>Cluster | Distance Between<br>Cluster Centroids |
|---------|-----------|----------------------|---|--------------------|--------------------|---------------------------------------|
| 1       | 10        | 0.9042               | 2.4190  |                    | 4                  | 2.9966                                |
| 2       | 52        | 0.5173               | 1.7091  |                    | 3                  | 1.7127                                |
| 3       | 18        | 0.6616               | 2.2039  |                    | 2                  | 1.7127                                |
| 4       | 3         | 0.7406               | 1.2003  |                    | 1                  | 2.9966                                |

## Statistics for Variables

| Variable | Total STD | Nithin 810 | A-Square | RSQ/(1-RSQ) |
|----------|-----------|------------|----------|-------------|
| year     | 1.00000   | 0.53729    | 0.721886 | 2.595646    |
| area     | 1.00000   | 0.67404    | 0.562293 | 1.284633    |
| cfm50    | 1.00000   | 0.61553    | 0.634980 | 1.739574    |
| OVER-ALL | 1.00000   | 0.61152    | 0.639720 | 1.775615    |

#### Cluster year cfm50 area -1.9939389791.370949444 1 -0.689359257 2 0.375478149 -0.361549421 -0.535725921 3 0.329595588 1.066149549 0.409193954 4 -1.8393981742.167823534 2.260920762 **Cluster Standard Deviations** Cluster cfm50 year area 0.451566322 0.829465929 1.249229775 1 2 0.547789827 0.600557150 0.376878197

0.797586972

0.495619464

0.637937227

0.928492989

0.519690581

0.733164209

Cluster Means

From Table 4.10, Run1 shows that homes in clusters one and three have higher CFM50 values. These high CFM50 values correspond to homes, which are built between 1900 and 1990 with maximum conditioned area of 4148 sq. ft. From Cluster two, we see that houses with a slightly larger conditioned area and built between 1940 and 2004 have lower CFM50 values. However, there are no concrete indicators suggesting that homes between 1990 and 2004 are much tighter. To be more accurate, a larger sample is

3

4

recommended to perform the cluster analysis. However, this analysis has indicated that older and larger homes tend to be leakier. Therefore, this study has attempted to characterize the air-tightness of homes based on age of the house and the conditioned area. The study also verifies that age of the house and the conditioned area are some of the major observable factors influencing air-tightness as modeled in Section 4.4.

#### 4.9 Conclusions

Three models (CFM50, ELA and EqLA) to determine air-tightness based on the age of the home and conditioned area was developed using multiple regression analysis (Eqs. (4.9), (4.10) and (4.11)). The parameter estimates from these three models shows that as the age of the house increases, the air leakage increases in Louisiana homes. The conditioned area also shows a similar trend with respect to air leakage. Based on the predictive power, we can conclude that CFM50 is a better predictive model than ELA and EqLA. The proposed model will be very beneficial to those who are involved with building science, especially those who want quick and reasonable estimate of air-tightness. The model will be advantageous for energy raters as well as those involved in real estate. Basically, the model will be useful for regional energy estimates and policies regarding duct leakage and savings, which can be obtained by fixing them. However, it should be stressed again that the model is region-specific and cannot be applied without having prior knowledge of building characteristics in that specific region.

The cluster analysis performed in Section 4.8 gives an insight in segmenting homes with respect to age and conditioned area. From the air-tightness tests performed in 83 homes in the State of Louisiana, those constructed before 1990 and maximum conditioned area of 4148 sq. ft. have higher air leakage rates.

## **CHAPTER 5**

## **GENERALIZED SUBTRACTION ALGORITHM**

The Subtraction Method measures duct-leakage-to-outside (hereinafter referred to as "duct-leakage") by subtracting Blower Door <sup>TM</sup> induced airflow out of a home during two consecutive tests, the only difference between these two tests being the taping of the duct registers in the second test. These test procedures are best presented in the research report "Testing HVAC Duct Leakage in Existing Residential Buildings in North Louisiana" [34]. Since these measurements involve changes in a variety of environmental parameters, duct-leakage is often underestimated. To correct for these differences [22], Modified Subtraction calculates duct-leakage by multiplying the result of a pure Subtraction Method by a Subtraction Correction Factor (*SCF*), where *SCF* = 50 <sup>0.65</sup> / [ $50^{0.65} - D^{0.65}$ ] and *D* is the pressure difference between the ducts and attic when the registers are taped while the home is depressurized to 50 Pa.

Modified Subtraction assigns the calculated duct-leakage to 50 Pa. However, Modified Subtraction assumes that the attic pressure does not change when the home is depressurized – an assumption that does not match conditions usually found in practice. This and other practical problems are addressed and included in the derivation of the Generalized Subtraction Correction Algorithm (GSCA). GSCA principally differs from Modified Subtraction by using the attic pressure to calculate a generalized *SCF*. The study on 55 homes in North Louisiana provided in Chapter Five will illustrate this methodology. GSCA's accuracy heavily depends upon the accuracy of a pair of houseleakiness tests, determination and characterization of house-leakiness are other practical problems addressed and ameliorated in this study. House-leakiness testing is also discussed here because both objectives, ascertaining duct-leakage and house-leakiness, can be accomplished simultaneously by performing a standard set of flow measurements via depressurizations of the home at a series of pressures. While the ASTM Standard E779-03, the American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE) Standard 136, and the Canadian General Standards Board (CGSB) Standard 149.10-M86 already require that house-leakiness should be tested at a series of pressures [53, 54], this study explains how house-leakiness tests taken at a series of pressures also enhance the estimation of duct-leakage. Conversely, since GSCA collects pressure and pressure-coupling data with registers taped and again with registers untaped, and these data are not normally used in a house-leakiness test, GSCA can uncover errors in house-leakiness data collection. Thus, provided herein is a testing technique and system analysis that will improve the determination of the two most important energy-performance tests of a home: house-leakiness and duct-leakage.

In addition, our characterization of house-leakiness contains an enhancement of the standard by introducing a new parameter, the attic-to-home pressure-coupling ratio. This pressure-dependent function can be used to estimate the proportion of the houseleakiness associated with the home's connection to its attic. Moreover, the attic-to-home pressure-coupling ratio is pivotal to checking the applicability of GSCA.

Although originally derived for the case when ducts are installed in attics, GSCA can be applied to crawlspaces or other semi-exposed locations; in that case, the energy

rater should merely measure the pressure of the volume enclosing the ducts instead of the attic. It should be noted that even GSCA in its present form cannot be applied to a home with two or more independent duct-systems or a single duct-system in more than one independent pressure-volume adjoining a home, for example: an attic and a crawlspace. It should also be noted that in this derivation, it is assumed that the pressure in the ducts is uniform throughout.

#### 5.1 Merits of GSCA

GSCA generalizes and corrects Modified Subtraction [22] by:

- 1. incorporating the change in attic pressure,
- 2. assigning duct-leakage to the actual pressure difference between ducts and attic,
- 3. using an average duct-leakage instead of house-leakiness flow-exponent,
- 4. incorporating the change of the duct-system pressure when the registers are untaped,
- allowing the calculation of duct-leakage at house depressurizations other than 50
   Pa,
- calculating duct-leakage when the pressure difference between attic and ducts is
   25 Pa, and
- 7. allowing for a posteriori reviews of the calculated and observed parameters that help confirm the accuracy of data collection and check the reliability of both house-leakiness tests.

#### 5.2 Data Collection Procedure

 Perform a depressurization test with a Blower Door<sup>TM</sup> to depressurize the house to P. a. Record the flow as Q for untaped.

b. Record the pressure in the duct system with respect to the attic,  $P_{\rm D}$ .

c. Record the pressure in the attic with respect to inside,  $P_{A}$ .

- 2. Remove the HVAC filters and tape all the registers. Perform a second depressurization test with a Blower  $\text{Door}^{\text{TM}}$  to depressurize the house to *P*.
  - a. Record the flow as Q' for taped.
  - b. Record the pressure in the duct system with respect to the attic,  $P'_{D}$ .
  - c. Record the pressure in the attic with respect to inside,  $P'_{A}$ .

Under these conditions, duct-leakage at 25 Pa (also called CFM25) is defined as the flow from the ducts to the attic when the pressure between the ducts and the attic is 25 Pa. This value of duct-leakage cannot be measured directly by the procedure just described since the measurement apparatus is set to the house depressurization with respect to the outside, P, and not the duct-system's depressurization with respect to the attic,  $P_{\rm D}$ . This procedure calculates duct-leakage but the pressure between the ducts and the attic,  $P_{\rm D}$ , at which the measurement is performed, cannot be known at the time the house depressurization pressure, P, is chosen. We first show that, although we cannot expect to directly obtain the duct-leakage at 25 Pa or at 50 Pa by a single application of this procedure at one pressure, P, it is possible to extract these values through a coordinated set of two or more runs of this same experiment, i.e., each set at a specific value of P for two or more different values of P.

When the home is depressurized twice (once before, and once after the ductregisters are taped), the difference in the flow through the Blower Door<sup>TM</sup> is taken to be, as a first approximation, the duct-leakage (to outside the conditioned space) at some specific pressure. However, for the same pressure regime P, the pressure difference between the ducts and attic differs in the taped and untaped cases; i.e.,  $P_D$  does not equal  $P'_D$ . To correct for this experimental situation, a Subtraction Correction Factor (*SCF*) is introduced in which the two flows, Q and Q', are taken at different pressures,  $P_D$  and  $P'_D$ where, Duct-Leakage (to Outside) is the difference between the untaped and taped flows times the *SCF*.

#### 5.3 Derivation of the Generalized SCF

We start by deriving the formula for the *SCF*. Several limiting conditions on this derivation will be addressed in the comments that follow the description of GSCA. Figure 5.1 shows the typical airflows in residential homes.

Holes between the ducts and the attic provide the area where duct-leakage must occur.

$$Q = \text{flow from house to outside} = \text{flow through Blower Door}^{\text{TM}}$$
$$= \text{flow not via ducts} + \text{flow via ducts}$$
$$Q = Q_{\text{nvd}} + Q_{\text{vd}} \quad (5.1)$$

Notice that both of these flows are divided into two flows as described in the diagram.

$$Q_{\rm vd} = \text{flow via ducts}$$

$$= \text{flow via registers} + \text{flow via ducts but not}$$

$$\operatorname{registers}$$

$$= Q_{\rm vr} + Q_{\rm vdbnr} \quad (5.2)$$

$$Q_{\rm nvd} = \text{flow not via ducts}$$

$$= \text{flow not via attic} + \text{flow via attic but not ducts}$$

$$= Q_{\rm nva} + Q_{\rm vabnd} \quad (5.3)$$

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However, for the remainder of the derivation of SCF, the distinction between these last two flows,  $Q_{nva}$  and  $Q_{vabnd}$ , will not be needed; therefore, only  $Q_{nvd}$  will be utilized.

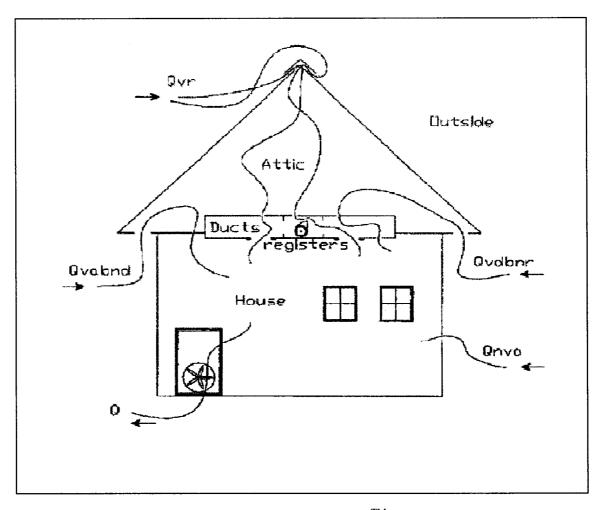


Figure 5.1 Airflows generated by using a Blower Door<sup>TM</sup> to depressurize a home.

Thus:

$$Q = Q_{nvd} + Q_{vd}$$

$$= Q_{nvd} + Q_{vr} + Q_{vdbnr}$$

$$Q_{dlo} = duct-leakage (to outside)$$

$$= flow into the ducts from the attic$$

Therefore,

$$Q_{\rm dlo} = Q_{\rm vr} + Q_{\rm vdbnr} \tag{5.4}$$

Thus:

$$Q = Q_{\rm nvd} + Q_{\rm dlo} \tag{5.5}$$

Empirically it has been found [31] that airflow for house-leakiness, as a function of the pressure difference between the home and outside, typically follows a power-law over the range of pressures utilized in these measurements

$$Q = \kappa P^n \tag{5.6}$$

Experience from alternative testing methods [56, 34] has confirmed that the same power-law relationship applies to duct-leakage, namely

$$Q_{\rm dlo} = \kappa_{\rm D} P_{\rm D}^{m} \tag{5.7}$$

where  $\kappa_{\rm D}$  is the coefficient for the flow-equation for duct-leakage as a function of the pressure difference between the attic and the ducts. Note that the exponent for duct-leakage, *m*, may be different from *n*, the exponent for house-leakiness. Also note that  $P_{\rm D}$  is the pressure difference between the ducts and the attic with the registers untaped. In each case, the exponents, *n* and *m* apparently depend on all of the conditions of the test, and  $\kappa$ ,  $\kappa_{\rm D}$ , *n* and *m* are constants for any particular home. For each home, the empirically obtained values of *n* and *m* have been found to vary within the range of 0.5 to 0.8, while their average values over a large number of homes were reported to be close to 0.65 for *n* and 0.60 for *m* [56, 34].

We define

$$Q' =$$
 the flow with the registers taped.  
 $Q' = Q'_{nvd} + Q'_{vr} + Q'_{vdbnr}$  (5.8)

Since the registers are taped,  $Q'_{vr} = 0$  and

$$Q' = Q'_{\text{nvd}} + Q'_{\text{vdbnr}}$$
(5.9)

During the taped case, the flow into the ducts must equal the flow out of the ducts. Thus:

$$Q'_{\rm dlo} = Q'_{\rm vdbnr} = \kappa_{\rm D}^2 P'_{\rm D}^{m'}$$
(5.10)

$$Q' = Q'_{\text{nvd}} + Q'_{\text{dlo}}$$
(5.11)

As defined in Step 2 of the data-collection procedure,  $P'_D$  is the pressure difference between the ducts and the attic with registers taped. The *m'* in Eq. (5.10) is primed because it refers to the taped case and, from our measurements, depends on all the parameters of the test. Similarly,  $\kappa'_D$  is the (constant) coefficient for the flowequation restricted to flow through the attic to the ducts.

From Eq. (5.5) and Eq. (5.11) we obtain

$$Q - Q' = (Q_{nvd} + Q_{dlo}) - (Q'_{nvd} + Q'_{dlo})$$
 (5.12)

Assuming house-leakiness to be independent of the change in the flow through the ducts,  $Q_{nvd} = Q'_{nvd}$  (this relationship can be relied upon when empirical observation confirms that  $P_A$  is essentially the same as  $P'_A$ ; see the Untaped and Taped Attic Pressures comment), we obtain

Q - Q' =  $Q_{dlo}$  -  $Q'_{dlo}$ 

Substituting Eq. (5.10) into this equation, we obtain

 $Q - Q' = \kappa_{\rm D} P_{\rm D}^{\ m} - \kappa_{\rm D} P_{\rm D}^{\ m'}$  (5.13)

By definition

$$SCF = duct-leakage / [Q - Q']$$
 (5.14)

Thus

$$SCF = \kappa_{\rm D} P_{\rm D}^{m} / [\kappa_{\rm D} P_{\rm D}^{m} - \kappa_{\rm D}^{\prime} P_{\rm D}^{\prime m'}]$$
 (5.15)

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We now assume that  $\kappa_{D} = \kappa_{D}^{2}$ . This is a reasonable assumption since the flow coefficient for the flow across the boundary between the duct system and the attic, at various pressure differences between the ducts and attic, is clearly independent of whether the registers are taped or not; similarly, m = m'. Thus

$$SCF = P_{\rm D}^{m} / [P_{\rm D}^{m} - P_{\rm D}^{\prime m}]$$
 (5.16)

We believe that the best assumption for the value of m is to use a value obtained by averaging a large set of duct-leakage results, m = 0.60 [56, 34] (as opposed to that obtained by averaging over a large set of house-leakiness results, namely n = 0.65; see the Choice of Flow Exponent comment). Therefore

$$SCF = P_{\rm D}^{0.6} / [P_{\rm D}^{0.6} - P_{\rm D}^{\prime 0.6}]$$
(5.17)

This completes the derivation of *SCF* for any depressurization pressure, *P*; but it should be stressed again that, the calculated *SCF* should be assigned to the value of  $P_D$ , not the value of *P*. However, although it seems that the value of *SCF* depends upon *P* or  $P_D$ , as we shall see below, in most cases *SCF* does not depend upon the choice of either *P* or  $P_D$  used for the test.

Theorem: If the values of  $P_D$  and  $P'_D$  are directly proportional to P, then the value of SCF does not depend upon P.

Proof: The values of  $P_D$  and  $P'_D$  are proportional to P. That is, for any given home

$$P_{\rm D} = K * P \tag{5.18}$$

$$P'_{\rm D} = K' * P$$
 (5.19)

for some constants K and K'.

Then, substituting Eqs. (5.17) and (5.18) into Eq. (5.15)

$$SCF = (K * P)^{0.6}$$
 /  $[(K * P)^{0.6} - (K * P)^{0.6}]$ 

$$= K^{0.6} / [K^{0.6} - K^{0.6}]$$
(5.20)

GSCA has proven to provide a significant benefit over Modified Subtraction for homes that have all of their duct-systems limited to conditioned space and a single attic, and when the attic's pressure changes by more than 2 Pa when the home is depressurized to 50 Pa. In almost one hundred homes investigated within Louisiana, each with a single HVAC system, almost invariably  $P_D$  and  $P'_D$  appeared to be directly proportional to Pand GSCA was applicable. The following result characterizes the situation where we should expect this very common linearity and gives insight into the physical situation in a home when this condition is not met.

Theorem: When duct-leakage (to the attic) is very small with respect to house leakage to the attic,  $P_D$  is proportional to P.

Proof: All singly-subscripted pressures are referenced to outside unless otherwise stated,  $Q_{ad}$  = flow from attic to ducts and  $Q_{dh}$  = flow from ducts to house. Therefore

$$Q_{\rm dh} = Q_{\rm ad}$$

From Eq. (5.10), assuming the same exponent, *m*, for all duct-leakage flows

$$\kappa_{\rm dh} \left( P_{\rm d} - P_{\rm h} \right)^m = \kappa_{\rm ad} \left( P_{\rm a} - P_{\rm d} \right)^m \tag{5.21}$$

Therefore, solving for  $P_{\rm h}$ 

$$P_{\rm h} = \{ [1 + (\kappa_{\rm ad}/\kappa_{\rm ah})]^{1/m} \} P_{\rm d} - (\kappa_{\rm ad}/\kappa_{\rm ah})^{1/m} P_{\rm a}$$
(5.22)

We shall now show that  $P_d$  and  $P_a$  are proportional to  $P_h$ .

Consider the flows into and out of the attic:

$$Q_{oa}$$
 = flow from outside to attic  
 $Q_{vabnd}$  = flow (from attic to house) via attic but not ducts  
 $Q_{vd}$  = flow (from attic to house) via ducts

By conservation of mass out of and into the attic, respectively

$$Q_{\rm oa} = Q_{\rm vabnd} + Q_{\rm vd}$$

Utilizing Eq. (5.6) and assuming all the exponents are the same (to within a reasonable approximation; see the *Choice of Flow Exponent* comment)

$$\kappa_{\text{oa}} (P_{\text{a}})^{m} = \kappa_{\text{vabnd}} (P_{\text{h}} - P_{\text{a}})^{m} + \kappa_{\text{vd}} (P_{\text{d}} - P_{\text{a}})^{m}$$
(5.23)

Since, for the vast majority but not necessarily all homes, the leakage from the house to the attic is much greater than the leakage from the ducts to the attic, i.e.

$$\kappa_{\text{vabnd}} \left( P_{\text{h}} - P_{\text{a}} \right)^{m} \gg \kappa_{\text{vd}} \left( P_{\text{d}} - P_{\text{a}} \right)^{m}$$
(5.24)

Eq. (5.24) becomes

$$\kappa_{\rm oa} P_{\rm a}^{\ m} \simeq \kappa_{\rm vabnd} \left( P_{\rm h} - P_{\rm a} \right)^{\ m}$$
(5.25)

Thus, for homes satisfying the approximation of Eq. (5.25), the pressure in the attic,  $P_a$ , is essentially proportional to  $P_h$ , the house pressure. Since  $P_a$  is proportional to  $P_h$ , then from Eq (5.22),  $P_d$  is also proportional to  $P_h$ .

Corollary: When duct-leakage (to the attic) is very small compared to house leakage to the attic, the attic-to-home pressure-coupling ratio,  $P_A/P$ , is constant.

#### Calculation of Duct-Leakage at 25 Pa

As mentioned above, when the data is appropriate, GSCA takes the next step – the calculation of duct-leakage when the pressure difference between the ducts and attic is 25 Pa. With data collection at two or more values of P, the value of SCF can be calculated for each P to see if SCF is constant. (As explained by the previous two theorems, for nearly all homes, the calculation of the generalized SCF is applicable and the value of SCF for a home is independent of P.) Since in both the untaped and taped cases house-leakiness has been measured at two (or more) pressures, one can calculate

two flow exponents and coefficients for house-leakiness and use these flow-equations to express the difference between untaped and taped house-leakiness flows as a function of P.

$$Q \quad - \quad Q' \quad = \quad \kappa P^n \quad - \quad \kappa' P^{n'} \tag{5.26}$$

From Eq. (5.8), duct-leakage at 25 Pa equals *SCF* times this difference when *P* is chosen so that  $P_D = 25$ . Since  $P_D$  is proportional to *P*, the choice of *P* required is  $25*(25/P_{D25})$  where  $P_{D25}$  is the pressure difference between the ducts and attic when the house is depressurized to 25 Pa.

Duct-leakage at 25 Pa = 
$$SCF \{\kappa [25(25/P_{D25})]^n - \kappa' [25(25/P_{D25})]^{n'}\}$$
 (5.27)

#### **GSCA** Generalizes Modified Subtraction

When tests are performed at P = 50 Pa,  $P_A = 50$  Pa, and the untaped duct-system has the same pressure as the house, the *SCF* just derived reduces to the one provided by Modified Subtraction [22], except the exponent of *SCF* for GSCA is taken to be 0.60.

#### 5.4 Comments

1. Accuracy of House-Leakiness Data: When Q and Q' (the flow of the home when it is depressurized to a pressure P, taped and untaped, respectively) have measurement errors similar in size to the difference between their values, it is hard to put any confidence in the accuracy of that difference. Since the most common application of Modified Subtraction derives from manually collected data, it is very important to minimize the size of the error of these measurements. A common method employed to ameliorate these errors is to repeat the collection of the value of Q and Q' three or more times. We believe that an automatic data-collection procedure, wherein a very large number of data are collected at each of a series of pressures, provides much greater accuracy. The system [60] we employed collects such data and provides the required flow-equation data via a regression analysis performed to model the house-leakiness flow-equation, Eq. (5.2) [60]. Experience indicates that when the resulting correlation coefficient is less than 99%, such data is of dubious value for ascertaining duct-leakage. However, when this level of accuracy is not obtained, the requested data collection can be easily modified, extended and/or errors in test procedures repaired, thereby obtaining acceptable data in almost all homes and weather conditions.

2. Untaped and Taped Attic Pressures: The derivation of the generalized *SCF* assumes that the airflow  $Q_{vabnd}$ , between the attic and the home not via the ducts, is essentially independent of whether or not the ducts are taped. The essential equality of  $P_A$  and  $P'_A$  is the primary check to confirm this condition. Clearly the greater the duct-leakage, the greater will be the potential change in attic pressure. Moreover, empirical evidence gives good insight: we have found that in over fifty homes [56], when calculated ductleakage was less than 200 CFM 25, the change in attic pressure did not exceed 1 Pa. Since the greatest accuracy is desired only when duct-leakage is smaller than 200 CFM 25, the derivation of GSCA can be considered to be essentially complete since the only dubious assertion in the derivation can be empirically quantified when needed.

Data from more than fifty homes in the greater New Orleans area indicate that the magnitude of  $P_A$  is rarely greater than 48 Pa when the house is kept at negative 50 Pa with respect to outside and less than 40 Pa for one third of the sample. As stated earlier, this observation is quite different from the assumption of Modified Subtraction that  $P_A$ will always be negligibly different from P (= 50 Pa).  $P_A$  and  $P'_A$  can be used to help predict the numerical accuracy of the *SCF*: as the magnitudes of  $P_A$  and  $P'_A$  decrease, the magnitudes of  $P_D$  and  $P'_D$  must decrease, thus any error in the denominator will be grossly exaggerated in *SCF*.

This study on GSCA reintroduces the need for collecting  $P_A$  in order to calculate  $P_A/P$ , and calls this quantity the attic-to-home pressure-coupling ratio [34]. This parameter is important for interpreting house-leakiness since it gives an indication of the airflow between the house and the attic at various pressures. As the corollary to the second theorem indicates, it is normally independent of the pressure P used to observe it and takes a constant value. However, when  $P_A/P$  is variable, GSCA may not be applicable.

3. Pressure in the Ducts with respect to the Home during the Untaped Test: Unlike the implicit assumption of Modified Subtraction [22], the pressure in the ducts with respect to the home during the untaped test is not assumed to be zero by GSCA. Using a pressure pan, the authors have found pressure differences as high as 15 Pa [57]. This same issue has also been considered significant by Sherman and Palmiter [58].

Although this datum can be collected at any register by sealing that register alone and piercing that seal with a pressure-probing tube, one cannot expect every register to be equally representative. In fact, by sampling all of the untaped duct registers, it is not unusual to find a 5 to 10 Pa difference between the highest and lowest values. Thus the question arises as to where to place the probe for untaped and taped data. We recommend the following procedure: The energy rater should precede the data collection for *SCF* with a duct-testing regime consisting of a complete set of pressure-pan tests [57]. These tests will demonstrate the range of pressures for that particular duct-system. Once the tester has found the range, the tester should pick a register that exhibits a value closest to the average value. This procedure does not add additional time to the house measurement process since pressure-pan tests are normally performed for other diagnostic purposes. This procedure is also applicable to choosing the best place to probe the supply registers when setting up duct-testing with a Duct Blaster<sup>TM</sup> [59].

4. Linear Dependency of  $P_D$  upon P: The proof of the linear dependency of  $P_D$  upon P assumed that 1) the exponents of all the leakages are the same (a passable assumption in relation to the accuracy of the testing procedure), 2) the pressure of the ducts are uniform throughout the ducts (an assumption not satisfied in most houses, and in some houses to a rather significant extent), and 3) the attic pressure in the taped and untaped cases are approximately the same (a reasonable assumption in most houses since attic pressure is determined much more by leaks from the house than from the ducts).

5. Checking the Applicability of GSCA: Both the *SCF* and the attic-to-home pressurecoupling ratio are constant with respect to the house pressure if the leaks from the house to the attic are much greater than the leaks from the ducts to the attic. In such a case,  $P_A$ would be negligibly different from  $P'_A$ , and the GSCA is applicable. Thus, a nonconstant value of *SCF* or a variable attic-to-home pressure-coupling ratio raises doubts about the applicability of GSCA.

6. Choice of Flow Exponent: In the original derivation of the SCF in Modified

Subtraction, the value of m was set at 0.65; namely, the mean value of the exponent, n, of the flow-equation for house-leakiness obtained phenomenologically from data taken from thousands of tested homes [22]. Our testing of 55 homes in Ruston, Louisiana found a similar value of 0.64 [34]. Alternatively, m can be taken to be the average of two values of n, obtained for the flow-equation for house-leakiness for the specific home

being tested from the two sets of data collected, namely the untaped and taped cases. Although there are plausible arguments for each of the above choices, we believe the best recommendation is to use a value of m derived from the data obtained by averaging a sample of a large set of duct-leakage (as opposed to house-leakiness) results, m = 0.60[55, 56]. Although 0.60 seems to be a better choice, we have found that the resulting calculated duct-leakage to be only slightly affected by the choice of exponent.

#### 5.5 Conclusions

Since GSCA is applicable to arbitrary values of P, various a posteriori considerations and improvements are realized. If manual collections are the best practical choice, we recommend that GSCA be performed at three or more different depressurization pressures. Since standard testing for house-leakiness with automated testing equipment [60] performs tests at more than three pressures, all of the following benefits follow.

1. Calculation of the Coefficient and Exponent of the House-Leakiness Flow-

Equation: When two or more depressurization pressures are used in a house- leakiness test, the coefficient and exponent of the flow-equation can be calculated. In the homes that cannot be depressurized to 50 Pa but, nevertheless, allow the flow-exponent to be calculated to sufficient accuracy, the use of the "Can't-Reach-Fifty-Factor" becomes superfluous [22].

2. Calculation of Effective Leakage Area: When two or more depressurization pressures are used in a house-leakiness test, the Effective Leakage Area (ELA) can be calculated directly [22]. This is the most unbiased house-leakiness descriptor. It provides the best input for energy auditing software to estimate whole-house infiltration. It is clearly better than CFM50 or NACH (Natural Air Changes per Hour, as normalized over a year, is a measure of infiltration), which are otherwise sometimes used. (1.00  $CFM = 4.72 \times 10^{-4} \text{ m}^3/\text{s}$ ). The first of these, CFM50 (the flow through a Blower Door<sup>TM</sup> when the home is depressurized to 50 Pa), is biased by the assumption that the home being measured has the same flow exponent as the average home, namely 0.65. This can be a gross error since, in practice, that exponent can be as low as 0.50 or as high as 0.80. NACH is also defective because it often assumes the preceding value of the flow exponent and, in addition, wind-flow and height characteristics of the home that will normally be recalculated by the energy rating software.

3. Confirmation that SCF is Constant: When two or more depressurization pressures are used in the pair of house-leakiness tests, the value of the SCF can be calculated for each pressure to determine if it is constant. For nearly all homes where the calculation of the generalized SCF is applicable, the value of SCF for a home is independent of the pressure, P, used in the depressurization of the house. Thus, energy auditing software has a tool to test the accuracy of the input data, correct for slightly inaccurate data collection, and determine if the SCF should be calculated at all for this home.

4. Confirmation that the Attic-to-Home Pressure-Coupling Ratio is Constant: When two or more depressurization pressures are used in a house-leakiness test, the value of the attic-to-home pressure-coupling ratio can be observed for each pressure to see if it is constant. For nearly all homes where the GSCA is applicable, the value of the attic-tohome pressure-coupling ratio is independent of the pressure, *P*, used in the depressurization of the house. Thus energy auditing software has another tool to test the accuracy of the input data, correct for slightly inaccurate data collection, and determine if GSCA should be used. 5. Calculation of Duct-Leakage at 25 Pa: When two or more depressurization

pressures are used in the pair of house-leakiness tests, the energy rater has the ability to calculate duct-leakage at 25 Pa. This calculation can be performed since in both the untaped and taped cases house-leakiness has been measured at two (or more) pressures. One can then calculate two sets of flow exponents and coefficients for house-leakiness and use these flow-equation parameters to express the difference between untaped and taped house-leakiness as a function of the untaped pressure between the ducts and attic. Since the *SCF* of GSCA is constant, duct-leakage at 25 Pa can be directly calculated.

6. Checking Reliability and Confidence in House-Leakiness Data: With three or

more depressurization readings for each untaped and taped case, it is possible to calculate a correlation coefficient for the regression analysis that is used to best fit the data and calculate the duct-leakage flow exponent and coefficient [60]. This correlation coefficient provides a reliability indicator for the house-leakiness test procedure, thereby determining the confidence level in the data.

When a house-leakiness test is performed as part of data collection for GSCA, at least two additional data,  $P_D$  and  $P_A$ , are collected for each P, the depressurization pressure of the home. Since a standard house-leakiness test includes tests at a series of pressures, these data effectively arrive as a series of triplets. Since a GSCA measurement requires two house-leakiness tests, two sets of series of triplets are available for a posteriori review – one for a registers untaped test and one for a registers taped test. If:

 $P_A$  and  $P'_A$  differ by more than 2 Pa when estimated duct-leakage is less than 200 CFM25,

 $P_{\rm D}$  is negative when P and  $P_{\rm A}$  are positive, or

 $P_A/P$  is not constant even though  $P_A$  and  $P'_A$  differ by less than 1 Pa,

then the energy rater should suspect that something is probably wrong with the data collection. In such a case, the setup should be rechecked and one or both of the house-leakiness tests should be repeated.

7. Enhancing the Characterization of House-Leakiness: Both the magnitude and

variability of the attic-to-home pressure-coupling ratio,  $P_A/P$ , give insights into the leakiness of the pressure-boundary between the home and attic.

**Example Calculations** 

Duct-leakage calculations on data collected from homes in Northern Louisiana are presented in Table 5.1. Table 5.1 shows the duct leakage values as well as Subtraction Correction Factor obtained using both Generalized Subtraction Algorithm and Modified Subtraction Algorithm. The data presented in Table 5.1 consists of all 55 homes with reliable house leakiness data as described in the conclusions. Also, data without attic readings were omitted from this comparative analysis. The details of the data in regards to zone-pressure, flow exponent, flow coefficients and associated calculations are presented in Appendices B8, B9 and B10.

| Home # | Coupling<br>Ratio (P <sub>A</sub> /P) | SCF<br>(GSCA) | Duct Leakage<br>(GSCA) | SCF<br>(MSA) | 0      | GSCA-<br>MSA |
|--------|---------------------------------------|---------------|------------------------|--------------|--------|--------------|
| 3      | 0.97                                  | 1.36          | 565.95                 | 1.38         | 554.81 | 11.13        |
| 5      | 0.95                                  | 2.26          | 193.41                 | 2.23         | 169.57 | 23.83        |
| 6      | 0.99                                  | 4.25          | 149.33                 | 3.81         | 132.25 | 17.09        |
| 11     | 0.96                                  | 1.25          | 269.49                 | 1.31         | 264.39 | 5.10         |
| 12     | 0.97                                  | 3.78          | 116.03                 | 3.51         | 105.62 | 10.40        |
| 13     | 0.93                                  | 1.22          | 373.72                 | 1.31         | 379.43 | -5.70        |

| 14 | 0.91 | 1.43 | 776.60  | 1.52 | 772.91  | 3.68   |
|----|------|------|---------|------|---------|--------|
| 19 | 0.96 | 3.17 | 561.89  | 2.91 | 495.05  | 66.85  |
| 20 | 0.98 | 2.00 | 383.26  | 1.92 | 360.56  | 22.71  |
| 21 | 0.99 | 1.48 | 160.05  | 1.47 | 156.24  | 3.81   |
| 26 | 0.94 | 2.86 | 202.53  | 2.78 | 186.52  | 16.01  |
| 27 | 0.92 | 1.43 | 181.06  | 1.59 | 191.95  | -10.89 |
| 28 | 0.97 | 1.50 | 136.20  | 1.49 | 131.24  | 4.96   |
| 29 | 0.97 | 1.26 | 287.40  | 1.30 | 291.56  |        |
| 31 | 0.73 | 2.64 | 1092.26 | 3.39 | 1134.60 |        |
| 33 | 0.93 | 1.24 | 382.01  | 1.35 | 393.39  |        |
| 34 | 0.96 | 1.27 | 341.45  | 1.28 | 324.87  |        |
| 35 | 0.87 | 4.14 | 292.06  |      | 296.14  |        |
| 36 | 0.99 | 1.46 | 235.46  | 1.50 | 231.00  |        |
| 37 | 0.88 | 1.25 | 308.67  | 1.45 | 316.53  |        |
| 38 | 0.96 | 1.35 | 357.03  | 1.36 |         |        |
| 39 | 0.90 | 1.15 | 228.84  |      |         |        |
| 40 | 0.99 | 1.25 | 112.83  | 1.21 | 108.01  | 4.82   |
| 42 | 0.97 | 3.76 | 144.93  | 3.53 |         | 12.52  |
| 43 | 0.93 | 1.92 | 338.81  | 1.97 | 334.99  |        |
| 44 | 0.98 | 2.47 | 609.11  | 2.51 | 603.28  |        |
| 45 | 0.95 | 1.54 | 442.63  | 1.54 |         |        |
| 47 | 0.92 | 1.45 | 244.78  |      |         | 6.98   |
| 53 | 0.92 | 1.72 | 340.86  |      |         |        |
| 54 | 0.95 | 1.55 | 567.80  | 1.54 |         |        |
| 55 | 0.96 | 2.65 | 383.40  | 2.62 | 385.74  | -2.34  |

Figure 5.2 shows the comparison of duct leakage obtained using GSCA as well as MSA for the 55 homes sampled in Northern Louisiana. From Figure 5.2, the duct leakage obtained using GSCA is nearly equal to MSA. In some homes the duct leakage using GSCA is higher than MSA where as in other homes it is lower. From Table 5.1, the duct leakage was found to be comparatively high in homes with low coupling ratios. Figure 5.3 shows the comparison of *SCF* with regards to GSCA and MSA. The comparison of *SCF* is very similar to that of duct leakage with *SCF* high in some cases and low in other cases in regards to the comparison of MSA and GSCA.

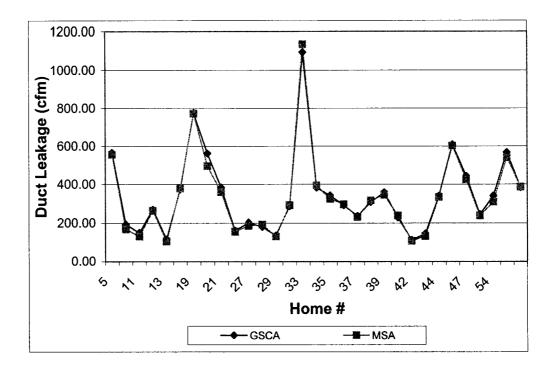


Figure 5.2 Duct leakage comparison of GSCA vs. MSA – North Louisiana.

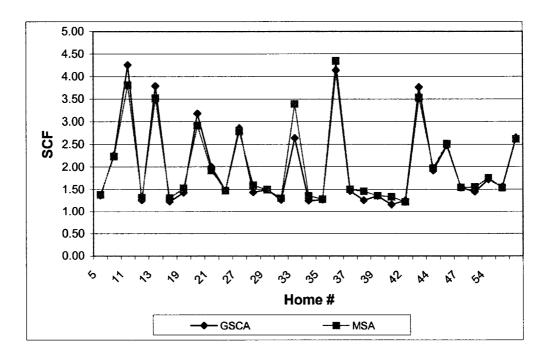


Figure 5.3 SCF comparison of GSCA vs. MSA – North Louisiana.

A plot of the difference in duct leakage values between the GSCA and MSA is presented in Figure 5.4. From Figure 5.4, the differences between the duct leakage

values with respect to the pressure coupling ratio do not show a pattern or trend. However, a statistical t-test on the differences between the two tests concludes that there are differences between the duct leaks obtained by GSCA and MSA. The mean difference was determined to be 7.4 cfm with a 95% confidence in the differences ranging between 0.87 cfm and 14.1 cfm, a relatively small difference. The SAS<sup>TM</sup> program and the output is presented in Appendices B11 and B12 respectively.

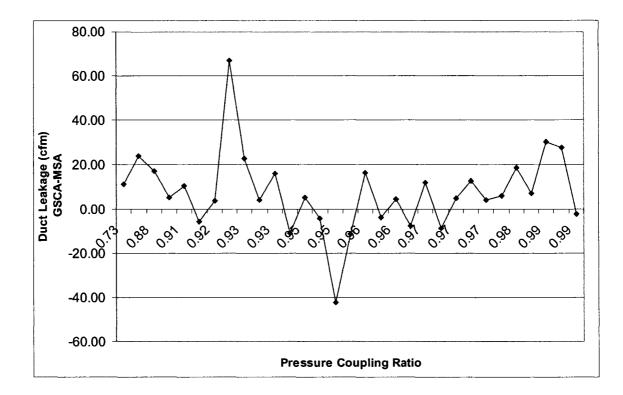


Figure 5.4 Plot of (GSCA-MSA) and pressure coupling ratio – North Louisiana.

Most of the homes tested in Northern Louisiana have a pressure-coupling ratio above 0.90. Homes with low coupling ratios generally have relatively high duct leakage. Also, homes with low pressure coupling ratio, have higher differences between *SCF*'s of GSCA and MSA. In order to determine if the results are similar for a different geographic region in Louisiana, we obtained a small data sample for the New Orleans area from Dr. Myron Katz. Table 5.2 shows the results of the duct leakage, *SCF* and pressure coupling ratios for the data obtained from New Orleans. It is important to note that most of the homes in Southern Louisiana have coupling ratios less then 0.90, which is the reverse of the coupling ratios in Northern Louisiana where they are generally higher than 0.90.

| Home # | Coupling<br>Ratio<br>(P <sub>A</sub> /P) | SCF<br>(GSCA) | Duct<br>Leakage<br>(GSCA) | SCF<br>(MSA) | Duct<br>Leakage<br>(MSA) | GSCA-MSA |
|--------|--|---------------|---------------------------|--------------|--------------------------|----------|
| 1      | 0.81                                     | 10.41         | 4834.66                   | 13.24        | 5032.09                  | 18.12    |
| 2      | 0.86                                     | 6.80          | 789.67                    | 7.35         | 854.97                   | -197.43  |
| 3      | 1.00                                     | 1.32          | 341.01                    | 1.27         | 321.80                   | 21.69    |
| 4      | 0.89                                     | 2.21          | 177.76                    | 2.29         | 178.03                   | 424.58   |
| 5      | 0.85                                     | 4.14          | 313.29                    | 4.09         | 291.59                   | -65.30   |
| 6      | 0.96                                     | 1.71          | 97.23                     | 1.70         | 92.95                    | -43.58   |
| 7      | 0.74                                     | 2.18          | 262.01                    | 2.61         | 243.89                   | -0.27    |
| 8      | 0.85                                     | 1.53          | 8215.89                   | 1.56         | 7791.32                  | 20.01    |
| 9      | 0.87                                     | 1.39          | 217.69                    | 1.57         | 261.27                   | 4.28     |
| 10     | 0.96                                     | 1.36          | 287.35                    | 1.36         | 267.35                   | 19.20    |

Table 5.2 GSCA vs. MSA of homes tested in South Louisiana.

From Figure 5.5, we see that the duct leakage obtained from GSCA and MSA are similar, differences appear only for high values of duct leakage. From Figure 5.6, the difference between the *SCF*'s of MSA and GSCA is not high except for homes with low pressure coupling ratios.

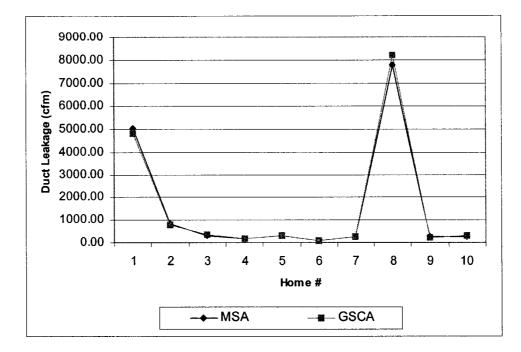


Figure 5.5 Duct leakage comparison of GSCA vs. MSA – South Louisiana.

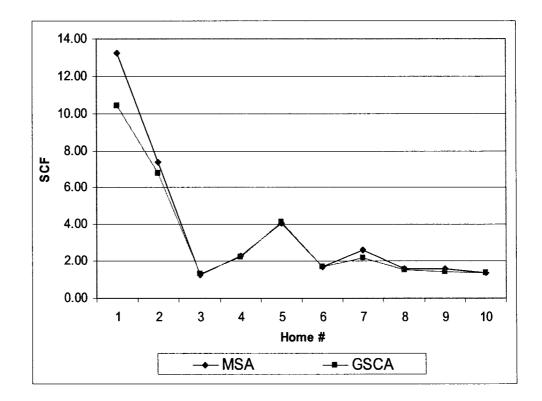


Figure 5.6 SCF comparison of GSCA vs. MSA – South Louisiana.

A plot of the difference in duct leakage values between the GSCA and MSA in Figure 5.7 shows that homes with pressure coupling ratio less than 0.86 mainly tend to have higher differences in duct leakage values between GSCA and MSA. However, this cannot be generalized due to small data sample. In addition, note that these homes have higher *SCF*'s both for GSCA and MSA. Due to the small sample data, statistical tests were not performed on the data obtained from Southern Louisiana.

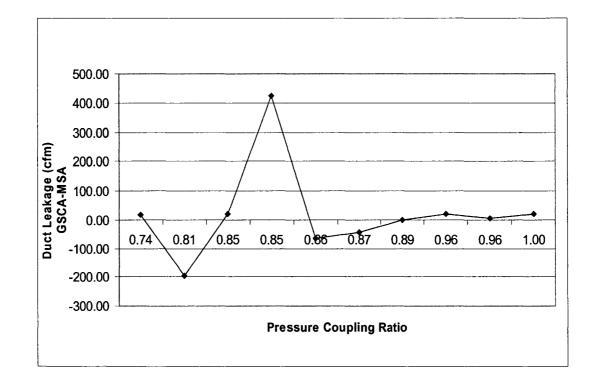


Figure 5.7 Plot of (GSCA-MSA) and pressure coupling ratio – South Louisiana.

Figure 5.8 shows that as the pressure-coupling ratio decreases, the *SCF* increases for GSCA in the case of Southern Louisiana as shown by the trend line. In the case of North Louisiana, we do not see a distinct trend and the value of *SCF* remains around two on an average as shown in Figure 5.7 by the scatter of individual data points.

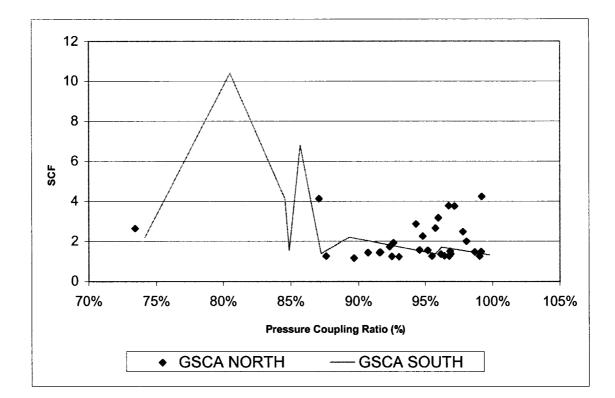


Figure 5.8 Comparison of North and South Louisiana in regards to SCF of GSCA and pressure coupling ratio.

From the data example calculations and comparisons, it can be seen that pressure to attic coupling ratio is higher in North Louisiana than in South Louisiana. Thus, air flow between the attic and the conditioned space is less in North Louisiana homes than in South Louisiana homes. The average pressure-coupling ratio determined from this study for North Louisiana is 0.94 whereas for South Louisiana it is 0.88. The difference in *SCF* between GSCA and MSA may widen as the pressure coupling ratio decreases. However, this may or may not increase the differences in the duct leakage values between MSA and GSCA. A more comprehensive discussion of the relationship of the *SCF's* is discussed in a study by Katz, Witriol and Erinjeri [30].

# **CHAPTER 6**

# **RETURN LEAK MEASUREMENT**

Duct leakage can occur both at the supply side as well as the return side of the duct system. Figure 6.1 shows the supply side as well as the return side of the duct system. Most of the research has been on the supply side of the duct system. Therefore, there is need to study the return side of the duct system for the following reasons:

- 1. To quantify return leaks.
- 2. To detect and seal return leaks, thereby saving energy.
- 3. To seal returns if return leaks dominate the supply leaks, as it is easier to seal return leaks.
- 4. To emphasize the need of constructing sealed return systems.

In most of the homes in Louisiana, the return side of the duct system constitutes a smaller part of the duct system. However, a smaller part of the duct system need not imply a smaller percentage of the total duct leakage of a given home. Return leaks can be severe in homes even though they constitute the smallest portion of the duct system.

Of the 43 homes studied in North Louisiana 27% of the houses had dominant return leaks, whereas 51% of them had dominant supply leaks. Return leaks occur at various sites of the return duct leakage system. Figure 6.2 shows some of the common sources of return leaks.

The importance of this chapter on return leaks is to develop a protocol to measure return leaks. The protocol developed should be such that we could resourcefully use the hardware equipment readily available by an energy auditor to measure return leaks. Measuring return leaks is an important input to measuring energy losses in residential houses. The ASHRAE<sup>TM</sup> 152 [60] standard used in estimating distribution system efficiencies, a quantity used in energy loss calculations, also requires return leakage as one of the inputs. In practical applications, return leaks are frequently estimated based on the total duct leakage of the system. This estimate of return leakage is biased because of the following:

- The operating pressures at the supply as well as return side of the duct system are different.
- The operating return system pressures are negative while total duct leakage measurements are frequently based upon positive pressures.

In this study of return leak measurement, we have developed the measuring process of return leakage and appropriately incorporated the critical parameters in determining return leakage. The results of homes tested with the newly developed protocol were used to:

- 1. determine the return leakage to outside.
- determine the actual supply duct leakage to outside as described in Chapter Seven.
- 3. statistically analyze the differences between pressurization and depressurization measurements as presented in Chapter Eight.

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 incorporate the measured return leakage to determine energy losses for a given home as presented in Chapter Nine.

Figure 6.1 shows the components of the duct system. In the overall view of the duct system in Figure 6.1, the return side components of the duct system mainly comprises of return grille, return plenum and air filter. The source of Figure 6.1 is <u>http://www.mbmairduct.com/ images/design03.jpg</u> [61].

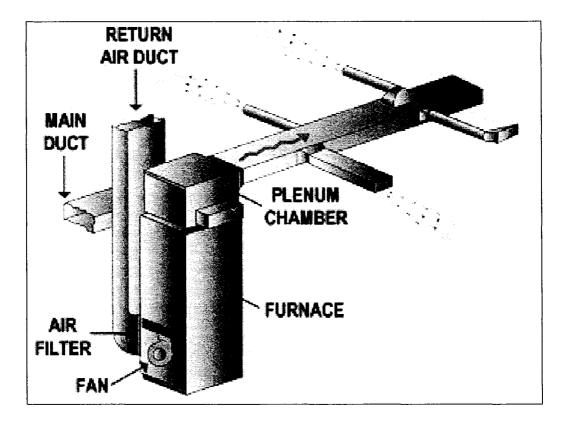


Figure 6.1 Typical components of duct system.

## 6.1 Testing Return Leaks

Testing return leaks involves two stages, namely measurement of return leakage and detection of return leaks. Figure 6.2 shows some of the common leak spots found in the return side of duct system during this study in 43 homes. For more pictures in regards to this research visit http://www2.latech.edu/~witriol/DNR/DNRhome.htm [62].

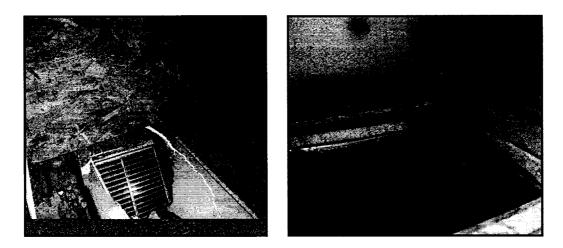


Figure 6.2 Common spots of return leaks.

Return leaks can have significant impact on energy consumption as well as human comfort. Return leaks connected to the outside can change the return air temperature in a hot humid climate, thereby reducing the system performance. A return leak in an attic in summer time may draw 150°F humid air into the system rather than 75-80°F conditioned house air. The higher return temperature can overwhelm the system capacity and make it impossible to cool the home. Other effects of return leaks include:

- Oversized air handling systems to compensate for duct leaks.
- Increased relative humidity in the summer and thus a high potential for mold and mildew growth
- Condensation on surfaces and on the cooling coil leading to moisture problems and thus to durability and health issues associated with mold and mildew.

A significant leak in the return side of the duct system leads to the infiltration and circulation by the HVAC system of unconditioned air in the home Therefore, it is important to study return plenum leakage.

#### 6.2 Measuring Return Leakage

In this study of 43 homes in North Louisiana, we developed a measuring process with hardware commonly possessed by energy auditors. The main reason for using commonly possessed hardware was to avoid unnecessary expenditure on specialized equipments as well as saving set-up time for measuring return leakage. This study has recommended two approaches for measuring return leaks. The hardware for measuring return leaks using the first approach consists of the following equipment:

- 1. Blower Door<sup>TM</sup>: to pressurize/depressurize the house.
- 2. Duct Blaster<sup>TM</sup>: to pressurize/depressurize the duct system.
- APT (Automated Performance System) Hardware and TECTITE<sup>™</sup> Software: to automatically control the Blower Door<sup>™</sup> to the set pressures and number of data points.
- Digital Manometer (DG-3 Gauge): used in conjunction with Duct Blaster<sup>TM</sup> to measure the flow.
- 5. Pressure Probes: for measuring the pressure at the respective points.
- 6. Notebook/Laptop.

From now on, we will term this approach as the Blower Door-Duct Blaster approach (BDDB).

The hardware for measuring return leaks using the second approach consists of the following:

- True Flow Meter<sup>™</sup>: for measuring the flow across the blower of the HVAC unit and at the return register.
- Digital Manometer (DG-3 Gauge): used in conjunction with True Flow Meter<sup>™</sup> to measure the flow.

From now on, we will term the second approach as the True Flow Meter<sup>TM</sup> approach (TFM). The theory behind the testing procedure for both the testing approaches is described below. Figure 6.3 shows a typical home in 2-dimensional view with the majority of the duct system installed in the attic. This layout of the duct system in the attic is very common in homes in the State of Louisiana.

In Figure 6.3, the Blower Door<sup>TM</sup> used to pressurize/depressurize the house; the flow is given by  $F_1$ . The Duct Blaster<sup>TM</sup> used to pressurize/depressurize the return side of the duct system, the flow is given by  $F_2$ . Note that the air to the supply side of the duct system is blocked and this blockage is shown as a darkened line in Figure 6.3. The detail of this blockage is described in detail in Section 6.3.

The derivation of the equation for determining return leaks is as follows: Notationally,

 $F_1 =$  flow from the Blower Door<sup>TM</sup>

 $F_2 =$  flow reading measured using Duct Blaster<sup>TM</sup>

 $F_{\rm HR}$  = flow from home to the return

 $F_{\rm RH}$  = flow from return to the home

 $F_{\rm HO}$  = flow from home to the outside which includes all leaks to the unconditioned space such as attic, garage space etc.

 $F_{\rm RA}$  = flow from return to the attic

 $F_{\rm RS}$  = flow from return to the supply

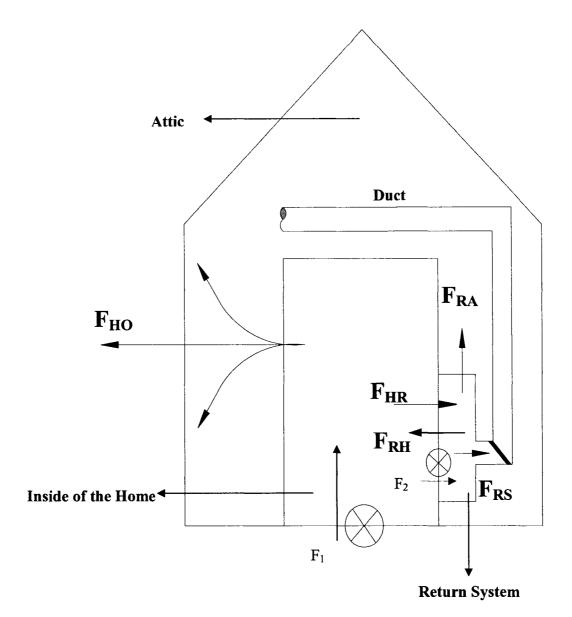


Figure 6.3 Two-dimensional view of a typical home with ducts in attic.

In a combined operation of both Blower  $\text{Door}^{\text{TM}}$  and  $\text{Duct Blaster}^{\text{TM}}$ , the actual flow recorded at the Duct Blaster^{\text{TM}} is nothing but the total of airflow into the return plenum, supply plenum, and outside of the home minus the flow into the conditioned space.

$$F_2 = (F_{\rm RA} + F_{\rm RS} + F_{\rm RH}) - F_{\rm HR}$$
(6.1)

Since the flow to the supply is blocked,

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$$F_{\rm RS} = 0 \tag{6.2}$$

Therefore Eq. (6.1) becomes

$$F_2 = F_{\rm RA} + F_{\rm RH} - F_{\rm HR} \tag{6.3}$$

Considering the Blower Door<sup>TM</sup> we have,

$$F_1 = F_{\rm HR} + F_{\rm HO} \tag{6.4}$$

Since we have simultaneous pressurization of home and ducts to the same pressure i.e. pressure in the home is equal to the pressure in the return plenum. Therefore,

$$F_{\rm HR} = F_{\rm RH} \tag{6.5}$$

Therefore substituting Eq.(6.5) in Eq.(6.3), we have

$$F_2 = F_{\rm RA} \tag{6.6}$$

Hence, we have proved that leaks in the return plenum are airflows from the return plenum to the attic, which is measured by the Duct Blaster<sup>™</sup>. By setting the desired pressures, we can determine the flow (return leakage) at any given pressure. However, the objective of this study is to determine the return leakage at operating pressure, which is the actual return leakage at operating conditions. Measuring the operating pressure in the return plenum in many cases varies from point to point in the return. Measurements made using an anemometer to determine airflow found that the airflow varied in location on the return grille. To simulate the operating pressure in the return by means of Duct Blaster<sup>™</sup>, we measured the pressure at various points in the return and used the average value as the best estimate of operating pressure. It is also important to note that the value of the operating pressure varies from home to home. Therefore, to measure the actual return leakage, it is recommended to measure the operating pressure and the corresponding flow at two more additional pressure points.

## 6.3 <u>Blocking of Supply Duct System from</u> <u>Return Duct System</u>

The supply side has to be blocked in order to prevent any airflow through the supply duct system. This blocking is essential to obtain accurate measurements of return duct leakage. In this study, we have proposed two techniques of blocking and will discuss their advantages and disadvantages. The blockage can be introduced just below the blower of the HVAC unit or above the return box as shown in Figure 6.4. The arrows indicate that the blockage can be placed above or below the return box.

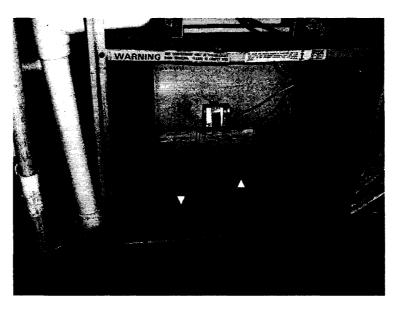


Figure 6.4 Placement of blockage to seal of the supply side.

The first approach was to introduce an acrylic sheet to seal off the supply from the return side as shown by the left arrow mark in Figure 6.4. Figure 6.5 shows a design of a flexible blockage system, which can be used in most homes but is not sufficient.

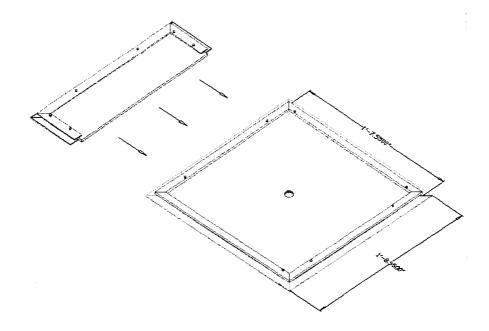


Figure 6.5 Blocking above the return box (BARB).

We will refer to the first blocking system as BARB – blocking above the return box. The standard dimensions of BARB were determined by considering the True-Flow Meter<sup>TM</sup> dimensions manufactured by the Energy Conservatory. In most of the homes, the filter at the filter slot can be replaced with the BARB. The BARB design has rubber flaps with a groove so that additional spacers can be joined to the main plates as required to provide a tight fit. The design of BARB is more clearly presented in Figures 6.5, 6.6 and 6.7. Figure 6.6 also shows the direction of fit of the spacers to the main plate.



Figure 6.6 Side view of BARB.

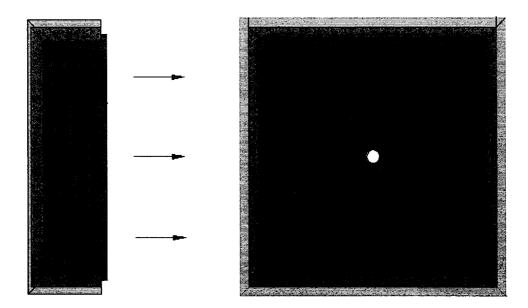


Figure 6.7 Top view of BARB.

It is important to note that the design has a hole at the center to introduce a pitot tube to measure the pressure in the return. The BARB has the same dimensional characteristics as that of the True Flow Meter<sup>TM</sup>. The BARB has two plates, which will fit for the following dimensions (in inches):

Plate #1 - 14 x 20, 14 x 25, 16 x 20, 16 x 24, 16 x 25, 18 x 20.

Plate #2 - 20 x 20, 20 x 22, 20 x 24, 20 x 25, 20 x 30, 24 x 24.

The two plates -1 and 2 are the main plates to which additional spacers are added to obtain the standard sizes. Figures 6.4 and 6.6 shows the direction in which spacers are added to the plates.

The second method of introducing blockage is to block the return from below the return box (BBRB). The direction of the way in which the blockage is introduced is shown by the right arrow mark in Figure 6.4. The design of this blockage system BBRB

is presented in Figure 6.8. The BBRB is designed using an acrylic sheet with a layer of foam applied on one side of the acrylic sheet. The foam is introduced to make a tight fit when it is pressed against the return side. The dimension of the acrylic sheet is such that it fits all the homes. In our study, we used a dimension of 23" by 23". The holder enables the acrylic sheet to be held in position with an exact fit. A provision for placing a pitot tube, as in the case of BARB, can be introduced by drilling a hole.

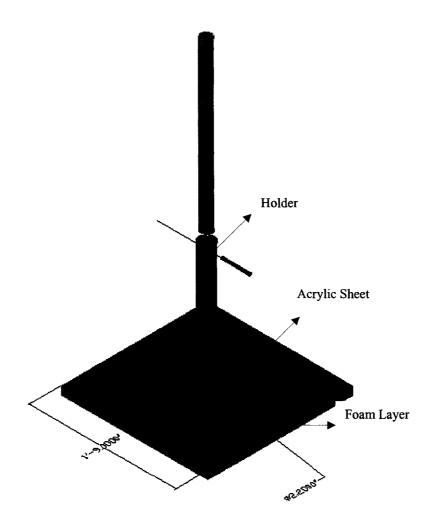


Figure 6.8 Blocking below the return box (BBRB).

There are advantages and disadvantages of using these two designs of blocking returns. The BARB is limited to houses with standard return slot sizes. Homes with restructured duct systems are most likely to face such standardization restrictions in using BARB. On the other hand, BBRB can be used widely in most of the homes and is a better option than BARB for blocking the return from the supply side of the duct system. However, both of these blocking systems have a common drawback in homes with long return chases, generally extending from the return plenum on a lower floor of the building to the attic. Figures 6.9 and 6.10 show situations where the BBRB system is inapplicable.





Figure 6.9 Non-standardized return chase.

Figure 6.10 HVAC unit in attic.

In our study, the BBRB could block return in most of the homes. In addition, the BBRB is easy to install and is less time consuming. In regard to effectively blocking the return, the BBRB provides a tighter fit than the BARB.

## 6.4 Measurement of Return Leaks

In this study, we have employed two techniques to measure return leaks. The first method uses Blower Door<sup>TM</sup>, Duct Blaster<sup>TM</sup> and APT hardware (BDDB) where as the second method uses True Flow Meter<sup>TM</sup> (TFM) as described in Section 6.2. The detailed procedure of these two methods is described in Section 6.4. The BDDB and TFM are

used to determine return leakage to the attic. In addition, we also measured total return leakage using the Duct Blaster<sup>TM</sup> alone. Total return leakage means the leakage to the attic plus the leakage to the inside of the home as a consequence of pressurization/depressurization. All the above tests were attempted for both pressurized and depressurized states. The step-by-step procedure for the various tests performed is described below:

#### <u>Total Return Leakage (TRL) – Pressurized (TRL+) and Depressurized (TRL-):</u>

This test is used to measure total return leakage that is leaks both to inside and outside of the home. It is important to note that this test is neither the BDDB nor the TFM since this test used the Duct Blaster<sup>TM</sup> alone to measure the flow readings. The procedure is as follows:

- 1. Turn off the HVAC system.
- 2. Insert a probe near to the blower of the HVAC unit.
- 3. Turn on the HVAC system and measure the operating pressure at the return side using the DG-3 gauge.
- 4. Turn off the HVAC system.
- Replace the filter at the blower fan of the HVAC and employ the BBRB method to block the air flowing into the blower and the supply side of the duct system.
- 6. Seal off the return register
- Connect the Duct Blaster<sup>™</sup> to an opening through the sealed return register and seal off all other return registers if present.
- 8. Insert a probe through the return register seal to measure the pressure in the return duct system in Pa.

- Pressurize/Depressurize the return duct system to 15 Pa. using the Duct Blaster<sup>™</sup>.
- 10. Record the flow reading in cfm using a DG-3 pressure gauge.
- 11. Repeat steps 8-9 for a total of three readings.
- 12. Repeat steps 8 and 9 at 25 Pa and at the operating pressure in Pa for a total of three readings. Note that we limited the depressurization to 30 Pa. Thus no readings at the operating pressure were recorded if the operating pressure was greater than 30 Pa.

# <u>Combined Return Leakage (CRL) using the BDDB – Pressurized (CRL+) and</u> <u>Depressurized (CRL-):</u>

The procedure is as follows:

- 1. Follow all the steps from 1 to 7 of testing TRL.
- Pressurize/Depressurize the home and the return duct system simultaneously with Blower Door<sup>™</sup> and Duct Blaster<sup>™</sup> respectively to 15 Pa. The Blower Door<sup>™</sup> maintains the home at a given pressure with respect to the outside while the Duct Blaster<sup>™</sup> maintains the return duct system at the same pressure with respect to outside.
- 3. Record the flow reading in cfm using a DG-3 pressure gauge.
- 4. Repeat steps 2 3 for a total of three readings.
- 5. Repeat steps 2 -4 at 25 Pa and at operating pressure.

Combined Return Leakage (CRL) using TFM:

This test uses True Flow Meter<sup>™</sup> to measure the return leaks to outside. The procedure is as follows:

1. Insert a probe into the supply plenum as described in the True Flow<sup>TM</sup> manual.

- 2. Set the fan to ON and measure the pressure in the supply plenum with respect to inside.
- Remove the filter attached to the return grill of the HVAC system and replace the filter with the True Flow Meter<sup>™</sup>.
- 4. Set the fan to ON and measure the pressure in the supply plenum with respect to inside.
- Measure pressure drop across the True Flow Meter<sup>™</sup> pressure tubes using the DG-3 gauge.
- Normalize these values as described in the True Flow Meter<sup>™</sup> Manual to obtain a reading, R1
- Replace the filter below the blower of the HVAC unit with the True Flow Meter<sup>™</sup>.
- 8. Repeat steps 4 to 6 as described above to obtain a normalized reading R2.
- Determine the difference in flow readings between the two measurements R2 and R1 which gives the return leakage to outside.

## 6.5 <u>Comparison of CRL with BARB</u> <u>and BBRB</u>

For comparison purposes, we used the True Flow Meter<sup>TM</sup> plates and covered it with polythene sheet. Table 6.1 shows the results of the comparison of using BARB and BBRB as blocking media.

From Table 6.1, all the readings of BARB are higher than that of BBRB. The maximum difference at 15 Pa is 28 cfm. Performing a statistical test on these differences, one could see a significant difference between the two readings. Performing a Paired t-test on the differences, the Pr > |t| = 0.0007 which suggests that there are differences

between these two readings. The BARB readings are always higher than that of BBRB with an average of 20 cfm. It should be noted that at higher readings the differences are going to be much higher due to the power law equation of airflow. The fact that BARB gives a higher reading is because there is no perfect fit and leaks occur along the sides. Visual inspections of these leaks have further supported the reason behind these higher readings.

| ]            | BBRB     |        | BARB   | DIFFERENCE |
|--------------|----------|--------|--------|------------|
| Observations | Pressure | Flow   | Flow   | Flow       |
|              | Pa       | cfm    | cfm    | cfm        |
| 1            | 15.00    | 88.67  | 115.00 | -26.33     |
| 2            | 15.00    | 258.67 | 272.00 | -13.33     |
| 3            | 5.00     | 105.00 | 133.00 | -28.00     |
| 4            | 10.00    | 86.00  | 101.00 | -15.00     |
| 5            | 5.00     | 176.67 | 191.00 | -14.33     |
| 6            | 10.00    | 47.33  | 72.00  | -24.67     |
| Average      |          | 127.06 | 147.33 | -20.28     |

Table 6.1 BBRB vs. BARB.

### 6.6 Analysis of Return Leak Exponents

We have proved from Eq. (6.6) that leaks in the return plenum are airflows from the attic to the return plenum as described in Section 6.2. Rewriting Eq.(6.6) as

$$F_{\rm HR} = F_{\rm RA} \tag{6.7}$$

where,  $F_2$  is notated by  $F_{HR}$  for the purpose of distinguishing the flow.  $F_{HR}$  does not indicate flow from home to return but the flow measured by Duct Blaster<sup>TM</sup> ( $F_2$ ) during simultaneous pressurization of home and return plenum as described in Section 6.2.

Relation of Flow and Pressure is given by

$$F = CP^n \tag{6.8}$$

(Power Law Equation)

where F= air flow in cubic feet per minute (cfm)

C=leakage coefficient

*P*=pressure in Pascal (Pa)

*n*=flow exponent

From Eqs. (6.7, 6.8), we have

$$C_{\rm HR} P_{\rm HR}^{\ \ n} = C_{\rm RA} P_{\rm RA}^{\ \ n} {\rm RA} \tag{6.9}$$

If we measure the values of left hand side at two different pressures say  $P_1$  and  $P_2$ then we have  $F_{HR1}$  and  $F_{HR2}$  as the respective Duct Blaster<sup>TM</sup> readings. If we measure the respective  $P_{RA}$  at pressures  $P_1$  and  $P_2$  then

$$C_{\rm HR1} P_{\rm HR1}^{\ n} {}^{\rm HR1} = C_{\rm RA2} P_{\rm RA2}^{\ n} {}^{\rm RA2}$$
(6.10)

Since  $C_{RA1} = C_{RA2} = C_{RA}$  and  $n_{RA1} = n_{RA2} = n_{RA}$ , we can determine the flow exponent  $n_{RA1}$  by taking log on both sides of the equation.

$$n_{\rm RA} = \log(F_{\rm HR1} / F_{\rm HR2}) / \log(P_{\rm RA1} / P_{\rm RA2})$$
(6.11)

The flow coefficient values of  $C_{RA}$  can also be determined by substituting the values of  $n_{RA}$  shown in Eq. (6.12.)

$$C_{\rm RA} = F_{\rm HR1} / P^{n}_{\rm RA} \tag{6.12}$$

Similarly, we can find the values of the  $n_{\rm HR}$  and  $C_{\rm HR}$  using the Eqs. (6.10, 6.11 and 6.12).

Tables 6.2 to 6.5 are all the readings collected from the sample of 43 homes. Values of flow exponent and leakage coefficients are obtained from Eqs. (6.11) and (6.12) for return to attic as well as house to return cases. Flow exponent values between 0.25 and 0.75 were only considered for statistical analysis as values outside this range are not reasonable [64]. For Tables 6.2 to 6.5, the following variables are abbreviated as,

a. Exponent - EXP

- b. Leakage Coefficient COE
- c. Operating Pressure in Pascal OPP
- d. Return Leaks at Operating Pressure in cubic feet per minute RLOP

| Home #  |       | С      | RL-     |         | CRL+  |        |         |         |
|---------|-------|--------|---------|---------|-------|--------|---------|---------|
|         | EXP   | COE    | OPP     | RLOP    | EXP   | COE    | OPP     | RLOP    |
| 11      | 0.485 | 24.911 |         |         | 0.624 | 16.657 |         |         |
| 15      | 0.623 | 7.899  | -63.000 | 104.343 | 0.498 | 17.255 | -63.000 | 135.577 |
| 18      |       |        | -12.000 |         | 0.540 | 28.236 | -12.000 | 107.988 |
| 19      | 0.710 | 3.663  | -15.000 | 25.050  | 0.741 | 10.208 | -15.000 | 75.951  |
| 25      | 0.297 | 44.066 | -18.000 | 103.890 | 1.241 | 3.545  | -18.000 | 128.218 |
| 33      | 0.533 | 16.846 | -67.000 | 158.414 | 0.748 | 16.780 | -67.000 | 389.192 |
| 37      | 0.428 | 24.862 | -31.000 | 108.191 | 1.138 | 3.852  | -31.000 |         |
| 38      |       |        |         |         | 0.499 | 25.755 |         |         |
|         |       |        |         |         |       |        |         |         |
| Average | 0.513 | 20.375 | -34.333 | 99.978  | 0.753 | 15.286 | -34.333 | 171.472 |

**Table 6.2** Return leaks and parameters for combined return leaks ( $P_{RA}$ ).

The 95% confidence interval for the average value of flow exponent was determined to vary between 0.3599 and 0..6654 with a mean of 0.513 in the case of CRL- where as it ranged between 0.4876 and 0.729 with a mean of 0.6083 for CRL+.

| Home #  |          |          |          |          |          | TR       | L+       |          |
|---------|----------|----------|----------|----------|----------|----------|----------|----------|
|         | EXP      | COE      | OPP      | RLOP     | EXP      | COE      | OPP      | RLOP     |
| 11      | 0.531    | 81.093   |          |          | 0.512    | 72.379   |          |          |
| 15      | 0.486    | 69.409   | -63.000  | 519.901  | 1.017    | 14.213   | 63.000   | 960.264  |
| 18      | 0.608    | 54.660   | -12.000  | 247.740  | 0.623    | 50.888   | -12.000  | 239.120  |
| 19      | 0.639    | 8.484    | -15.000  | 47.860   | 0.696    | 7.263    | -15.000  | 47.877   |
| 25      |          |          | -18.000  |          | 0.677    | 27.877   | -18.000  | 197.462  |
| 33      | 0.519    | 28.373   | -67.000  | 251.967  | 0.558    | 19.912   | -67.000  | 208.011  |
| 37      | 0.532    | 73.987   | -31.000  | 460.515  | 0.584    | 50.210   | -31.000  | 373.040  |
| 38      | 0.538    | 58.282   |          |          | 0.581    | 45.301   |          |          |
| Average | 0.550425 | 53.46979 | -34.3333 | 305.5965 | 0.655984 | 36.00533 | -34.3333 | 330.5467 |

**Table 6.3** Return leaks and parameters for total return leaks ( $P_{RA}$ ).

| Home #  |       | C       | RL-      |         |       | CR      | L+       |         |
|---------|-------|---------|----------|---------|-------|---------|----------|---------|
|         | EXP   | COE     | OPP      | RLOP    | EXP   | COE     | OPP      | RLOP    |
| 9       | 0.747 | 13.013  | -16.700  | 106.538 | 0.703 | 16.011  | -16.700  | 115.739 |
| 11      | 0.527 | 21.770  |          |         | 0.523 | 20.044  |          |         |
| 15      | 0.608 | 8.281   | -63.000  | 102.940 | 0.514 | 10.782  | -63.000  | 90.556  |
| 18      |       |         | -12.000  |         | 0.714 | 9.650   | -12.000  | 56.854  |
| 19      | 0.714 | 3.618   | -15.000  | 25.000  | 0.627 | 5.545   | -15.000  | 30.330  |
| 20      | 1.826 | 0.057   | -19.000  | 12.318  | 0.794 | 4.196   | -19.000  | 43.430  |
| 21      |       |         | -31.000  |         |       |         | -31.000  |         |
| 24      |       |         | -65.000  |         | 0.616 | 8.683   | -65.000  | 113.455 |
| 25      | 0.442 | 30.354  | -18.000  | 108.925 | 0.755 | 16.064  | -18.000  | 142.325 |
| 26      | 0.384 | 40.142  | -31.000  | 150.253 | 0.344 | 45.224  | -31.000  | 147.154 |
| 31      | 0.837 | 137.397 | -8.000   | 782.928 | 0.498 | 145.030 | -8.000   | 408.627 |
| 33      | 0.515 | 17.847  | -67.000  | 155.640 | 0.689 | 10.884  | -67.000  | 197.240 |
| 37      | 0.477 | 21.246  | -31.000  | 109.334 | 0.549 | 20.521  | -31.000  | 135.032 |
| 38      |       |         |          |         | 0.423 | 51.934  |          |         |
| 40      | 1.894 | 0.172   | -34.000  | 136.561 | 0.506 | 15.674  | -34.000  | 93.291  |
| 41      | 0.432 | 34.755  | -15.500  | 113.598 | 0.407 | 45.819  | -15.500  | 139.855 |
| 43      |       |         | -150.000 |         | 0.632 | 4.973   | -150.000 | 117.861 |
| 81      | 0.578 | 33.629  |          |         | 0.530 | 41.562  |          |         |
| 82      |       |         |          |         | 0.761 | 15.288  |          |         |
| 221     |       |         | -32.000  |         | 0.843 | 2.211   | -32.000  | 41.039  |
| 222     |       |         | -33.000  |         | 1.317 | 0.706   | -33.000  | 70.638  |
|         |       |         |          |         |       |         |          |         |
| Average | 0.768 | 27.868  | -37.718  | 164.003 | 0.637 | 24.540  | -37.718  | 121.464 |

**Table 6.4** Return leaks and parameters for combined return leaks  $(P_{HR})$ .

The 95% confidence interval in the case of house to return flow exponent varied from 0.4566 to 0.682 with a mean value of 0.5424 for CRL- and it varied from 0.4902 to 0.6132 with a mean of 0.5517 for CRL+.

| Home #  |       |         | TRL-     |          |       | TR      | L+       |         |
|---------|-------|---------|----------|----------|-------|---------|----------|---------|
|         | EXP   | COE     | OPP      | RLOP     | EXP   | COE     | OPP      | RLOP    |
| 9       | 0.969 | 13.244  | -16.700  | 202.697  | 0.709 | 24.972  | -16.700  | 183.801 |
| 11      | 0.526 | 82.391  |          |          | 0.517 | 71.102  |          |         |
| 15      | 0.520 | 62.739  | -63.000  | 540.414  | 0.558 | 56.225  | -63.000  | 567.073 |
| 18      | 0.621 | 52.536  | -12.000  | 246.071  | 0.620 | 51.343  | -12.000  | 239.483 |
| 19      | 0.633 | 8.641   | -15.000  | 48.000   | 0.705 | 7.068   | -15.000  | 47.670  |
| 20      | 0.550 | 75.294  | -19.000  | 379.976  | 0.714 | 28.433  | -19.000  | 232.401 |
| 21      |       |         | -31.000  |          | 0.318 | 7.183   | -31.000  | 21.417  |
| 24      |       |         | -65.000  |          | 0.525 | 41.589  | -65.000  | 372.097 |
| 25      |       |         | -18.000  |          | 0.710 | 25.128  | -18.000  | 195.380 |
| 26      | 0.508 | 68.607  | -31.000  | 392.254  | 0.512 | 63.549  | -31.000  | 368.796 |
| 31      | 0.431 | 564.528 | -8.000   | 1383.344 | 0.533 | 225.191 | -8.000   | 682.711 |
| 33      | 0.562 | 24.753  | -67.000  | 262.723  | 0.592 | 17.830  | -67.000  | 215.165 |
| 37      | 0.535 | 73.476  | -31.000  | 460.982  | 0.471 | 72.281  | -31.000  | 364.066 |
| 38      | 0.538 | 58.183  |          |          | 0.648 | 36.975  |          |         |
| 40      | 0.828 | 13.013  | -34.000  | 241.640  | 0.808 | 13.387  | -34.000  | 231.122 |
| 41      | 0.615 | 67.418  | -15.500  | 363.526  | 0.556 | 72.244  | -15.500  | 331.264 |
| 43      |       |         | -150.000 |          | 0.705 | 9.328   | -150.000 | 319.654 |
| 81      | 0.521 | 57.746  |          |          | 0.507 | 58.480  |          |         |
| 82      |       |         |          |          | 0.358 | 107.933 |          |         |
| 221     |       |         | -32.000  |          | 0.613 | 18.231  | -32.000  | 152.803 |
| 222     |       |         | -33.000  |          | 0.653 | 18.065  | -33.000  | 177.437 |
|         |       |         |          |          |       |         | -        |         |
| Average | 0.597 | 87.326  | -37.718  | 411.057  | 0.587 | 48.883  | -37.718  | 276.608 |

**Table 6.5** Return leaks and parameters for total return leaks ( $P_{\rm HR}$ ).

The 95% confidence interval in the case of house to return flow exponent varied from 0.5109 to 0.5824 with a mean value of 0.547 for TRL- and it varied from 0.523 to 0.6294 with a mean of 0.576 for TRL+.

Let us consider the difference between the values of the flow exponent in the house to return ( $P_{HR}$ ) and return to attic ( $P_{RA}$ ). A Paired t-test was performed on the values of flow exponent between the two cases for both CRL- and CRL+. The Paired t-test did not show any significant difference between the values of flow exponent in both cases of CRL+ and CRL- for the house to return verses return to attic case since the Pr >

|t| was greater than level of significance 0.05. The statistical analysis on such a small sample size is unreliable; hence, a bigger sample size is recommended in future studies for determining statistical significance. However, the best estimate of the value of the flow exponent for return leaks from our data would be the weighted average exponent on the collected data of 43 homes and is presented in Table 6.6.

| Tests          | N  | Flow exponent |
|----------------|----|---------------|
| $CRL-(P_{RA})$ | 6  | 0.5130        |
| $CRL+(P_{RA})$ | 6  | 0.6083        |
| $CRL-(P_{HR})$ | 10 | 0.5242        |
| $CRL+(P_{HR})$ | 15 | 0.5517        |
| Weighted       | 37 | 0.5472        |

Table 6.6 Weighted mean flow exponent.

The weighted mean value of return flow exponent is 0.55 which is close to 0.60, the duct system flow exponent measured by Katz, Witriol and Erinjeri [30]. However, it is important to note that this value of flow exponent 0.55 is for return leaks and not for the total duct leakage.

There are some missing values from the total sample of 43 homes. This omission is due to some homes having return chases with HVAC unit in the attic, where it was not possible to block the supply from the return duct system. This construction is not uncommon in modern homes.

The second approach considered for measuring return duct leakage is the True Flow Meter<sup>TM</sup> method, as described in Section 6.4. As described, this approach has some limitations due to the various sizes of filter slots. We had only four homes with a complete set of flow reading both on the return side as well as the blower side. A further investigation of this approach using anemometer was performed to determine whether

True Flow Meter<sup>TM</sup> readings matched the anemometer readings at the return grille. For the small sample size of data, we found that there were differences but are unable to confirm its significance due to small data sample.

## 6.7 Equivalent Orifice Leakage Area of Return Leakage

Quantifying the leakage rate with respect to the size of a hole enables the visualization of the physical size of all cumulative leaks in the return. Equivalent Orifice Leakage Area defined in the Duct Blaster<sup>TM</sup> Manual can be used to calculate the Equivalent Orifice Leakage Area (EOLA).

EOLA is given by

where,

Return System Leakage Rate = leakage rate of return duct system in CFM measured by Duct Blaster<sup>TM</sup> at operating pressure

Return Duct System Pressure = operating pressure of return duct system

Table 6.7 shows the EOLA for the homes tested in Northern Louisiana. The average size of all the cumulative leaks in the return for a given home using the pressurized and depressurized data was determined to be 28.5 sq. in. with a 95% confidence interval ranging between 12.52 and 43.88. This hole of mean size 28.5 sq. in. is about 14% of whole house leakiness based on the average value of Effective Leakage Area (ELA) obtained from Chapter Four.

| Home #    |          | CRL+     |         | CRL-     |         |         |  |
|-----------|----------|----------|---------|----------|---------|---------|--|
|           | OPP      | RLOP     | EOLA    | OPP      | RLOP    | EOLA    |  |
|           | Pa       | cfm      | sq. in. | Pa       | cfm     | sq. in. |  |
| 1         | -19.000  |          |         | -19.000  | 27.660  | 5.999   |  |
| 2         | -34.000  |          |         | -34.000  | 97.670  | 15.801  |  |
| 3         | -17.000  | 110.000  | 25.169  | -17.000  | 69.500  | 15.902  |  |
| 4         | -25.700  | 50.330   | 9.366   | -25.700  | 65.670  | 12.221  |  |
| 5         | -17.400  | 44.330   | 10.026  | -17.400  | 79.330  | 17.941  |  |
| 7         | -16.700  | 106.538  | 24.595  | -16.700  | 115.739 | 26.719  |  |
| 9         | -63.000  | 102.940  | 12.235  | -63.000  | 90.556  | 10.763  |  |
| 15        | -12.000  |          |         | -12.000  | 56.854  | 15.483  |  |
| 18        | -15.000  | 25.000   | 6.090   | -15.000  | 30.330  | 7.388   |  |
| 19        | -19.000  | 12.318   | 2.666   | -19.000  | 43.430  | 9.400   |  |
| 20        | -65.000  |          |         | -65.000  | 113.455 | 13.276  |  |
| 24        | -18.000  | 108.925  | 24.221  | -18.000  | 142.325 | 31.647  |  |
| 25        | -31.000  | 150.253  | 25.459  | -31.000  | 147.154 | 24.934  |  |
| 26        | -8.000   | 782.928  | 261.138 | -8.000   | 408.627 | 136.294 |  |
| 31        | -67.000  | 155.640  | 17.938  | -67.000  | 197.240 | 22.733  |  |
| 33        | -31.000  | 109.334  | 18.525  | -31.000  | 135.032 | 22.880  |  |
| 37        | -34.000  | 136.561  | 22.094  | -34.000  | 93.291  | 15.094  |  |
| 40        | -15.500  |          |         | -15.500  | 139.855 | 33.512  |  |
| 41        | -150.000 |          |         | -150.000 | 117.861 | 9.079   |  |
| 43        | -32.000  |          | ·       | -32.000  | 41.039  | 6.844   |  |
| 221       | -33.000  |          |         | -33.000  | 70.638  | 11.600  |  |
| 222       |          | <b>-</b> |         |          |         |         |  |
|           |          |          |         |          |         |         |  |
| Averages: | -40.761  | 143.478  | 34.767  | -40.761  | 108.726 | 22.167  |  |

 Table 6.7 Equivalent orifice leakage area (EOLA).

# 6.8 Average Return Leakage

The operating pressure of the return in homes varies significantly from home to home. The reasons for these variations may be return register sizing, clogged evaporator coils, return leaks, equipment capacity and/or the return structure itself. This operating pressure is required in the determination of return leaks to the outside. The average return leakage to the outside was determined using weighted average technique based on all the observations of combined return leakage to outside using both house to return as well return to attic case. In this calculation of weighted average return leakage, only readings with flow exponents in the range 0.25 to 0.75 were considered. The weighted average return leakage is presented in Table 6.8. In addition, homes with direct readings of return leakage at operating pressure were also included. Homes with flow readings at more than two points were also included in the CRL- or CRL+, depending on the return to attic or house to return case.

 Table 6.8 Weighted average return leakage.

| Tests                   | N  | Return Leakage<br>(cfm) |
|-------------------------|----|-------------------------|
| CRL- (P <sub>RA</sub> ) | 5  | 99.978                  |
| $CRL+(P_{RA})$          | 4  | 177.172                 |
| $CRL-(P_{HR})$          | 8  | 109.281                 |
| $CRL+(P_{HR})$          | 12 | 137.161                 |
| Direct Reading CRL-     | 3  | 68.220                  |
| Direct Reading CRL+     | 5  | 71.500                  |
| Weighted                | 37 | 115.970                 |

The weighted average return leakage to outside was determined to be 116 cfm. This value of return leakage to outside is very high because Energy Star qualified homes recommends duct leakage to outside to be less than 6 cfm/100 sq. ft. [64]. Considering this recommended duct leakage value and the home with the smallest area from the sample - 1041 sq. ft., we estimate the recommended value to be about 62 cfm. This value of 62 cfm is the total of supply leakage and return leakage. However, this study has determined the return leakage to be about 1.5 times the recommended total duct leakage value- contributing to high utility bills as well as discomfort to residents.

In Chapter Three, we determined the duct leakage to outside to be about 348 cfm. Taking this value of 348 cfm into account, we can say that return leaks contribute nearly 26% of the total duct leakage at 25 Pa. This chapter covered the measurement of return leakage in operating conditions. The actual measurement of supply duct leakage under operating conditions is presented in Chapter Seven.

# **CHAPTER 7**

# SUPPLY LEAK MEASUREMENT

Return leak measurements were treated in detail in Chapter Six. This chapter will treat supply leak measurements. Supply leak measurements are important, as supply leaks are the most common source of duct leaks. As mentioned earlier in Chapter Six, a study on 43 homes in North Louisiana concluded that 51% of them had dominant supply leaks and 27% of the homes had dominant return leaks. Figure 6.1 in Chapter Six shows the typical components of the duct system. Supply leaks occur at various sites in the supply duct leakage system.

The objective of this study is to develop an accurate procedure in measuring supply leaks. Various methods are currently used to measure supply leaks. However, all these methods measure the leakage rates at a predetermined pressure; normally at 25 Pa. In this study, we have presented an approach that can measure supply leaks based on the operating pressure of the system; the reason being that not all houses have the same operating pressure in the duct system. The operating pressure in the supply plenum can vary due to various factors such as:

- 1. Capacity of the air-conditioning unit
- Pressure drop across the coil due to various factors such as inherent drop or drop due to clogging

## 3. Return Leaks

The following are the additional contributions from this study to the measurement procedure in estimating supply duct leakage:

- The operating pressures at the supply as well as the return side of the duct system are generally different, and that is incorporated in the measurement procedure.
- The supply leaks obtained using this procedure is more realistic as the operating pressure measured gives the supply leak estimate under operating conditions.

In this study of supply leak measurement, we have incorporated the above contributions in the measurement of supply leakage. However, this chapter is limited to the theoretical procedure of the supply leak measurements and has limited data from the 43 homes tested in Northern Louisiana. Certain data required for the above procedure were not collected in all the houses due to various reasons as mentioned in Chapter Six and Section 7.2.

#### 7.1 Testing Supply Leaks

Supply leaks are generally measured using the same measuring equipment as used in measuring the return leakage described in Section 6.2. The recommended approach consists of the following tests:

#### Combined Duct Leakage (CDL):

Step 1: Seal all the registers and connect the Duct  $Blaster^{TM}$  to an opening through the seal of the return register.

- Step 2: Pressurize/Depressurize the duct system to 25 Pa through this opening with respect to the outside.
- Step 3: Pressurize/Depressurize the house to 25Pa with the Blower Door<sup>TM</sup>.
- Step 4: Adjust the pressure reading in cfm using a DG-3 pressure gauge to 0 Pa and measure the corresponding flow in cfm.
- Step 5: Repeat the test for a total of three readings.
- Step 6: Repeat the tests at 35 Pa.

Figure 7.1 shows some common sources of supply leaks in residential homes.





Figure 7.1 Common sources of supply duct leakage.

## Combined Return Leakage (CRL):

Follow the test procedure as described in Section 6.4 of Chapter Six to obtain flow readings at 25 Pa and 35 Pa respectively.

After recording flow readings for CDL and CRL, measure the operating pressure in the return as well as in the supply plenum. Operating pressure at the return plenum is obtained using BBRB as described in Sections 6.3 and 6.4 of Chapter Six. For determining the operating pressure at the supply plenum, it is preferable to introduce the pressure probe into the supply plenum without drilling, both for easy of the technician performing the measurement, and for the comfort of the homeowner. However, if there are no easily penetrated gaps available, a hole should be drilled to place the probe in an appropriate location within the supply plenum. The placement of probe is critical in measuring the supply plenum pressure. The most accurate way will be to place the probe in five different locations and measure the reading at each location three times with different depths. However, drilling of a hole may not be very comfortable to the homeowner, as holes may have to be drilled at three different locations. However, we recommend placing the probe in one location close to the blower and measure the reading at three different depths. The average of the three readings is the operating pressure of the supply plenum. It is advisable to use multi-hole pitot tube to get a accurate readings of the pressure in the supply plenum. The same kind of pitot tube is also recommended for measuring the pressure at the return plenum.

The CDL and CRL at 25 Pa and 35 Pa can be used to determine the supply leak at the respective pressures. From these two results and the operating pressure recorded, we can determine the actual flow (supply leaks) at operating pressure. The calculations are presented in detail in Section 7.2.

## 7.2 Estimating Supply Leakage at Operating Conditions

We will assume that the return plenum has a constant pressure throughout the return plenum and similarly the supply plenum maintains a constant pressure throughout the supply plenum. In real time conditions, the assumptions may not be ideal but they are necessary to obtain any result, and in most cases, accurately approximate the actual system.

The relation of flow and pressure is given by

$$F = CP^{n} \tag{7.1}$$

where,

F= air flow in cubic feet per minute (cfm)

C=leakage coefficient

*P*=pressure in Pascal (Pa)

*n*=flow exponent

The notations for the variables in this chapter are as follows:

 $CRL_{35}$  = combined return leakage in cfm at 35 Pa

 $CRL_{25}$  = combined return leakage in cfm at 25 Pa

 $CDL_{35}$  = combined duct leakage in cfm at 35 Pa

 $CDL_{25}$  = combined duct leakage in cfm at 25 Pa

 $CSL_{35}$  = combined supply leakage in cfm at 35 Pa

 $CSL_{25}$  = combined supply leakage in cfm at 25 Pa

 $CSL_{OP}$  = combined supply leakage at operating pressure

*OPS* = Operating pressure in the supply plenum

*OPR* = Operating pressure in the return plenum

Also, note that  $P_1$  and  $P_2$  represent pressures at any two given points. We know that at any given pressure combined duct leakage is equal to sum of combined return leakage and combined supply leakage i.e.

$$CDL = CRL + CSL \tag{7.2}$$

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Therefore,

$$CSL = CDL - CRL \tag{7.3}$$

Assuming two pressure points 35 and 25 Pa and using Eq. 7.1, we have

$$CRL_{35} = C_{\rm RL} P_{35}^{\ n} RL \tag{7.4}$$

$$CRL_{25} = C_{\rm RL}P_{25}^{"}{}^{\rm RL}$$
 (7.5)

Dividing Eq. 7.4 by Eq. 7.5 we get,

$$CRL_{35} P_{25}^{n} RL = CRL_{25} P_{35}^{n} RL$$
(7.6)

$$n_{\rm RL} = \log(CRL_{35}/CRL_{25}) / \log(P_{35}/P_{25})$$
(7.7)

$$C_{RL} = CRL_{35} / P_{35}^{"} RL$$
(7.8)

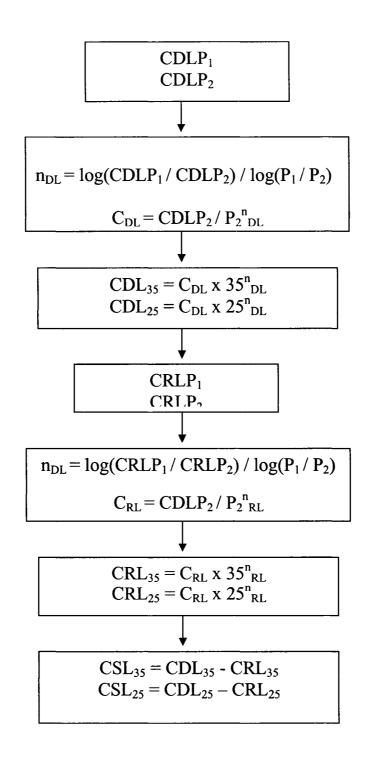
Similarly Eqs. (7.6), (7.7), (7.8) can be used to determine  $n_{DL}$ ,  $C_{DL}$ ,  $n_{SL}$  and  $C_{SL}$ . Note that at 35 Pa or 25 Pa, it is straightforward to determine the supply leaks as we have measured the leaks using Blower Door<sup>TM</sup> and Duct Blaster<sup>TM</sup> at these pressures.

However, our objective is to determine the duct leakage at the actual operating pressure, obtained through our measurements. It is important to note that the return plenum and the supply plenum operate at different pressures. Therefore, it becomes critical to measure the operating pressure both in the return as well as the supply and to consider these actual operating pressures in duct leakage calculations.

If we assume *OPS* and *OPR* as the actual operating pressures in the supply and return plenums, then applying Eqs. (7.2) and (7.3) is not straightforward. In such situations, we have to determine  $CSL_{35}$  and  $CSL_{25}$  from which we can determine  $n_{SL}$  and  $C_{SL}$  respectively. Then we can apply the Eq. (7.1) to obtain the supply leakage at the operating pressure *OPS*.

$$CSL_{\rm OP} = C_{\rm SL} OPS^{n}_{\rm SL} \tag{7.9}$$

To obtain the combined duct leakage at the actual operating condition we use Eq. (7.2). This flow measures the duct leakage at operating conditions with the supply operating at *OPS* and return operating at *OPR*. The above procedure is explained with the aid of the flow chart shown in Figure 7.2.



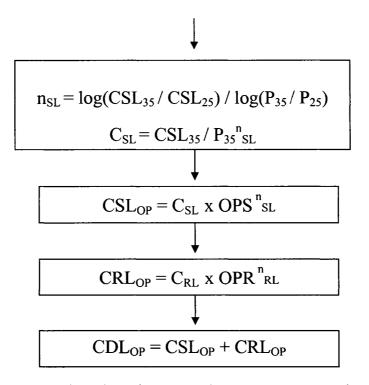


Figure 7.2 Flow chart for calculating actual duct-leakage at operating pressure.

Note that in this context, the combined duct leakage at operating pressure does not imply that the ducts operate at a specific pressure but represents the summation of the supply leaks of the supply at the operating pressure of the supply plenums and the return leaks of the return at the operating pressure of the return plenum. Homes in which it is impossible to pressurize or depressurize to 35 Pa or 25 Pa, readings should be taken at two different pressure points and follow the flow chart in Figure 7.2 with the respective recorded pressures and flows. The important point is that we need to have data at two different pressures to determine leakage coefficients and flow exponents.

The example for calculating duct leakage at operating conditions as described in flow chart (Figure 7.2) is presented in Tables 7.1, 7.2, 7.3 and 7.4.

| Home # | CDL<br>Pressure 1 | CDL<br>Flow 1 | CDL<br>Pressure 2 | CDL<br>Flow 2 | n <sub>DL</sub> | CDL    | CDL <sub>35</sub> |        |
|--------|-------------------|---------------|-------------------|---------------|-----------------|--------|-------------------|--------|
|        | Pa                | cfm           | Pa                | cfm           |                 |        | cfm               | cfm    |
| 9      | 25.00             | 365.67        | 15.00             | 285.33        | 0.49            | 76.59  | 430.58            | 365.67 |
| 15     | 25.00             | 178.33        | 15.00             | 122.67        | 0.73            | 16.88  | 228.17            | 178.33 |
| 19     | 25.00             | 249.33        | 15.00             | 195.00        | 0.48            | 52.99  | 293.14            | 249.33 |
| 24     | 25.00             | 230.37        | 15.00             | 188.33        | 0.39            | 64.72  | 263.07            | 230.37 |
| 25     | 35.00             | 390.00        | 25.00             | 308.00        | 0.70            | 32.20  | 390.00            | 308.00 |
| 40     | 15.00             | 270.67        | 10.00             | 207.67        | 0.65            | 46.12  | 470.86            | 377.93 |
| 41     | 15.00             | 358.67        | 10.00             | 302.67        | 0.42            | 115.42 | 511.40            | 444.20 |
| 43     | 25.00             | 137.00        | 15.00             | 92.67         | 0.77            | 11.66  | 177.24            | 137.00 |

 Table 7.1 Combined duct leakage at 35 Pa and 25 Pa.

For example, let us consider home number 15. From Table 7.1,  $n_{DL}$  (=0.73) and  $C_{DL}$  (=16.88). Using the equations given in the flow chart (Figure 7.2) we obtain  $CDL_{35}$  (=228.17 cfm) and  $CDL_{25}$  (=178.33 cfm).

The data  $n_{\text{RL}}$  and  $C_{\text{RL}}$  from Table 7.2 is used with Eq. (7.4) to obtain  $CRL_{35}$  and  $CRL_{25}$ .  $CRL_{\text{OP}}$  is then determined using equation provided in the flow chart. For example, for home number 15, we determine  $CRL_{\text{OP}}$  to be 90.56 cfm (10.78 x 63<sup>0.54</sup>).

| Home # | n <sub>RL</sub> | C <sub>RL</sub> | CRL <sub>35</sub> | CRL <sub>25</sub> | OPR     |        |
|--------|-----------------|-----------------|-------------------|-------------------|---------|--------|
|        |                 |                 | cfm               | cfm               | Pa      | cfm    |
| 9      | 0.70            | 16.01           | 194.65            | 153.67            | -16.70  | 115.74 |
| 15     | 0.51            | 10.78           | 66.96             | 56.33             | -63.00  | 90.56  |
| 19     | 0.63            | 5.55            | 51.61             | 41.79             | -15.00  | 30.33  |
| 24     | 0.62            | 8.68            | 77.50             | 63.00             | -65.00  | 113.45 |
| 25     | 0.75            | 16.06           | 235.10            | 182.37            | -18.00  | 142.32 |
| 40     | 0.51            | 15.67           | 94.67             | 79.85             | -34.00  | 93.29  |
| 41     | 0.41            | 45.82           | 194.85            | 169.90            | -15.50  | 139.85 |
| 43     | 0.63            | 4.97            | 47.00             | 38.00             | -150.00 | 117.86 |

Table 7.2 Combined return leakage at 35 Pa and 25 Pa.

Table 7.3 gives the combined supply leakage at the operating pressure in the supply plenum. For example, for home number 15 using Eq. (7.3), we determine  $CSL_{35}$  to

be 161.21 cfm. The *OPS* is measured as described in Section 7.1. These values are then substituted into the power law equation, Eq. (7.1) to obtain the supply leaks at operating pressure as described in the flow chart (Figure 7.2). For home number 15, the *OPS* was determined to be 45.67 Pa which using Eq. (7.9) gives the combined supply leakage at operating pressure of 200.96 cfm. As discussed in Chapter Six, Section 6.8, we only consider homes with flow exponents in the range 0.25 to 0.75, as other values are not physically realistic, and represent erroneous measurements.

| Home # | CSL <sub>35</sub> | CSL <sub>25</sub> | n <sub>sl</sub> | C <sub>SL</sub> | OPS   | CSLOP  |
|--------|-------------------|-------------------|-----------------|-----------------|-------|--------|
|        | cfm               | cfm               |                 |                 | Pa    | cfm    |
| 9      | 235.93            | 212.00            | 0.32            | 76.20           | 23.00 | 206.45 |
| 15     | 161.21            | 122.00            | 0.83            | 8.48            | 45.67 | 200.96 |
| 19     | 241.53            | 207.54            | 0.45            | 48.63           | 40.00 | 256.52 |
| 24     | 185.57            | 167.37            | 0.31            | 62.36           | 45.33 | 200.88 |
| 25     | 154.90            | 125.63            | 0.62            | 16.94           | 21.00 | 112.71 |
| 40     | 376.19            | 298.07            | 0.69            | 32.16           | 49.17 | 475.93 |
| 41     | 316.55            | 274.30            | 0.43            | 69.66           | 79.30 | 448.43 |
| 43     | 130.24            | 99.00             | 0.82            | 7.18            | 76.67 | 246.77 |

Table 7.3 Combined supply leakage at 35 Pa and 25 Pa.

Table 7.4 gives the actual duct leakage at operating pressure; the summation of  $CSL_{OP}$  and  $CRL_{OP}$ . For example, home number 15 has a CDL of 291.51 cfm at operating pressure. All the above data are with respect to pressurization of the supply ducts, the home and the return plenum. Note that depressurization data is not presented in this chapter due to the small sample of data.

As described earlier, this approach of determining duct leakage at operating conditions was only possible in a few houses due to the following reasons:

- 1. Determining the operating pressure at the supply plenum required drilling a hole in the supply plenum. This procedure was a limitation on many subjects.
- Measuring return leaks was not possible in many homes as discussed in Chapter Six.
- 3. In some homes, combined duct leakage was not measured at two pressure points.
- 4. As mentioned earlier, this chapter deals only with the theoretical approach rather than a comprehensive study. However, we have provided the new approach in determining duct leakage at operating pressures with practical examples.

Table 7.4 Combined duct leakage at operating pressure.

| Home # | CSLOP  | CRLOP  | CDLOP  |
|--------|--------|--------|--------|
|        | cfm    | cfm    | cfm    |
| 9      | 206.45 | 115.74 | 322.19 |
| 15     | 200.96 | 90.56  | 291.51 |
| 19     | 256.52 | 30.33  | 286.85 |
| 24     | 200.88 | 113.45 | 314.34 |
| 25     | 112.71 | 142.32 | 255.03 |
| 40     | 475.93 | 93.29  | 569.22 |
| 41     | 448.43 | 139.85 | 588.28 |
| 43     | 246.77 | 117.86 | 364.63 |

## 7.3 <u>Duct Leakage at Operating Pressure</u> and <u>Standard Pressure of 25 Pa</u>

In this Section, we attempt to compare the duct leakage at operating pressure and standard pressure 25Pa. Table 7.5 shows the comparison of duct leakage values both with 25 Pa and 35 Pa. From Table 7.5, we see that on average the combined duct leakage at operating pressure is greater than the combined duct leakage at 25 Pa by 68 cfm. Also, it is about 6 cfm greater than the combined duct leakage at 35 Pa. In addition, we observe that the actual operating pressure of the duct system is above 35 Pa. It is important to note that there is no such standard pressure for the duct system as a whole

because the return is depressurized whereas the supply is pressurized. These two opposite effects, pressurization and depressurization, are impossible to quantify to get a single standard pressure.

| Home #   | CDL <sub>35</sub> | CDL <sub>25</sub> |        | CDL <sub>25</sub> -CDL <sub>OP</sub> | CDL <sub>35</sub> -CDL <sub>OP</sub> |
|----------|-------------------|-------------------|--------|--------------------------------------|--------------------------------------|
|          | cfm               | cfm               | cfm    | cfm                                  | cfm                                  |
| 9        | 430.58            | 365.67            | 322.19 | 43.48                                | 108.39                               |
| 15       | 228.17            | 178.33            | 291.51 | -113.18                              | -63.35                               |
| 19       | 293.14            | 249.33            | 286.85 | -37.52                               | 6.30                                 |
| 24       | 263.07            | 230.37            | 314.34 | -83.97                               | -51.27                               |
| 25       | 390.00            | 308.00            | 255.03 | 52.97                                | 134.97                               |
| 40       | 470.86            | 377.93            | 569.22 | -191.29                              | -98.35                               |
| 41       | 511.40            | 444.20            | 588.28 | -144.08                              | -76.88                               |
| Average: | 369.60            | 307.69            | 375.35 | -67.66                               | -5.74                                |

 Table 7.5 Comparison of combined duct leakage.

We have considered in Chapter Eight whether the duct leakage is the same for the pressurized and the depressurized conditions and found that the differences are significant statistically. Hence, the approach described in this chapter for determining combined duct leakage at operating pressure is significant. That said, performing t-test statistics on the data, we find that there is no difference between the flows at 25 Pa and operating pressure. This difference is significant as all the present day measurements and estimations of duct leakage are reported at 25Pa for the residential HVAC systems. However, it should be noted that the sample considered is small and thus the result is not trustworthy. We therefore recommend performing this procedure for a larger sample to determine whether there is a meaningful statistical difference.

# **CHAPTER 8**

# STATISTICAL ANALYSIS OF PRESSURIZED AND DEPRESSURIZED CONDITIONS

The *pressure-flow* relationship for air leakage, also referred to as the power law, has the form

$$F = C\Delta P \tag{8.1}$$

where,

F =flow

C = the leakage coefficient,

 $\Delta P$  = the pressure difference,

n = flow exponent.

The flow exponent (n) has limiting values of 0.5 and 1 for fully developed turbulent and laminar flow respectively [65]. However, it is important to note that the value of flow exponent should be differentiated from the measurements of whole house leakiness and duct leaks [33, 55].

The Eq. (8.1) is applied for air-leakage calculations for whole house leakiness and duct leakage. The same equation is also applied for both pressurization and depressurization conditions of determining whole house leakiness and duct leakage. The homes sampled in the second phase of this research provided data with regards to the two conditions, pressurized and depressurized. The data collected included the following tests:

- 1. Total Duct Leakage (TDL)
- 2. Combined Duct Leakage (CDL)
- 3. Total Return Leakage (TRL)
- 4. Combined Return Leakage (CRL)

All the above tests were measured in both the pressurized and the depressurized conditions. Comparisons between the two conditions were analyzed statistically. The importance of this study is that if a difference exists between the two conditions, then the research community has to determine which is the most reasonable estimate. Also, the study initiates the need to determine causes of such differences and the solution to this problem of measurement. It is important to note that TDL and TRL are performed using Duct Blaster<sup>TM</sup> only whereas both CDL and CRL involve both Duct Blaster<sup>TM</sup> as well as Blower Door<sup>TM</sup> as described in Chapter Three. All the statistical tests were performed using SAS<sup>TM</sup> and the detailed output is presented in Appendices B13 and B14.

#### 8.1 Total Duct Leakage (TDL)

This section investigates whether there is a statistically significant difference between the average value of the total duct leakage between the pressurized and depressurized conditions. Twenty-six observations formed the sample size for performing the Paired t-test on the total duct leakage measurements. The result of the Paired t-test is presented in Table 8.1.

| Те   | sts for Location:                                     | : Mu0=0                                  |
|--|---|--|
| Test   | -Statistic-   | p Value                                  |
| Student's t<br>Signed Rank   | t 1.489723<br>S -4                                    | Pr >  t  0.1488<br>Pr >=  S  0.9214      |
|  | Tests for Norma                                       | lity                                     |
| Test   | Statistic   | p Value                                  |
| Shapiro-Wilk<br>Kolmogorov-Smirnov<br>Cramer-von Mises<br>Anderson-Darling | W 0.4714<br>D 0.42515<br>W-Sq 1.18189<br>A-Sq 5.92833 | 6 Pr > D <0.0100<br>19 Pr > W-Sq <0.0050 |

 Table 8.1 Non-Parametric and normality test for TDL.

A p-value of less than 0.05 for all the normality tests as shown in Table 8.1 suggests that the distribution does not follow a normal distribution. Therefore, distribution-free or Non-Parametric tests were performed on the data. The Wilcoxon signed-rank sum test, the Non-Parametric version of a Paired samples t-test is mainly applied when the difference between the two variables is not normally distributed.

The results of the Non-Parametric tests with a p-value greater than 0.05 shows that there is no difference between the pressurized and depressurized readings in the case of total duct leakage. It is also important to note that the p-value of Paired t-test is also greater than 0.05 suggesting that there are no differences between the two conditions even when normality assumption is violated.

Applying the Two-Sample t-test at  $\alpha$  equal to 0.05; we can say that -34.11 to 212.46 is the 95% confidence interval for the difference in the mean values of the total duct leakage between the pressurized and the depressurized conditions. The detailed output is presented in Appendix B14.

#### 8.2 Combined Duct Leakage (CDL)

The CDL is performed using both Blower Door<sup>TM</sup> and Duct Blaster<sup>TM</sup> in conjunction to determine duct leakage to the outside. The difference between the pressurized and the depressurized conditions for the combined duct leakage was statistically analyzed for 27 observations. Results in Table 8.2 show that the distribution is not normal as all the p-values for normality tests are less than 0.05.

Table 8.2 Non-Parametric and normality test for CDL.

| Tes  | sts for Locati                             | on: Mu0=0                                     |
|--|--|---|
| Test   | -Statistic-                                | p Value                                       |
| Student's t<br>Signed Rank   | t 2.31873<br>s 125                         | Pr >  t  = 0.0285<br>Pr >=  S  = 0.0013       |
|  | Tests for Nor                              | mality  |
| Test   | Statisti                                   | p Value                                       |
| Shapiro-wilk<br>Kolmogorov-Smirnov<br>Cramer-von Mises<br>Anderson-Darling | W 0.62<br>D 0.31<br>W-Sq 0.81<br>A-Sq 4.19 | 7658 Pr > D <0.0100<br>3075 Pr > W-Sq <0.0050 |

The results of the Wilcoxon Non-Parametric test show a difference between the pressurized and depressurized state for combined duct leakage measurements. The Paired t-test also shows a difference between the pressurized and depressurized readings. The 95% confidence interval for the difference between the two conditions range from 15.056 cfm to 250.23 cfm. The positive difference between the two readings implies that the reading of depressurized conditions is generally higher than that of the pressurized state. The detailed output is presented in Appendix B14. The % difference in readings between the pressurized and depressurized conditions is shown in Table 8.3. The depressurized reading on an average is about 20% higher than the pressurized readings.

| ····    |          | Flow          | Flow        |                                       |
|---------|----------|---------------|-------------|---------------------------------------|
| House # | Pressure | Depressurized | Pressurized | %Difference                           |
|         | (Pa)     | (cfm)         | (cfm)       |                                       |
| 10      | 25       | 143.33        | 168.67      | -15.02%                               |
| 11      | 25       | 309.33        | 282.67      | 9.43%                                 |
| 12      | 25       | 282           | 263.33      | 7.09%                                 |
| 13      | 25       | 125           | 137         | -8.76%                                |
| 14      | 25       | 339           | 243.67      | 39.12%                                |
| 15      | 25       | 138.67        | 178.33      | -22.24%                               |
| 16      | 25       | 257.67        | 242.33      | 6.33%                                 |
| 17      | 25       | 425.33        | 406.33      | 4.68%                                 |
| 19      | 25       | 238.33        | 249.33      | -4.41%                                |
| 20      | 25       | 143.33        | 164.33      | -12.78%                               |
| 26      | 25       | 339.33        | 328         | 3.45%                                 |
| 27      | 25       | 115.53        | 126         | -8.31%                                |
| 28      | 15       | 152.67        | 140.67      | 8.53%                                 |
| 30      | 25       | 263           | 234.67      | 12.07%                                |
| 31      | 10       | 2096.33       | 900         | 132.93%                               |
| 32      | 25       | 76.67         | 109.33      | -29.87%                               |
| 33      | 25       | 169           | 174.67      | -3.25%                                |
| 34      | 25       | 254.67        | 265.67      | -4.14%                                |
| 35      | 15       | 1195.67       | 223.67      | 434.57%                               |
| 36      | 25       | 238.33        | 249.33      | -4.41%                                |
| 37      | 5        | 181           | 270         |                                       |
| 39      | 15       | 150           | 201         | -25.37%                               |
| 40      | 15       | 220           | 270.67      | -18.72%                               |
| 41      | 15       | 331.67        | 358.67      |                                       |
| 42      | 25       | 141.67        | 179         | · · · · · · · · · · · · · · · · · · · |
| 81      | 25       | 751           | 392.67      | 91.25%                                |

 Table 8.3 % Difference in measurements between pressurized vs. depressurized.

## 8.3 Total Return Leakage (TRL)

The average difference in total return leakage between the depressurized and the pressurized conditions was determined to be 27.18 cfm. The 95% confidence interval for the difference between the two conditions of total return leakage varies from -56.66 cfm to 111.02 cfm. However, the results of normality tests show that the data do not follow a

normal distribution, as the p-values for all the normality tests are less than 0.05 as shown in Table 8.4.

| Те   | sts for Locatio                                | n: Mu0=0                                    |
|--|--|---|
| Test   | -Statistic-                                    | p Value                                     |
| Student's t<br>Signed Rank   |  | Pr >  t  0.4935<br>Pr >=  S  0.1421         |
|  | Tests for Norm                                 | nality                                      |
| Test   | Statistic                                      | p Value                                     |
| Shapiro-wilk<br>Kolmogorov-Smirnov<br>Cramer-von Mises<br>Anderson-Darling | W 0.397<br>D 0.470<br>W-Sq 0.705<br>A-Sq 3.530 | 439 Pr > D <0.0100<br>757 Pr > W-Sq <0.0050 |

Table 8.4 Non-Parametric and normality test for TRL.

We note there were limited data due to the limitations as described in Chapter Six. Accounting for this small sample data and violations of the normality assumptions, we performed Non-Parametric tests on the data. The results of the Non-Parametric tests show that is no difference between the pressurized and depressurized conditions in the case of TRL measurements. The detailed output of all the associated tests is presented in Appendix B14.

### 8.4 Combined Return Leakage (CRL)

The CRL is performed using Blower Door<sup>TM</sup> and Duct Blaster<sup>TM</sup> to determine return leaks to the outside. Chapter Six presents details about the procedure carried out for performing this test. Statistical tests described in earlier sections were employed to determine if any difference existed between pressurized and depressurized conditions.

The average combined difference in return leakage between the depressurized and the pressurized conditions was determined to be 69.09 cfm. The tests for normality presented in Table 8.5 indicate that the small sample data do not follow a normal distribution as the p-values for all the normality tests is less than 0.05. Therefore, applying Wilcoxon Non-Parametric test, we determine that the p-values are less than 0.05 suggesting that difference existed between the depressurized and the pressurized conditions. The detailed output of all the associated tests is presented in Appendix B14. Table 8.6 shows the % difference in readings between the pressurized and depressurized conditions. On an average the, depressurized readings is about 3% lower than the pressurized readings.

Table 8.5 Non-Parametric and normality test for CRL.

| Tes  | sts for Locatio                                    | n: MuO=O                                  |
|--|--|---|
| Test   | -Statistic-  | p Value                                   |
| Student's t<br>Signed Rank   | t 1.395293<br>S 45                                 | Pr >  t  = 0.1847<br>Pr >=  S  = 0.0084   |
|  | Tests for Norm                                     | ality                                     |
| Test   | Statistic  | p Value                                   |
| Shapiro-Wilk<br>Kolmogorov-Smirnov<br>Cramer-von Mises<br>Anderson-Darling | W 0.4282<br>D 0.4286<br>W-Sq 0.7112<br>A-Sq 3.6252 | 74 Pr > D <0.0100<br>34 Pr > W-Sq <0.0050 |

|  | Table 8.6 % Difference | in measurements between | n pressurized vs. depressurized. |
|--|------------------------|-------------------------|----------------------------------|
|--|------------------------|-------------------------|----------------------------------|

| House # | Pressure | Flow<br>Depressurized | Flow<br>Pressurized | %Difference |
|---------|----------|-----------------------|---------------------|-------------|
|         | (Pa)     | (cfm)                 | (cfm)               |             |
| 9       | 25       | 144                   | 153.67              | -6.29%      |
| 11      | 25       | 118.67                | 108                 | 9.88%       |
| 15      | 25       | 58.67                 | 56.33               | 4.15%       |
| 19      | 25       | 36                    | 36.33               | -0.91%      |
| 20      | 25       | 20.33                 | 54                  | -62.35%     |
| 25      | 13       | 94.33                 | 111.33              | -15.27%     |
| 26      | 25       | 138.33                | 136.67              | 1.21%       |
| 31      | 10       | 943.67                | 456.67              | 106.64%     |
| 33      | 25       | 93.67                 | 100                 | -6.33%      |
| 37      | 25       | 98.67                 | 120                 | -17.78%     |
| 40      | 20       | 50                    | 71.33               | -29.90%     |
| 41      | 15       | 112                   | 138                 | -18.84%     |
| 81      | 25       | 216.33                | 229                 | -5.53%      |

## 8.5 <u>Conclusions of Pressurized Vs. Depressurized</u> <u>Conditions</u>

Results of Sections 8.1 to 8.4 suggest that CDL and CRL readings differ statistically between the pressurized and the depressurized conditions. These two tests involve both Blower Door<sup>TM</sup> and Duct Blaster<sup>TM</sup>. However, it is important to note that tests with Duct Blaster<sup>TM</sup> only (TDL and TRL) do not show any statistical difference between the pressurized and depressurized conditions. However, it should be noted that the CDL and CRL measure leaks to the outside while TDL and TRL measure total duct leakage. These results are significant as the interchangeability aspects of the tests are thus questionable. Therefore, the reasons for the above differences needs to be addressed and one should be very careful if performing and reporting these tests interchangeably.

Also noted is that the Blower Door<sup>™</sup> associated tests shows a difference between the pressurized and depressurized conditions. It is very important to understand that the measurements taken do not reflect the real time working scenario of the HVAC system wherein the return is depressurized, whereas the supply is pressurized. However, the Duct Blaster<sup>™</sup> is used for measurement purposes, the entire duct system is either pressurized or depressurized and is thus not equivalent to the actual functioning of the HVAC unit. Another factor that may contribute to the differences is the presence of valves and dampers (micro or macro) in the home. These valves or dampers may get activated or deactivated while using the Blower Door<sup>™</sup>, for pressurizing/depressurizing the whole house. Another factor, which may contribute to this difference, is the sensitivity of the Blower Door<sup>™</sup> to the environmental changes in contrast to the Duct Blaster<sup>™</sup>. All these factors mentioned in the preceding lines may also interact to give variations in readings between the pressurized and depressurized conditions.

## **CHAPTER 9**

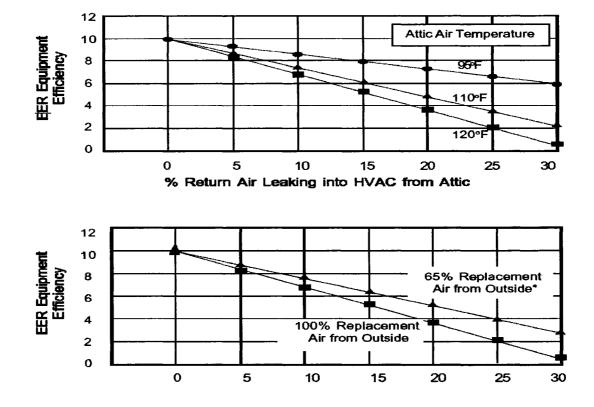
## **COST IMPLICATIONS OF DUCT LEAKAGE**

There has been a growing demand for energy. This increasing demand, not matched by an increase in desirable energy supplies, is causing a severe energy shortage problem. In particular due to the decreasing discoveries of new sources of oil and natural gas, there is an increasing need to find alternative sources of fuel, to overcome the dependence on these fossil fuels for energy. Developing alternative sources of energy is very beneficial but conserving energy is actually more important, as it has no negative effects such as increasing carbon dioxide in our atmosphere and the problems related to the storage of the resultant radioactive waste products. One of the areas where we can conserve energy is by building energy efficient homes, or by increasing the energy efficiency of existing homes. Air infiltration and duct leakage in homes are some of the main causes of energy wastage. Typical duct systems lose 25% to 40% of the heating energy or cooling energy put out by a central furnace, heat pump, or air conditioner [10]. Our measurements of duct leakage show that in many homes ducts passing through unconditioned spaces such as attics, garages, or crawl spaces lose energy through duct leakage, in addition to losing energy through heat exchange when the ducts are uninsulated or are poorly insulated (frequently R3). The energy used by forced-air heating and cooling systems is wasted because of leaks. The cooling systems may draw as much as 0.5 kilowatts (kW) of electricity during peak cooling periods [66]. It is estimated that each year, U.S. residential ductwork leakage costs consumers \$5 billion [67].

This study deals with determining energy losses due to duct leakage in residential homes. Duct leaks create uncontrolled airflows with consequences that include low-pressure zones, increased infiltration that can increase or decrease humidity, and non-uniform temperatures and energy/capacity losses for the HVAC system [68]. In our study of 43 homes in North Louisiana, we have determined the effect of duct leakage on cooling/heating load and the corresponding costs associated with such leaks. For calculation purposes, we employed the ASHRAE<sup>TM</sup> 152 standard for determining distribution system efficiency and REM/Rate<sup>TM</sup> software developed by Architectural Energy Corporation for determining the cooling/heating load in each of the 43 homes tested.

REM/Rate<sup>™</sup> software calculates heating, cooling, domestic hot water, lighting and appliance loads, consumption, and costs based on a description of the home's design and construction features as well as on the local climate and energy cost data. REM/Rate<sup>™</sup> is Department of Energy (DOE)-approved for Weatherization Assistance Programs in all states [69].

In Chapter Three, Section 3.10, it was determined that more than 2.5 times as much energy is lost by the ducts than is actually delivered to our homes. The entire calculation was based on the chart published in Jeffrey S. Tiller's book titled "Builders Guide to Energy Efficient Homes in Louisiana" which recommended that % loss of HVAC efficiency is equal to 2.5 times % duct leakage. Figure 9.1 is the reproduced chart that shows the efficiency losses due to attic return and supply leaks.



Efficiency Losses Due to Attic Return and Supply Leaks

Figure 9.1 Energy losses due to attic return and supply leaks.

There are many drawbacks to adopting this chart, shown in Figure 9.1, for determining % loss of HVAC efficiency, mainly:

- 1. The graphs are linear over the entire range of parameters; thus, an AC can waste more energy than it uses; a scientifically inaccurate result.
- 2. The graphs do not consider the effects of the humidity in determining the % loss of HVAC efficiency. Moisture removal (latent energy) as well as reducing the temperature (sensible energy) of unconditioned air are the two basic functions of an air conditioning system.
- 3. Determining the efficiency for homes having both supply and return leaks simultaneously is not possible.

- 4. The charts do not clearly distinguish between peak-load efficiency losses and seasonal averages. Peak-load efficiency is relevant to sizing whereas the seasonal average is used to predict energy consequences.
- 5. The charts overlook the situation of a cooling-dominated (hot, humid) climate, wherein a dominating return leak with ducts in the attic is far more costly than a dominating supply leak because the air introduced into the home generally comes from the attic.
- 6. Actual flow over the evaporator coil directly affects the Energy Efficiency Ratio (EER). EER is the measure the amount of electricity required by an air conditioning unit to provide the desired cooling level in BTUs. If the airflow over the coil is too high then air moisture removal is reduced. However if flow is too low, sensible cooling (reducing the temperature) is reduced with degradation of cooling system EER. This factor is not considered in Figure 9.1 [70].

To eliminate these problems, we decided to be more precise in our approach in estimating the cost associated with duct leakage, by using the professionally accepted REM/Rate<sup>TM</sup> software along with ASHRAE<sup>TM</sup> 152 standard to estimate energy losses due to duct leakage rather than using the chart presented in Figure 9.1. In the next two sections, we discuss REM/Rate<sup>TM</sup> and ASHRAE<sup>TM</sup> 152 in relation to this study, highlighting the significance of this study and its implications.

# 9.1 <u>REM/Rate<sup>TM</sup> Inputs</u>

REM/Rate<sup>TM</sup> is an user-friendly software that calculates heating, cooling, domestic hot water, lighting and appliance loads, consumption, and costs based on a description of the home's design and construction features as well as the local climate

and energy cost data. REM/Rate<sup>TM</sup> is U.S Department of Energy (DOE)-approved software for Weatherization Assistance Programs in all states [69]. It also complies with the National Home Energy Rating Standards as promulgated by Residential Energy Services Network (RESNET).

There are two options to input data: simplified and detailed. Simplified inputs use general building design characteristics (e.g., house type) and built-in algorithms to determine building shell areas and other characteristics. Detailed inputs provide the user additional control over the calculations. These inputs include wall construction details, window conduction and solar gain values, HVAC efficiencies, duct system characteristics, passive and active solar design features, and infiltration rates [9]. In this study, we used the simplified input, as our objective was to find the costs associated with duct leakage rather than the whole house efficiency. The HVAC system efficiency was determined from the ASHRAE<sup>TM</sup> 152 standard whereas REM/Rate<sup>TM</sup> was used to determine the cooling load/heating load for the home. The output from REM/Rate<sup>TM</sup> was used in conjunction with the results from the ASHRAE<sup>TM</sup> 152 standard to determine the energy wastage in dollars due to duct leakage.

For each of the 43 homes tested and the additional data from New Orleans, we collected the data for the simplified input and followed the steps presented below to determine the energy cost.

1. Building/Property information

This included the basic information such as the physical locations and name of the owner.

2. Site Information

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 $REM/Rate^{TM}$  site information includes both utility and weather location data. This information is necessary to calculate the energy costs and consumption. The main inputs are Climate Location and Utility expenses.

To determine the heating and cooling energy consumption and cost, climate information is needed for the home's location. For the 43 homes tested in North Louisiana, Shreveport was used as the city since it was the only mutual choice of location in both REM/Rate<sup>™</sup> and ASHRAE<sup>™</sup> 152. New Orleans was used as the city of location for data obtained on nine homes from New Orleans. Note that, we had all the related observations for 38 and 7 homes in North Louisiana and New Orleans respectively. The corresponding fuel and the associated cost are entered for heating and cooling. There are options for fuel types used for heating; electricity, gas, propane, kerosene, oil. Local utility rates can be entered using the edit mode to obtain accurate readings.

3. Simplified Inputs: General Design Characteristics

This input addresses the general design and construction characteristics of the building. Numerous assumptions are embedded in the Simplified Inputs and the input of appropriate entries is critical. Input parameters entered include house type – number of floors, conditioned area, volume, foundation type, number of bedrooms, distribution of conditioned area, number of corners, nominal flat ceiling height, and conditioned floor area.

4. Simplified Inputs: Building Envelope Characteristics

This input addresses the basic construction characteristics of the building envelope. The construction components entered include Ceiling Type, Above-Grade Wall Type, Foundation Wall Type, Frame Floor Type, Door Type, Slab Type, Average Slab Depth Below Grade, Type of Infiltration, and Measured Infiltration Rate estimated from the Blower Door<sup>TM</sup> test with Effective Leakage as the units of measurement.

5. Windows and Glass Door Properties

This input describes the glazing in vertical walls and the glazed portions of doors namely the windows, and includes, the parameters necessary to estimate the heat gain such as U-Value, Solar Heat Gain Coefficient (SHGC), area of the windows, orientation, interior shading, adjacent shading and wall assignment. The Solar Heat Gain Coefficient (SHGC) is the fraction of incident solar radiance that enters through glazing as heat gain. Interior Shading is a value between 0.0 and 1.0 and represents the fraction of solar heat gain through the window that enters the home. A value of 1.0 indicates there are no interior blinds. This value is a function of the properties of both the glazing and the interior shades.

Adjacent Shading defines the degree to which windows are shaded through exterior objects such as building's shape and form, trees and shrubs, which may seasonally lose and gain foliage, and nearby buildings and landforms that can provide shade in this form. In general, winter shading factors are greater than summer factors. The SHGC meter was used to determine SHGC and the U-value for the windows in all the homes tested.

6. Mechanical Equipment Properties

This input describes the characteristics of all mechanical equipment in the building (heating, cooling, and water heating). The heating, cooling, and water heating systems for a building can be described by one or multiple pieces of equipment. Over time equipment efficiency can decline due to lack of maintenance and/or age. Furnace and boiler burners can degrade their proper mixing settings, or become dirty, and airconditioners can lose their refrigerant charge and their condensers can become dirty. A performance adjustment of 100% means the equipment is operating at nominal efficiency. A performance adjustment of 90% means the equipment is operating at 90% of its nominal efficiency rating. For example, a furnace with an efficiency rating of 80 AFUE and a performance adjustment of 90% will have an actual annual efficiency of 80 \* 0.90 = 72%. The performance adjustment is entered as a percentage value between 0 and 100.

There are two entries for the set point temperature one for heating and one for cooling. For comparison purposes a heating set point of 68° is used for heating and a set point of 78° is used for cooling.

7. Duct System Properties Summary

This input describes the status of the heating and cooling supply ducts. Ducts within conditioned space may be included, but they have little impact on heating and cooling loads. The program can be used for ducts located in more than one location. The areas of the supply plenum, return plenum and the ducts are entered to determine the thermal losses associated with the distribution system. In our test homes we used the default values, which are values, generated in the code based on the average value of homes with the specified characteristics in the specified locations.

In this study, our objective was to determine the cost associated with duct leakage. We have measured data on duct leakage, which can be added in the detailed input portion of the code. The supply leaks and return leaks at 25 Pa along with the supply pressure and return pressure are additional data that can be entered in the code. Note that in some of the homes we were unable to measure return leaks as described in Chapter Six. For those homes, the return leakage was estimated by multiplying the duct leakage by a factor equal to 0.25. The value of 0.25 is the average fraction of the return duct leakage on all the homes tested in this study. The average delta pressure outside and the average duct operating pressure for both the supply and return side in these measurements is also entered into the code. The average delta pressure outside is the average pressure between the home and the attic.

8. Whole House Infiltration

The values of whole house infiltration and the remaining inputs are measured and entered in the code.

9. Detailed Lights and Appliances

As we are only concerned only with the effective duct leakage, the default values for the Detailed Lights and Appliances options were used.

10. By using the quick analysis option, we obtained the annual heating and cooling load, design heating and cooling loads, and the annual consumption and annual energy cost.

11. To obtain the annual energy cost with zero duct leakage we entered the values of the supply leakage and return leakage as zero in step 7 and then proceeded to step 10 to obtain the readings. Table 9.1 presents the data of the energy use of the 43 homes tested using REM/Rate<sup>TM</sup> following the above 11 steps. Table 9.2 shows the energy wastage due to duct leakage.

| Home # | Return<br>Leakage | Supply<br>Leakage                     | Energy Use with Measured<br>Duct Leakage in \$ |         |       | Energy Use with Zero Duct<br>Leakage in \$ |         |       |  |
|--------|-------------------|---------------------------------------|--|---------|-------|--|---------|-------|--|
|        | (cfm)             | (cfm)                                 | Heating  | Cooling | Total | Heating                                    | Cooling | Total |  |
| 1      | 27.66             | 359.34                                | 432  | 447     | 1754  | 401  | 420     | 1696  |  |
| 2      | 97.67             | 264.33                                | 460  | 735     | 2453  | 444  | 716     | 2419  |  |
| 4      | 58.00             | 102.00                                | 192  | 383     | 1322  | 186  | 365     | 1298  |  |
| 5      | 61.50             | 184.50                                | 985  | 567     | 2354  | 958  | 547     | 2307  |  |
| 6      | 61.83             | 70.17                                 | 283  | 299     | 1354  | 278  | 289     | 1339  |  |
| 7      | 119.50            | 358.50                                | 781  | 422     | 2369  | 754  | 408     | 2329  |  |
| 9      | 148.84            | 122.60                                | 600  | 337     | 1861  | 585  | 325     | 1834  |  |
| 10     | 39.00             | 117.00                                | 627  | 289     | 1688  | 597  | 272     | 1640  |  |
| 11     | 113.34            | 182.67                                | 782  | 510     | 2231  | 759  | 487     | 2185  |  |
| 12     | 68.17             | 184.50                                | 633  | 644     | 2335  | 611  | 624     | 2292  |  |
| 13     | 32.75             | 98.25                                 | 697  | 623     | 2269  | 681  | 610     | 2240  |  |
| 14     | 72.83             | 218.50                                | 1034   | 542     | 2498  | 994  | 518     | 2435  |  |
| 15     | 57.50             | 101.00                                | 515  | 548     | 1985  | 501  | 529     | 1952  |  |
| 16     | 62.50             | 187.50                                | 367  | 382     | 1521  | 345  | 355     | 1472  |  |
| 17     | 103.96            | 311.87                                | 525  | 399     | 1926  | 491  | 375     | 1867  |  |
| 18     | 48.00             | 187.67                                | 400  | 396     | 1770  | 380  | 382     | 1744  |  |
| 19     | 38.89             | 204.94                                | 668  | 450     | 1898  | 634  | 428     | 1843  |  |
| 20     | 37.17             | 116.67                                | 320  | 466     | 1766  | 308  | 448     | 1737  |  |
| 21     | 42.50             | 127.50                                | 363  | 477     | 1758  | 351  | 460     | 1729  |  |
| 22     | 82.33             | 65.34                                 | 350  | 416     | 1812  | 346  | 407     | 1795  |  |
| 23     | 67.83             | 203.50                                | 563  | 551     | 2175  | 535  | 528     | 2122  |  |
| 24     | 63.00             | 167.37                                | 457  | 463     | 1886  | 435  | 443     | 1843  |  |
| 25     | 154.16            | 153.84                                | 498  | 421     | 1926  | 477  | 399     | 1803  |  |
| 26     | 137.50            | 196.17                                | 428  | 498     | 1911  | 410  | 477     | 1873  |  |
| 27     | 30.19             | 90.57                                 | 352  | 448     | 1861  | 343  | 434     | 1839  |  |
| 28     | 54.07             | 127.50                                | 278  | 289     | 1414  | 261  | 273     | 1385  |  |
| 29     | 89.92             | 269.75                                | 546  | 578     | 2057  | 505  | 538     | 1976  |  |
| 30     | 62.21             | 186.63                                | 291  | 309     | 1530  | 273  | 287     | 1489  |  |
| 32     | 23.25             | 69.75                                 | 354  | 401     | 1722  | 344  | 392     | 1703  |  |
| 33     | 96.84             | 75.00                                 | 314  | 318     | 1555  | 302  | 298     | 1521  |  |
| 34     | 65.04             | 195.13                                | 371  | 454     | 1598  | 352  | 424     | 1549  |  |
| 36     | 60.96             | 186.63                                | 395  | 465     | 1959  | 379  | 451     | 1928  |  |
| 37     | 109.34            | 355.99                                | 499  | 558     | 1968  | 467  | 525     | 1903  |  |
| 38     | 202.97            | 127.09                                | 551  | 585     | 1928  | 527  | 551     | 1869  |  |
| 39     | 58.88             | · · · · · · · · · · · · · · · · · · · |  | 452     | 1888  | 460  | 453     | 1886  |  |
| 40     | 78.07             |                                       |  |         | 1943  | 639  | 395     | 1876  |  |
| 42     | 40.08             |                                       | 392  |         |       | 375  |         | 1846  |  |
| 43     | 38.00             | 99.00                                 | 292  | 400     | 1620  | 277  | 377     | 1581  |  |

Table 9.1 Results on energy use from REM/Rate<sup>TM</sup>.

From Table 9.2, we observe that the % cooling and heating energy wastage from duct leakage (100 x (Energy Use with Measured Duct Leakage in \$ - Energy Use with

Zero Duct Leakage in \$)/ (Energy Use with Zero Duct Leakage in \$)) are very low as compared to % duct leakage ((return duct leakage + supply duct leakage) / (air flow through the system)). For example homes 1, 2, 4 and 5 with duct leakage ranging from 20% to 23% have % cooling and heating energy wastage in the range of 3% to 7%. These results are very low compared to results found in similar studies done by [71] and [72].

|         | measure<br>Light & A |         | leakage<br>nces set    | Energy Use w/ duct<br>leakage set = 0<br>Light & Appliances set<br>as indicated<br>in \$ |         | % Duct Leakage<br>using Nominal Blower Flow | % Cooling & Heating Energ<br>Waste from Duct Leakage | Wastage due to<br>Duct Leakage in \$ |             |
|---------|----------------------|---------|------------------------|--|---------|---|--|--------------------------------------|-------------|
|         | Using R              | EM/Ra   | ite 12.2               | Using REM/Rate 12.2  |         |   | ge<br>er Flow  | Heating Energy<br>Duct Leakage       | in to<br>\$ |
| House # | Heating              | Cooling | Total<br>Energy<br>Use | Heating  | Cooling | Total<br>Energy<br>Use                      |  |                                      |             |
| 1       | 432                  | 447     | 1754                   | 401  | 420     | 1696  | 24%  | 7%                                   | 58          |
| 2       | 460                  | 735     | 2453                   | 444  | 716     | 2419  | 23%  | 3%                                   | 34          |
| 4       | 192                  | 383     | 1322                   | 186  | 365     | 1298  | 20%  | 4%                                   | 24          |
| 5       | 985                  | 567     | 2354                   | 958  | 547     | 2307  | 15%  | 3%                                   | 47          |
| 6       | 283                  | 299     | 1354                   | 278  | 289     | 1339  | 8%   | 3%                                   | 15          |
| 7       | 781                  | 422     | 2369                   | 754  | 408     | 2329  | 24%  | 4%                                   | 40          |
| 9       | 600                  | 337     | 1861                   | 585  | 325     | 1834  | 17%  | 3%                                   | 27          |
| 10      | 627                  | 289     | 1688                   | 597  | 272     | 1640  | 13%  | 5%                                   | 48          |
| 11      | 1 1                  | 510     | 2231                   | 759  | 487     | 2185  | 19%  | 4%                                   | 46          |
| 12      | h                    | 644     | 2335                   |  | 624     | 2292  | 34%  | 3%                                   | 43          |
| 13      | · · · · · ·          | 623     |                        |  | 610     | 2240  | 8%   | 2%                                   | 29          |
| 14      | 1                    | 542     | 2498                   |  | 518     | 2435  | 18%  | 4%                                   | 63          |
| 15      | 1                    | 548     |                        |  | 529     | 1952  | 13%  | 3%                                   | 33          |
| 16      | 1                    | 382     | 1521                   | 345  | 355     | 1472  | 21%  | 7%                                   | 49          |
| 17      | 1                    | 399     | 1926                   |  | 375     | 1867  | 26%  | 7%                                   | 59          |
| 18      | 400                  | 396     | 1770                   | 380  | 382     | 1744  | 12%  | 4%                                   | 26          |

**Table 9.2** Energy wastage due to duct leakage from REM/Rate<sup>TM</sup>.

| ·       |     |     |      |     |     |      | ·   |    |     |
|---------|-----|-----|------|-----|-----|------|-----|----|-----|
| 19      | 668 | 450 | 1898 | 634 | 428 | 1843 | 15% | 5% | 55  |
| 20      | 320 | 466 | 1766 | 308 | 448 | 1737 | 10% | 4% | 29  |
| 21      | 363 | 477 | 1758 | 351 | 460 | 1729 | 11% | 4% | 29  |
| 22      | 350 | 416 | 1812 | 346 | 407 | 1795 | 8%  | 2% | 17  |
| 23      | 563 | 551 | 2175 | 535 | 528 | 2122 | 14% | 5% | 53  |
| 24      | 457 | 463 | 1886 | 435 | 443 | 1843 | 14% | 5% | 43  |
| 25      | 498 | 421 | 1926 | 477 | 399 | 1803 | 15% | 5% | 123 |
| 26      | 428 | 498 | 1911 | 410 | 477 | 1873 | 17% | 4% | 38  |
| 27      | 352 | 448 | 1861 | 343 | 434 | 1839 | 8%  | 3% | 22  |
| 28      | 278 | 289 | 1414 | 261 | 273 | 1385 | 18% | 6% | 29  |
| 29      | 546 | 578 | 2057 | 505 | 538 | 1976 | 22% | 8% | 81  |
| 30      | 291 | 309 | 1530 | 273 | 287 | 1489 | 18% | 7% | 41  |
| 32      | 354 | 401 | 1722 | 344 | 392 | 1703 | 8%  | 3% | 19  |
| 33      | 314 | 318 | 1555 | 302 | 298 | 1521 | 14% | 5% | 34  |
| 34      | 371 | 454 | 1598 | 352 | 424 | 1549 | 26% | 6% | 49  |
| 36      | 395 | 465 | 1959 | 379 | 451 | 1928 | 12% | 4% | 31  |
| 37      | 499 | 558 | 1968 | 467 | 525 | 1903 | 29% | 7% | 65  |
| 38      | 551 | 585 | 1928 | 527 | 551 | 1869 | 21% | 5% | 59  |
| 39      | 463 | 452 | 1888 | 460 | 453 | 1886 | 15% | 0% | 2   |
| 40      | 679 | 421 | 1943 | 639 | 395 | 1876 | 34% | 6% | 67  |
| 42      | 392 | 439 | 1881 | 375 | 421 | 1846 | 8%  | 4% | 35  |
| 43      | 292 | 400 | 1620 | 277 | 377 | 1581 | 9%  | 6% | 39  |
| Average |     |     |      |     |     |      |     | 5% | 52  |

The airflow through the homes HVAC blower is generally taken to be a reasonable estimate of the system flow in normal operating conditions. As noted in our discussion of the latent heat contribution in Figure 9.1 the % energy loss due to duct leakage should be much greater than the % duct leakage. This expectation is not satisfied in Table 9.2.

In many houses in the Southern Unites States, cooling equipment and/or air distribution or return ducts are located in the attic, or in spaces connected to the attic. Thus, as ducts are not usually air tight, the return duct leakage to the outside causes attic air to be drawn into the return plenum, and thus into the duct system by the blower motor. "In these climates, the outside air is much more humid than the inside air, which is cooled and dehumidified by air conditioning. In such climates, attic venting tends to

increase rather than reduce moisture levels in the attic. Air conditioning ducts are commonly located in the attic space, and attic ventilation with humid outdoor air may therefore increase the danger of condensation on these ducts. When the ceiling is not airtight, attic ventilation may also increase the latent cooling load in the building" [73]. Thus, the cooling load on the building will be greater than just the sensible load. Therefore the percentage of the energy lost to duct leakage should be greater than the percentage of air loss due to duct leakage. As REM/Rate<sup>TM</sup> frequently gives a lower percentage of energy loss due to duct leakage than the percentage of air loss itself, we decided to specifically investigate the results for the energy used by a home without duct leakage, as given by REM/Rate<sup>™</sup> 12, with that given by REM/Rate<sup>™</sup> 12 for a home with duct leakage. Our comparison model is the energy difference the air conditioning system would have to provide on the assumption that the air lost was replaced by air from the attic. In most of the homes the replacement air for return leaks is generally from the attic and for supply leaks from the outside. From Chapter Six, we found that return leaks contribute 26% of the duct leakage which means that 74% of the duct leakage is from the supply. For the temperatures in the attic and the outside of the home we used temperatures from the study titled "Roof Temperature Histories in Matched Attics in Mississippi and Wisconsin" [74]. The study in Mississippi showed that when the outside temperature was 95 degrees F, the attic temperature was at 129.2 degrees F. However the outside temperature varies throughout the day. Thus, we will use an average temperature, conservatively taken to be 86 degrees, wherein the attic temperature can then, from the above quoted study, be taken to be 104 degrees.

We will assume that the air conditioner is running when the outside air is 77 degrees or greater. The study titled "Roof Temperature Histories in Matched Attics in

Mississippi and Wisconsin" recorded data collected over a four-year period and hence all the numbers are averaged over the four years. It found that annually in Mississippi there were 1193, 783, and 176 hours for outside temperatures 77, 86 and 104 degrees Fahrenheit respectively. The number of hours corresponding to outside temperatures 77, 86, and 104 degree was then summed to get 2152 hours (1193+783+176=2152). Thus, we will assume that the air conditioner runs about 2152 hours with the average outside temperature of 86 degree F. It is reasonable to assume that these hours correspond the hours when the attic air is the hottest. The average attic temperature recorded for 104 degrees Fahrenheit from the above study in Mississippi was for 639 + 494 + 507 + 398 + 130 + 2 = 2143 hours. Table 9.3 shows the conservative assumptions of temperature and relative humidity in this approach assuming that the cooling system has, almost all of the time, sufficient over-capacity compared to the load. The Humidity Ratio of air is the ratio between the actual mass of water vapor present in moist air to the mass of the dry air.

|                   | Temperature |      | Humidity<br>Ratio | Enthalpy |
|-------------------|-------------|------|-------------------|----------|
| Supply Air        | 65          | 1.00 | 0.01604           | 30.0021  |
| Return Air        | 80          | 0.60 | 0.01604           | 33.6260  |
| Ambient (outside) | 86          | 0.60 | 0.01604           | 38.2728  |
| Attic             | 104         | 0.40 | 0.01604           | 45.6348  |

 Table 9.3. Conservative assumptions of temperature and relative humidity for cooling season.

Table 9.4 shows the air conditioner distribution efficiency with respect to % duct leakage in a cooling season assuming that 76% of leakage comes from outside air (supply leaks) and 24% of the leakage comes from attic (return leaks). The enthalpy at 0% duct leakage is the difference between the enthalpy of the return air and the supply

air (Table 9.4). At 0% duct leakage, by this definition we have 100% air conditioning distribution efficiency, which is presented as 1 in Table 9.4.

| % Duct Leakage | Enthalpy<br>Removed | AC Distribution<br>Efficiency |
|----------------|---------------------|-------------------------------|
|                | 2.62                | 1.00                          |
|                | 3.62                | 1.00                          |
| 5              | 2.93                | 0.81                          |
| 10             | 2.24                | 0.62                          |
| 15             | 1.54                | 0.43                          |
| 20             | 0.85                | 0.23                          |
| 25             | 0.16                | 0.04                          |
| 30             | -0.54               | -0.1                          |
| 35             | -1.23               | -0.34                         |
| 40             | -1.92               | -0.53                         |

Table 9.4 Air conditioner efficiency and % duct leakage for the cooling season.

For 5% duct leakage, we calculate the enthalpy as the difference between the enthalpy of the return air and the enthalpy of the actual air in the ducts – namely the summation of 0.95 times the enthalpy of the supply air and 0.05 times the enthalpy of the replacement air; namely in the ducts resulting from the supply and the return leakage  $(33.626 - 0.95 \times 30 - 0.05 \{38.272 \times 0.76 + 45.634 \times 0.24\} = 2.93)$ . The enthalpy of this replaced air is the summation of 0.76 times the enthalpy of outside air (supply leaks) and 0.24 times the enthalpy of attic air (return leaks). The corresponding air conditioning distribution efficiency is the ratios of enthalpy at 5% to enthalpy at 0% (2.93/3.62 = 0.81). In similar way, we calculate the air conditioning efficiency for all the % duct leakage.

During the heating season, the study in Mississippi homes showed that an average outside low temperature of 40 degrees F for the heating season. Therefore, it is reasonable to consider the average heating temperature to be near 50 degree F. Since

heat rises from a conditioned home into its attic, and since attics are heated by solar radiation, we will take the attic to average 55 degree F.

Tables 9.5 and 9.6 shows the conservative assumptions and related calculations for heating distribution efficiency with respect to % duct leakage. The calculations are similar to that as the cooling season. For 5% duct leakage, we calculate the enthalpy as the difference between the enthalpy of supply air and the summation of 0.95 times the enthalpy of return air and 0.05 times the enthalpy of the replacement air (27.512 - 0.95 x 20.231 - 0.05 {17.764 x 0.76 + 18.953 x 0.24} = 6.81). The corresponding heating distribution efficiency is the ratios of the enthalpy at 5% to the enthalpy at 0% (6.81/7.28 =0.94). In similar way, we calculate the heating distribution efficiency for all the %duct leakage.

|            | Temperature | Relative<br>Humidity | Humidity<br>Ratio | Enthalpy |
|------------|-------------|----------------------|-------------------|----------|
| Supply Air | 90          | 0.18                 | 0.005352          | 27.512   |
| Return Air | 60          | 0.49                 | 0.005352          | 20.232   |
| Ambient    | 50          | 0.70                 | 0.005352          | 17.764   |
| Attic      | 55          | 0.58                 | 0.005352          | 18.953   |

**Table 9.5** Conservative assumptions of temperature and relative humidity for heating season.

Table 9.6 Air conditioner efficiency and % duct leakage for heating season.

| % Duct Leakage | Enthalpy Removed | Heating Distribution<br>Efficiency |
|----------------|------------------|------------------------------------|
| 0              | 7.28             | 1.00                               |
| 5              | 6.81             | 0.94                               |
| 10             | 6.33             | 0.87                               |
| 15             | 5.86             | 0.81                               |
| 20             | 5.39             | 0.74                               |
| 25             | 4.91             | 0.68                               |
| 30             | 4.44             | 0.61                               |
| 35             | 3.97             | 0.55                               |
| 40             | 3.50             | 0.48                               |

The air conditioning distribution efficiency and heating distribution efficiency for the respective % duct leakage values are presented in Tables 9.4 and 9.6. The % change in cooling distribution efficiency is a direct indicator of the % change in the energy needed for cooling due to duct leakage. From Tables 9.4 and 9.6, we observe that at 10% duct leakage the % wastage in cooling and heating distribution efficiency is 38% and 13% respectively. Almost all the homes tested in this study have % duct leakage above 10%. Comparing the % wastage in heating and cooling results from REM/Rate<sup>™</sup>, we see that the numbers are much smaller than expected. This small difference suggests that there is an inherent error in REM/Rate<sup>™</sup>'s calculation of energy wastage as it does not appropriately account for temperature and humidity. Table 9.7 depicts the comparison results for the first four homes.

In Table 9.7, the drop in air conditioning distribution efficiency and heating distribution efficiency for the respective % duct leakage values are obtained from Tables 9.4 and 9.6 (1-cooling distribution efficiency/heating distribution efficiency). For home number one, we determine the drop in AC distribution efficiency to be 1 - 0.23 = 0.77 and the drop in heating distribution efficiency to be 1 - 0.74 = 0.26. Note that we have rounded the % duct leakage to the nearest values as determined in Tables 9.4 and 9.6. The cooling cost in dollars for the drop in efficiency is calculated using cooling costs at zero duct leakage to the outside obtained (from REM/Rate<sup>TM</sup>) from Table 9.2. For home number one, the cooling cost is obtained my multiplying, 1.77 by 420 (cooling cost at zero duct leakage from Table 9.2), which is equal to 743. The cooling cost as well as the heating cost is obtained in the similar way for all the four homes.

| Home No | No nsidered<br>1 20 |      | Cooling Cost in \$ | %Change in Cooling Cost | Drop in Heating Distribution<br>Efficiency | Heating Cost in \$ | %Change in Heating Cost |
|---------|---------------------|------|--------------------|-------------------------|--|--------------------|-------------------------|
| 1       | 20                  | 0.77 | 743.40             | 66.31%                  | 0.26                                       | 505.26             | 16.96%                  |
| 2       | 20                  | 0.77 | 1267.32            | 72.42%                  | 0.26                                       | 559.44             | 21.62%                  |
| 3       | 20                  | 0.77 | 646.05             | 68.68%                  | 0.26                                       | 234.36             | 22.06%                  |
| 4       | 15                  | 0.57 | 858.79             | 51.46%                  | 0.19                                       | 1140.02            | 15.74%                  |

**Table 9.7** Comparison of REM/Rate<sup>™</sup> and the Conservative Model.

The % change in cooling costs and heating costs with respect to REM/Rate<sup>™</sup> is also presented in Table 9.7. From Table 9.7, the differences in cooling cost vary by 50% and the heating costs vary by 15%. These high variations in results suggest that there are inherent inaccuracies associated with REM/Rate<sup>™</sup>'s calculation of energy costs.

We further investigated the results obtained from REM/Rate<sup>TM</sup> for two simple conditions – first by setting the return leak to zero and varying the supply leaks, and second by setting the supply leaks to zero and varying the return leaks. The output for the above runs is presented in Table 9.8.

| Supply | Return | Duct<br>Leakage | Heating | Cooling | Heating | Cooling |         | nge in<br>ergy | Remarks  | Capacity | %Lea   | akage  |
|--------|--------|-----------------|---------|---------|---------|---------|---------|----------------|----------|----------|--------|--------|
| cfi    | m      |                 | ммвт    | TU/yr   |         | \$      | Heating | Cooling        |          |          | Supply | Return |
| 0      | 0      | 0               | 31.3    | 11.6    | 278     | 289     | 0.00    | 0.00           |          | 1600     | 0.00   | 0      |
| 100    | 0      | 100             | 32.1    | 11.8    | 285     | 295     | 2.56    | 1.72           |          | 1600     | 6.25   | 0      |
| 500    | 0      | 500             | 34.7    | 12.7    | 309     | 317     | 10.86   | 9.48           |          | 1600     | 31.25  | 0      |
| 1000   | 0      | 1000            | 36.7    | 13.5    | 326     | 337     | 17.25   | 16.38          |          | 1600     | 62.50  | 0      |
| 1200   | 0      | 1200            | 37.2    | 13.7    | 331     | 343     | 18.85   | 18.10          |          | 1600     | 75.00  | 0      |
| 1600   | 0      | 1600            | 38      | 14      | 337     | 351     | 21.41   | 20.69          | Warnings | 1600     | 100.00 | 0      |

**Table 9.8** REM/Rate<sup>™</sup> results for varying supply and return leaks.

|   | 0 | 0    | lo   | 31.3 | 11.6 | 278 | 289 | 0.00  | 0.00  |          | 1600 | lo | 0.00   |
|---|---|------|------|------|------|-----|-----|-------|-------|----------|------|----|--------|
| ľ | 0 | 100  | 100  | 31.8 | 12   | 282 | 300 | 1.60  | 3.45  |          | 1600 | 0  | 6.25   |
|   | 0 | 500  | 500  | 33.5 | 13.3 | 297 | 332 | 7.03  | 14.66 |          | 1600 | 0  | 31.25  |
|   | 0 | 1000 | 1000 | 34.7 | 14   | 308 | 349 | 10.86 | 20.69 | Warnings | 1600 | 0  | 62.50  |
|   | 0 | 1200 | 1200 | 35   | 14.3 | 311 | 358 | 11.82 | 23.28 | Warnings | 1600 | 0  | 75.00  |
|   | 0 | 1600 | 1600 | 35.5 | 13.4 | 315 | 334 | 13.42 | 15.52 | Warnings | 1600 | 0  | 100.00 |

Figures 9.2 and 9.3 show the plots for the % supply leakage and return leakage with % change in energy consumption respectively. From Figure 9.2, we see that at 100% supply leak there is only an approximate 21% change in heating as well as cooling energy. A 100% supply leak theoretically means that there is no air being delivered from the supply registers at all. If ducts are in the attic, which is the case in the most homes tested- then the conditioned air is leaked into the attic.

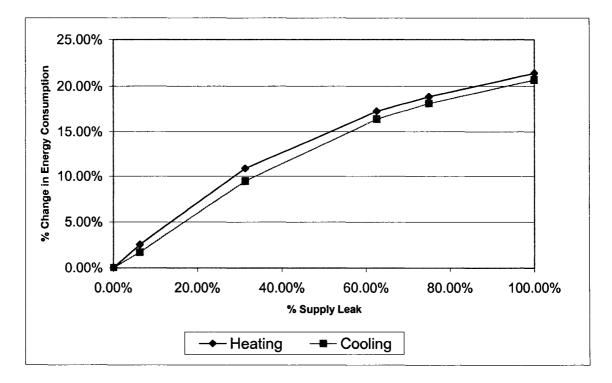


Figure 9.2 % Supply leak vs. % change in energy consumption.

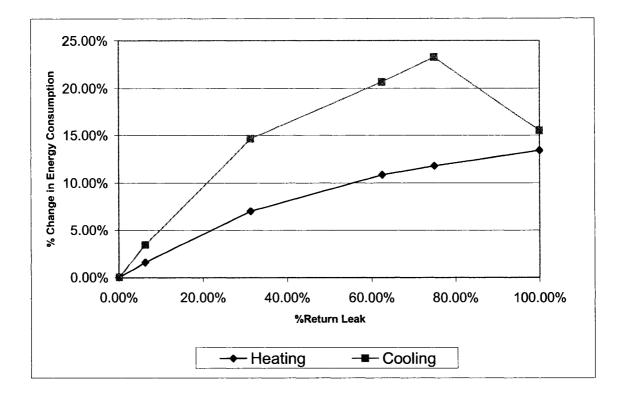


Figure 9.3 % Return leak vs. % change in energy consumption.

In the case of return leaks, from Figure 9.3 we observe that at 80% return leakage, there is only about a 23% change in energy consumption in the case of cooling where as in the case of heating it is only about a 12% change. Return leaks generally draw attic air from the attic to the conditioned space. In summer months, the attic air is hot and humid and can reach up to 140 degrees F and the energy required in maintaining the set temperature in the home is directly proportional to the differences between these two temperatures and to the moisture content; or in more scientific terms, to the relative enthalpy. Also, from Figure 9.3, we observe that in cooling, the change in energy consumption decreases to 16% at 100% return duct leakage from 24% at 80% return duct leakage. This decrease in energy consumption is inconsistent with physical principles. Note that REM/Rate<sup>™</sup> outputs a warning message in certain cases as shown

in Table 9.3. However, the low values associated with percent change in energy for higher duct leakage are unphysical. To accurately measure the energy wastage due to duct leakage, we employed the ASHRAE<sup>TM</sup> 152 standard in combination with REM/Rate<sup>TM</sup>, which is described in Section 9.2.

# 9.2 ASHRAE<sup>TM</sup> 152 Standard

The ASHRAE<sup>TM</sup> Standard 152 (ASHRAE 2004) "Method of Test for Determining the Design and Seasonal Efficiencies of Residential Thermal Distribution Systems" is a method of estimating the efficiency of HVAC energy distribution in residential buildings [68]. The standard quantifies how much energy and HVAC equipment capacity duct leaks actually waste [69]. The main objective of our study is to determine the dollar energy wastage due to duct leakage.

ASHRAE<sup>™</sup> Standard 152 was developed to provide a means for rating the performance of different thermal distribution systems. The primary inputs for rating a duct system include:

- Duct leakage (supply and return)
- Duct location (e.g., attic, crawlspace, basement)
- Duct insulation level (e.g.R1, R4.2, R2)
- House location (from a list of cities); and
- HVAC equipment characteristics (type, capacity, fan flow).

The ASHRAE<sup>TM</sup>152 standard outputs two measures of the distribution system's ability to cool/heat a home.

1. Delivery Effectiveness (DE): is the ratio of the thermal energy transferred to or from the conditioned space to the thermal energy transferred at the equipment distribution system heat exchanger. Delivery Effectiveness is the ratio of energy that enters the house through the registers to the energy put into the distribution system by the heating or cooling equipment.

2. Distribution System Efficiency (DSE): is the ratio between the energy consumption by the equipment if the distribution system had no losses (gains for cooling) to the outdoors or effect on the equipment or building loads and the energy consumed by the same equipment connected to the distribution system under test.

To discern the differences, DE measures the percentage of the cooling/heating produced by the HVAC unit that gets into the home where as DSE measures the ratio of energy used by the system when there are no losses to that when there are losses. Therefore, the DSE is degraded by increases in cooling/heating load or decreases in equipment efficiency where as neither of these are influential on delivery effectiveness.

Based upon the inputs, Standard 152 first calculates the fraction of the conditioned air produced by the HVAC equipment that is delivered at the supply registers. The standard calculates this fraction, called the delivery effectiveness, using fixed algorithms to calculate the temperatures in each duct zone using the local climate conditions [60].

The local climate conditions are ASHRAE Handbook design values for the design efficiencies, while the seasonal climate conditions are based upon load-weighted seasonal averages of hour-by-hour climate data. Standard 152 then calculates the overall distribution efficiency, adjusting the delivery effectiveness by the fraction of energy losses that are recovered into the conditioned space. The regain factors are based upon the ratio of the thermal conductance between the duct zone and the conditioned space, to

the overall thermal conductance of the duct zone. Typical regain values are 10% for a vented attic, 50% for an uninsulated basement, 75% for a basement with insulated walls, and 30% for a basement with an insulated ceiling [60].

The details of the ASHRAE<sup>™</sup> 152 standard can be found in "Method of Test for Determining the Design and Seasonal Efficiencies of Residential Thermal Distribution Systems," ANSI/ASHRAE 152-2004. The input data collected for our study using ASHRAE<sup>™</sup> 152 are as follows:

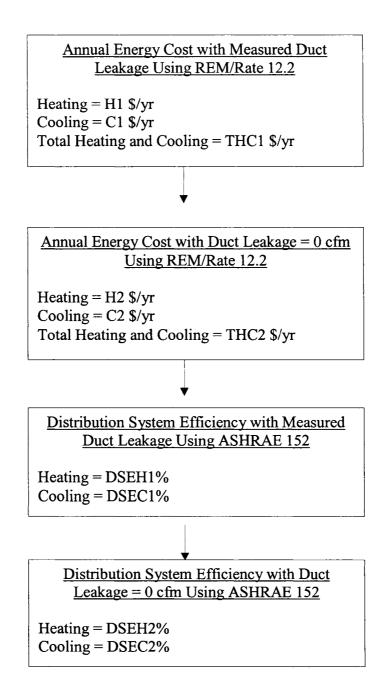
- Location Index: The location index input is an important input as it inputs the design and seasonal temperatures, humidity and enthalpy values depending on the city.
- Conditioned floor area: This the area of the home conditioned by the HVAC unit in square feet.
- 3. Number of return registers: This is the number of return registers installed in a home under test.
- 4. House volume: This is the volume of the house conditioned in cubic feet.
- Supply duct and return duct surface area: Both these values are entered with the same values obtained from the default values used to obtain the building loads using REM/Rate<sup>TM</sup> output.
- Equipment Heating Capacity: These are the values collected from individual HVAC units in Btu/hour.
- Equipment Cooling Capacity: These are the values collected from individual condensing units in Btu/hour.
- 8. Heating Fan Flow: This value is the airflow when the fan operates in the heating mode.

- 9. Cooling Fan Flow: This value is the airflow when the fan operates in the cooling mode.
- 10. Heating/Cooling supply duct leakage: This is the supply duct leakage in the heating/cooling mode at 25Pa. These values were obtained as described in Chapter Seven.
- 11. Heating/Cooling return duct leakage: This is the return duct leakage in the heating/cooling mode at 25Pa. These values were obtained as described in Chapter Six.
- 12. Duct Thermal Mass Correction: This is normally the default correction incorporated for insulation levels depending on metal or flex ducts.
- 13. Vented Attic: Enter V for vented attic or U for unvented attic. This parameter sets the default used by the code for determining the temperature and humidity levels in the attic.

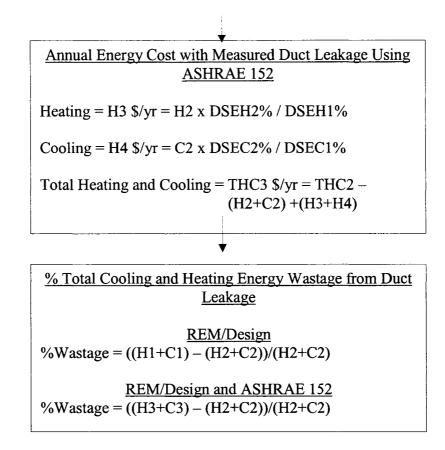
The output includes DE and DSE for both heating and cooling modes. Our objective was to determine the unnecessary wastage associated with duct leakage. Therefore, the distribution system efficiency is the relevant output in our study as it measures the ratio between the energy consumption by the equipment if the distribution system had zero losses to the outdoors or effect on the equipment or building loads and the energy consumed by the actual equipment tested.

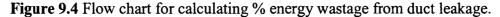
### 9.3 Energy Wastage due to Duct Leakage

Energy wastage from duct leakage was calculated for homes both in North Louisiana and in New Orleans. The data for South Louisiana was used from home tests performed in New Orleans by Dr. Katz. The energy wastage from duct leakage from these two regions will enable us to generalize a better estimate the energy wastage due to duct leakage for the entire State of Louisiana than using data only from North Louisiana. The flow chart in Figure 9.4 shows the methodology involved in estimating the unnecessary cost associated with duct leakage. The calculation involves the combined use of REM/Rate<sup>TM</sup> and the ASHRAE<sup>TM</sup> 152 standard.



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The calculated values using the methodology described in Figure 9.4 is presented in Table 9.9. From Table 9.2, the average % cooling and heating energy waste for an individual home from duct leakage obtained from REM/Rate<sup>TM</sup> is 5% with an average annual duct leakage associated cost equal to \$52.

|         | measure<br>Light &<br>as<br>Calcul<br>AS<br>Distrit |         | leakage<br>aces set<br>ed<br>om the<br>52<br>ystem | leak<br>Light &<br>set a | age se<br>& Appl<br>is indic<br>in \$<br>REM/R | iances                 | % Duct Leakage<br>using Nominal Blower Flow | % Cooling & Heating Energy<br>Waste from Duct Leakage | Wastage due to Duct<br>Leakage in \$ |
|---------|---|---------|--|--------------------------|--|------------------------|---|---|--------------------------------------|
| House # | Heating   | Cooling | Total<br>Energy<br>Use                             | Heating                  | Cooling  | Total<br>Energy<br>Use |   |   |                                      |
| 1       | 713   | 749     | 2337   | 401                      | 420  | 1696                   | 24%   | 78%   | 641                                  |
| 2       | 623   | 991     | 2874   | 444                      | 716  | 2419                   | 23%   | 39%   | 455                                  |
| 4       | 226   | 455     | 1428   | 186                      | 365  | 1298                   | 20%   | 24%   | 130                                  |
| 5       | 1209  | 707     | 2717   | 958                      | 547  | 2307                   | 15%   | 27%   | 410                                  |
| 6       | 300   | 323     | 1395   | 278                      | 289  | 1339                   | 8%  | 10%   | 56                                   |
| 7       | 1118  | 631     | 2915   | 754                      | 408  | 2329                   | 24%   | 50%   | 586                                  |
| 9       | 672   | 406     | 2003   | 585                      | 325  | 1834                   | 1 <u>7%</u>                                 | 19%   | 169                                  |
| 10      | 813   | 391     | 1975   | 597                      | 272  | 1640                   | <u>1</u> 3%                                 | 39%   | 335                                  |
| 11      | 961   | 632     | 2531   | 759                      | 487  | 2185                   | 19%   | 28%   | 346                                  |
| 12      | 786   | 797     | 2639   | 611                      | 624  | 2292                   | 34%   | 28%   | 347                                  |
| 13      | 760   | 687     | 2396   | 681                      | 610  | 2240                   | 8%  | 12%   | 156                                  |
| 14      | 1343  | 711     | 2977   | 994                      | 518  | 2435                   | 18%   | 36%   | 542                                  |
| 15      | 590   | 563     | 2074   | 501                      | 529  | 1952                   | 13%   | 12%   | 122                                  |
| 16      | 487   | 549     | 1807   | 345                      | 355  | 1472                   | 21%   | 48%   | 335                                  |
| 17      | 780   | 601     | 2382   | 491                      | 375  | 1867                   | 26%   | 59%   | 515                                  |
| 18      | 463   | 468     | 1913   | 380                      | 382  | 1744                   | 12%   | 22%   | 169                                  |
| 19      | 835   | 568     | 2185   | 634                      | 428  | 1843                   | 15%   | 32%   | 342                                  |
| 20      | 358   | 517     | 1856   | 308                      | 448  | 1737                   | 10%   | 16%   | 119                                  |
| 21      | 415   | 540     | 1873   | 351                      | 460  | 1729                   | 11%   | 18%   | 144                                  |
| 22      | 364   | 449     | 1855   | 346                      | 407  | 1795                   | 8%  | 8%  | 60                                   |
| 23      | 663   | 650     | 2372   | 535                      | 528  | 2122                   | 14%   | 24%   | 250                                  |
| 24      | 498   | 526     | 1990   | 435                      | 443  | 1843                   | 14%   | 17%   | 147                                  |
| 25      | 560   | 492     | 1978   | 477                      | 399  | 1803                   | 15%   | 20%   | 175                                  |
| 26      | 509   | 602     | 2097   | 410                      | 477  | 1873                   | 17%   | 25%   | 224                                  |
| 27      | 383   | 487     | 1932   | 343                      | 434  | 1839                   | 8%  | 12%   | 93                                   |
| 28      | 316   | 338     | 1505   | 261                      | 273  | 1385                   | 18%   | 22%   | 120                                  |
| 29      | 720   | 812     | 2465   | 505                      | 538  | 1976                   | 22%   | 47%   | 489                                  |
| 30      | 374   | 386     | 1688   | 273                      | 287  | 1489                   | 18%   | 36%   | 199                                  |

**Table 9.9** Energy wastage from duct leakage from ASHRAE<sup>TM</sup> 152 and REM/Rate<sup>TM</sup>.

| Average |      |     |      |     |     |             | 17% | 30% | 280 |
|---------|------|-----|------|-----|-----|-------------|-----|-----|-----|
| 43      | 308  | 429 | 1664 | 277 | 377 | 1581        | 9%  | 13% | 83  |
| 42      | 426  | 483 | 1958 | 375 | 421 | <u>1846</u> | 8%  | 14% | 112 |
| 40      | 1118 | 790 | 2750 | 639 | 395 | 1876        | 34% | 85% | 874 |
| 39      | 579  | 565 | 2116 | 460 | 453 | 1886        | 15% | 25% | 230 |
| 38      | 605  | 711 | 2106 | 527 | 551 | 1869        | 21% | 22% | 237 |
| 37      | 769  | 945 | 2625 | 467 | 525 | 1903        | 29% | 73% | 722 |
| 36      | 458  | 547 | 2103 | 379 | 451 | 1928        | 12% | 21% | 175 |
| 34      | 525  | 601 | 1898 | 352 | 424 | 1549        | 26% | 45% | 349 |
| 33      | 342  | 356 | 1619 | 302 | 298 | 1521        | 14% | 16% | 98  |
| 32      | 391  | 442 | 1800 | 344 | 392 | 1703        | 8%  | 13% | 97  |

Applying ASHRAE<sup>TM</sup> 152 as described in flow chart (Figure 9.4), with energy cost at duct leakage equal to zero obtained from REM/Rate<sup>TM</sup>, we obtain average % cooling and heating energy waste for an individual home to be 30% with average annual duct leakage associated cost equal to \$280. Comparing Tables 9.2 and 9.8, there is no doubt that there are significant differences between the output results of REM/Rate<sup>TM</sup> and ASHRAE<sup>TM</sup> 152 results. However, ASHRAE<sup>TM</sup> 152 standard is the presently accepted standard in the HVAC industry [73]. The accuracy of ASHRAE<sup>TM</sup> 152 standard lies in the fact that it determines the distribution efficiency, which is directly related to duct leaks. Duct leaks affects the distribution efficiency – higher the duct leaks lower the distribution efficiency.

#### 9.4 Projecting Energy Wastage for Louisiana

The energy wastage due to duct leakage for New Orleans was determined the same way as was for North Louisiana but it should be noted that we had a smaller sample from New Orleans. The energy wastage due to heating and cooling are presented in Table 9.10.

|         | Energy Use w/<br>measured duct leakage<br>Light & Appliances set<br>as indicated<br>in \$<br>Calculation from the<br>ASHRAE 152<br>Distribution System<br>Efficiency |      | leakage<br>ices set<br>ed<br>om the<br>52 | leak<br>Light & J<br>as<br>Using F | age se<br>Applia<br>indica<br>in \$<br>REM/R | nces set | % Duct Leakage<br>using Nominal Blower Flow | % Cooling & Heating Energy Waste<br>from Duct Leakage | Wastage due to Duct<br>Leakage in \$ |
|---------|--|------|---|------------------------------------|--|----------|---|---|--------------------------------------|
| House # |  |      |   |                                    |  |          | aste  |   |                                      |
|         | 1692   | 2021 | 4463                                      | 1011                               | 1100   | 2861     | 46%   | 75.90%  | 1602                                 |
| 2       | 2 785  | 719  | 1981                                      | 671                                | 618  | 1766.00  | 13%   | 16.67%  | 215                                  |
| 3       | 8 884  | 1250 | 2992                                      | 522                                | 740  | 2120.00  | 11%   | 69.10%  | 872                                  |
|         | 4 375  | 1317 | 2248                                      | 285                                | 984  | 1824.00  | 17%   | 33.38%  | 424                                  |
|         | 5 649  | 2886 | 4135                                      | 430                                | 1791   | 2822.00  | 20%   | 59.13%  | 1313                                 |
| 6       | 6 295  | 827  | 1771                                      | 209                                | 496  | 1354.00  | 24%   | 59%   | 417                                  |
| 7       | 7 899  | 2138 | 3681                                      | 660                                | 1486   | 2790.00  |   | 41.51%  | 891                                  |
| Average | )  |      |   |                                    |  |          | 22%   | 52%   | 753                                  |

**Table 9.10** Energy wastage from duct leakage from ASHRAE<sup>TM</sup> 152 and REM/Rate<sup>TM</sup> for New Orleans.

From Tables 9.9 and 9.10, we see that the % energy wastage is higher in New Orleans than North Louisiana. To project the energy savings for the State of Louisiana, we compared the homes sampled in North Louisiana and New Orleans based on conditioned area. The comparative result is presented in Table 9.10.

From Table 9.11, homes with conditioned area about 1400 sq. ft. have nearly same % energy wastage for North Louisiana and New Orleans. However, larger standard deviations in the case of New Orleans are due to a smaller sample size. Comparing homes with conditioned area of about 1700 sq. ft., and 3000 sq. ft., we see that New Orleans have a higher % energy change. The comparison may not be justifiable because

of the small sample size in the case of New Orleans but for the purpose of projecting energy wastage due to duct leakage, we can conservatively assume that homes in the State of Louisiana are representative of homes in North Louisiana. The main reason for the sample being conservative is that homes in New Orleans generally have higher duct leakage values compared to North Louisiana.

|                       | North Louisiana |                    | N                | New Orleans     |                    |                  |
|-----------------------|-----------------|--------------------|------------------|-----------------|--------------------|------------------|
|                       | Area<br>sq. ft. | %Energy<br>Wastage | %Duct<br>Leakage | Area<br>sq. ft. | %Energy<br>Wastage | %Duct<br>Leakage |
|                       | 1333            | 26.01%             | 18.02%           | 1304            | 59%                | 23.92%           |
|                       | 1370            | 29.81%             | 20.00%           | 1437            | 16.67%             | 13.33%           |
|                       | 1439            | 44.60%             | 13.00%           | 1416            | 33.38%             | 16.92%           |
|                       | 1445            | 37.13%             | 15.24%           | 1458            | 49.04%             | 23.86%           |
|                       | 1458            | 57.67%             | 20.83%           |                 |                    |                  |
| Average               | 1409            | 39.05%             | 17.42%           | 1404            | 39.56%             | 19.51%           |
| Standard Deviation    | 54              | 8%                 | 3.08%            | 69              | 19%                | 5.27%            |
|                       | 1500            | 10.93%             | 8.25%            | 1546            | 59.13%             | 19.56%           |
|                       | 1548            | 30.36%             | 15.38%           | 1877            | 75.90%             | 45.83%           |
|                       | 1550            | 73.75%             | 26.02%           |                 |                    |                  |
|                       | 1600            | 19.08%             | 14.32%           |                 |                    |                  |
|                       | 1648            | 42.02%             | 17.77%           |                 |                    |                  |
|                       | 1674            | 31.96%             | 18.50%           |                 |                    |                  |
|                       | 1789            | 15.40%             | 7.75%            |                 |                    |                  |
|                       | 1850            | 19.65%             | 9.61%            |                 |                    |                  |
|                       | 1950            | 14.16%             | 9%               | <u> </u>        |                    |                  |
| Average               | 1679            | 28.59%             | 14.02%           | 1712            | 67.52%             | 32.70%           |
| Standard<br>Deviation | 122.53          | 20.23%             | 6.19%            | 234             | 11.86%             |                  |
|                       | 2800            | 25.67%             | 12.19%           | 2939            | 69.10%             | 10.92%           |
|                       | 3593            | 57.12%             | 22.63%           |                 |                    |                  |
| Average               | 3197            | 41.40%             | 17.41%           | 2939            | 69.10%             | 10.92%           |
| Standard Deviation    | 560.74          | 22.24%             | 7.38%            | -               |                    | -                |

 Table 9.11 Comparison of % energy wastage - North Louisiana vs. New Orleans.

The census data for Louisiana indicates that there are 1,656,053 households in Louisiana [75]. Of these households, 1-unit detached homes constitute 64.1% whereas 1unit attached and 2-unit homes constitute 3.8% and 4% respectively. Of these 1,656,053 households only 79% of them have centralized air conditioners [1] meaning to say that only 1,308,282 households had centralized air conditioners. According to U.S. Census 1unit structure is a housing unit detached from any other house; that is, with open space on all four sides. Table 9.12 shows respective breakdown of household with respect to with respect to units and the associated cost. Note that the average wastage of energy in dollars is taken to be \$280 for all the units presented in Table 9.12. This average value of \$280 was obtained from Table 9.9. There is not sufficient data to consider all the other types of units in this projection (more than 2 units, boat, mobile homes). Therefore, the actual wastage due to duct leakage will be much higher than the estimate made by this study, because the remaining 35.9% of the housing units have not been accounted in this projection. However, the projected annual energy cost due to duct leakage for the respective units considered in Table 9.12.was determined to be \$263,383,306.

| Units            | Percent | Households  | Energy Wastage in \$ |
|------------------|---------|-------------|----------------------|
| 1-unit, detached | 64.1    | 838608.6787 | 234810430.00         |
| 1-unit, attached | 3.80    | 49714.7110  | 13920119.10          |
| 2 units          | 4.00    | 52331.2748  | 14652756.94          |
| Average          |         |             | 263383306.10         |

 Table 9.12 Projected energy wastage for Louisiana due to duct leakage.

The average annual savings of \$280 for the homeowner by sealing duct leaks will be very beneficial. In addition, the State of Louisiana can save more than \$263,383,306 annually by sealing duct leaks in residential homes. Therefore, sealing ducts costeffectively is very important especially for the State of Louisiana, which has hot and humid summers. Chapter Ten presents the feasibility tests of one such sealing technique for sealing duct leaks in laboratory conditions.

## **CHAPTER 10**

# PROPOSED RESIDENTIAL DUCT SEALING TECHNOLOGY – A FEASIBILITY STUDY

#### 10.1 Introduction

The third part of this study is developing a sealing technology that can internally seal leaks in duct systems. To address this topic, a new duct sealing technology was developed. To determine the efficacy of this new technology, it was necessary to:

- Assess the overall efficacy of this method by determining the before and after duct-leakage in the system
- 2. Assess which types of leaks/components are/are not suitable to sealing by this method

A feasibility study in developing the new duct sealing technology was conducted in our laboratory at Louisiana Tech University. As various technologies for sealing water leaks have been developed, we thought that an investigation of these technologies would prove useful. Originally we considered using an epoxy sealing technology that has proven itself in sealing water pipe leaks. After problems developed with a commercial supplier we decided to further investigate leak-sealing technology. We had found that spraying epoxy required expensive and heavy equipment due to the high viscosity of epoxy, and the need to spray the two components together, mixing them at the spray nozzle. The strength of epoxy is needed in water pipes due to the high pressure, whereas in air ducts, the pressure is fairly low, and thus a less robust compound can be utilized. Therefore, we investigated alternative commercially available sealants as possible candidates to solve this problem. We found a technology that has been used commercially for many years for coating metal (and in doing so, sealing minor water leaks) in various applications including food-processing equipment. This technology was investigated in detail for sealing air leaks, and the initial results seemed to be very promising. Thus, we devised a formal testing program, with the goal of cost-effectively sealing duct leaks with a material that was relatively safe to apply, non-toxic in use, and mold resistant. The above objectives were addressed in this feasibility study and the preliminary results are presented below.

#### 10.2 Feasibility Study

The feasibility study was performed in three different phases based on the material to be sealed and the point of application of the sealant. The sealant technology under investigation was applied to the following:

1. External Sealing of a Wooden Box: to determine the efficacy of the sealant in sealing a home's return plenum: As almost all homes have a wooden return plenum, a wooden box with holes and gaps was tested to determine the efficacy of this methodology in sealing those holes and gaps. Chapter Six has shown that there can be significant leaks in the return plenums of homes. Holes and gaps in the return plenum are the cause of such leaks and these leaks draw in unconditioned (in the summer – hot and humid) air from the attic. Therefore, the sealant technology was used to test its efficacy in sealing the wooden box.

- 2. External Sealing of Metal Ducts: Metal ducts were sealed externally with the sealant applied by means of a paintbrush. The ducts were sealed at the registers, joints and seam. The main purpose of this study was to determine the efficacy of the technology before proceeding to the more difficult internal sealing problem. In addition, the technology is an alternative to the use of mastic to externally sealing ducts externally at registers, joints and along the seam, before applying insulation.
- 3. Internal Sealing of Metal Ducts: Metal ducts were sealed internally with the sealant applied using both a paintbrush and a cotton mop. The ducts were sealed at the same locations as those in the external study. The main objective of this phase of the study was to determine the efficacy of internal sealing, and to compare it with the external sealing results.

#### 10.3 Laboratory Experiments

The sealant technology chosen was used in all of the three studies indicated above. Air leakage in cubic feet per minute (cfm) was measured using the Energy Conservancy Minneapolis Duct Blaster<sup>TM</sup> following the application of the sealant. The results of these tests are shown in the following sections.

#### 10.3.1 External Sealing of Wooden Box

A wooden box was constructed with a register as shown in Figures 10.1 to 10.4. Holes of varying diameters 0.078", 0.104", 0.144", 0.193", 0.201" and 0.228" were drilled as shown in Figure 10.1. In addition, gaps of less than 0.25" were made along the edges of the wooden box as shown in Figure 10.2. These holes and gaps were introduced to check the effectiveness of the sealant technology. The leaks prior to sealing were measured using the Duct Blaster<sup>TM</sup>. After measuring the leakage rate, the sealing methodology was applied as shown in Figures 10.1 to 10.4. The final reading after the application was again measured using the Duct Blaster<sup>TM</sup>. The difference between these initial and final readings is used to determine the efficacy of this methodology. Figures 10.3 and 10.4 show the visual aspect of the sealant methodology wherein the sealing is very effective. The results of external sealing of wooden box are shown in Table 10.1.

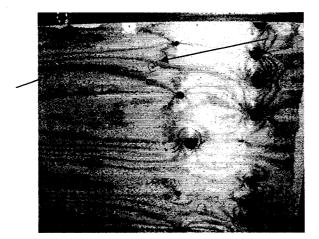


Figure 10.1 Drilled holes.

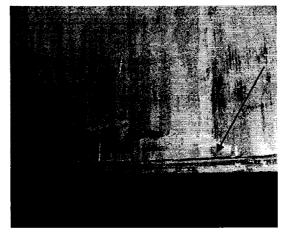


Figure 10. 2 Gap along the edge.

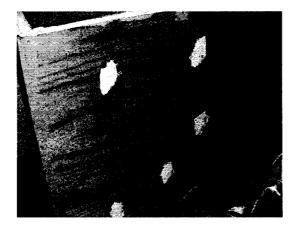


Figure 10. 3 Sealant applied on drilled holes.

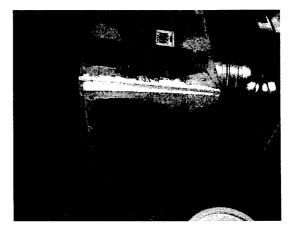


Figure 10. 4 Sealant applied along the edges.

| Readings            | cfm at 15 Pa | cfm at 25 Pa |
|---------------------|--------------|--------------|
| Flow before sealing | 111.00       | 143.00       |
| Flow after sealing  | 8.00         | 14.00        |
| % Sealed            | 92.79        | 90.20        |

| <b>Table 10.1</b> Results of external sealing of wooder |
|---|
|---|

There is a reduction of total leakage of about 90% at 25 Pa and 93% at 15 Pa respectively, which concludes that this sealant technology does effectively seal the holes and gaps. The remaining leaks, of about 10% at 25 Pa, are mainly due to the hole created for placing the probe and the inherent inaccuracy of the measuring system at low air flows. The smoke tests performed on the wooden box confirmed the source of this leakage. As at least 91% of the leaks were sealed, the efficacy of this methodology for sealing small leaks in return plenums in residential housing has been demonstrated. In actual application, large leaks would be roughly sealed by existing methodologies, and this sealing technique would then be applied to effectively seal the return plenum.

#### 10.3.2 External Sealing of Metal Ducts

The external sealing of metal components constitutes the second phase of this feasibility study. The steps followed in the external sealing of metal ducts are very much similar to those of sealing the wooden box described in Section 10.3.1. The only difference was that readings were measured separately at the registers, joints and seam.

#### 10.3.2.1 Sealing registers

Registers are used to deliver the air into the house via a grille. Register boots are metal boxes, mostly insulated inside with fiberglass. Register boots contribute to duct leakage, as they are not completely sealed. Smoke tests performed in the laboratory showed air leaks through these boots. The arrowheads in Figures 10.5 and 10.6 point to the common leak sites found in register boots. A duct system of 12' was constructed with ducts of sizes 6" and 8" in diameter with a supply register at one end.

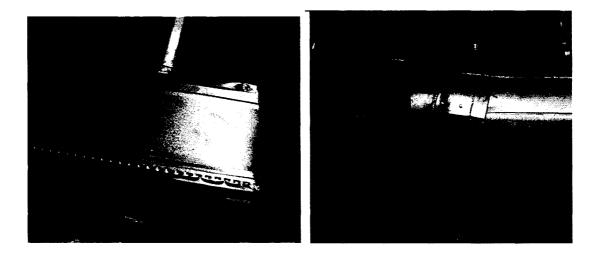


Figure 10.5 Leaks at register boot.

Figure 10.6 Leaks at register boot.

The ducts of varying size were connected using reducers. In addition, turns were provided to check for the feasibility of sealing these components. The experimental set up is shown in Figure 10.7; Figure 10.8 shows the sealant applied on the register.

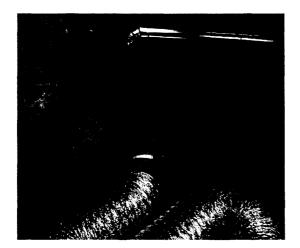


Figure 10.7 Experimental set up.



Figure 10.8 Sealant applied on the register boot.

The general procedure for sealing was followed but then an extra reading was taken after applying aluminized duct tape externally over the registers, which we shall assume, together with the sealing we performed, to produce a 100% leak-free seal.

From the results presented in Table 10.2, at 25 Pa, about 92% of the total existing register leaks were sealed. At 15 Pa, all the register leaks are completely sealed. Most of the houses have duct systems of varying duct sizes connected to the main trunk. The branches from the main trunk are generally smaller in diameter and end with a register at the other end. The reduction of flow from 41 cfm to 30 cfm at 25 Pa, when compared to the ideal of 29 cfm, is also an indicator that the sealant will enable sealing of the register boots externally.

| Readings            | cfm at 15 Pa | cfm at 25 Pa |
|---------------------|--------------|--------------|
| Flow before sealing | 30.00        | 41.00        |
| Flow after sealing  | 23.00        | 30.00        |
| Flow after taping   | 23.00        | 29.00        |
| % Sealed            | 100.00       | 91.67        |

Table 10.2 Results of sealing register boot externally.

#### 10.3.2.2 Sealing joints

Joints are ubiquitous in duct systems, occurring where one duct connects to another, where ducts curve, or where register boots connect to ducts. Smoke tests showed the presence of leaks at all these types of joints. The sealing procedure followed the same steps as followed in Section 10.3.1; the only difference being that the sealant was externally applied over the joints. The procedure followed was the same as that used in Section 10.3.1, and the results are presented in Table 10.3. Figures 10.9 and 10.10 depict the joints sealed using this sealing technology.

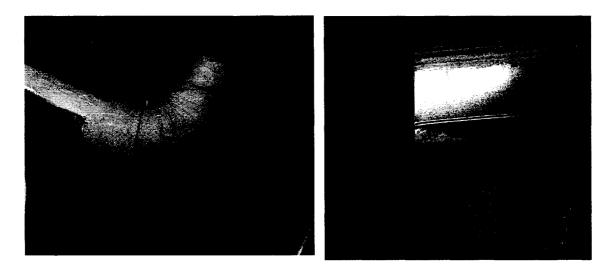


Figure 10.9 Sealant applied at joints with turns

Figure 10.10 Sealant applied at joints with registers

From Table 10.3, we can conclude that approximately 100% at 25 Pa and 83% at 15 Pa, or including experimental errors effectively all, of the total existing joint leaks can be effectively sealed. It is important to note that we are applying the sealing technology in this feasibility study to two types of joints - turns and register boot to duct. These two types of joints are presented in Figures 10.9 and 10.10.

Table 10.3 Results of sealing joints externally.

| Readings            | cfm at 15 Pa | cfm at 25 Pa |
|---------------------|--------------|--------------|
| Flow before sealing | 29.00        | 38.00        |
| Flow after sealing  | 24.00        | 30.00        |
| Flow after taping   | 23.00        | 30.00        |
| % Sealed            | 83.30        | 100.00       |

#### 10.3.2.3 Sealing seams

The ducts attain the cylindrical shape when they are snap-fitted forming a seam. Therefore, we considered the seam as a source of leakage in residential duct systems. The sealing procedure was the same as described in Section 10.3.1; the only difference

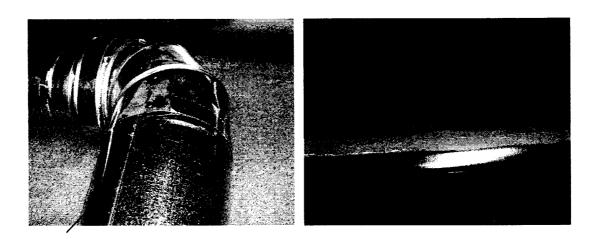


Figure 10.11 Seam of the duct system.

Figure 10.12 Sealant applied on the seam.

The results of leakage rates using Duct  $Blaster^{TM}$  after sealing the seam is presented in Table 10.4. From the results, we can see that there is negligible amount of duct leakage both at 15 and 25 Pa. The flow readings taken after sealing the seam externally with metal tape also suggest that there is no leakage at the seam.

 Table 10.4 Results of sealing seam externally.

| Readings            | cfm at 15 Pa | cfm at 25 Pa |
|---------------------|--------------|--------------|
| Flow before sealing | 23.00        | 30.00        |
| Flow after sealing  | 22.00        | 30.00        |
| Flow after taping   | 22.00        | 30.00        |

The smoke tests performed did not show any visible leaks along the seam. Therefore, we conclude that the seams are essentially leak-free. However, that may not always be the case as there is always a possibility of damaging them while transporting or assembling them to form the duct system.

#### 10.3.3 Internal Sealing of Metal Ducts

The feasibility study in the case of internal sealing of metal ducts is similar to that of external sealing as described in Section 10.3.2. The only difference being that the sealant is applied to the inner parts of the duct system using both a brush and a cotton mop. The areas of interest in this set up were turns, joints, seam and register boots.

#### 10.3.3.1 Sealing turn/joint

A 6' duct system was constructed with a 10" diameter duct with a register boot at one end. The experimental set up is shown in Figure 10.13 where as Figure 10.14. shows the sealant applied on the joints.



Figure 10.13 Experimental set up.



Figure 10.14 Sealant applied on turns/joints.

The sealing procedure followed the same steps as followed in Section 10.3.1, the only difference being that the sealant was applied internally to the duct system. Figure 10.15 shows the application of the sealant internally in the ducts. Figure 10.16 shows that sealing the duct from inside at the register has resulted in sealant flowing outside through gaps and holes. These gaps and holes are points of leakage in residential duct systems.

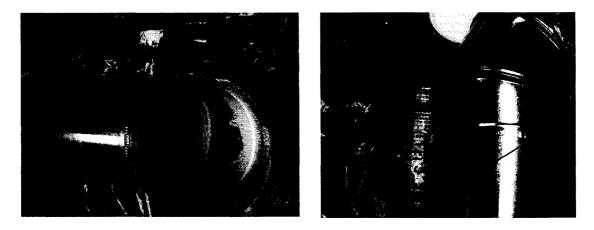


Figure 10.15 Sealant applied on turns/joints.

Figure 10.16 Flow of sealant to the outside.

The results of leakage rates measured using Duct Blaster<sup>TM</sup> are presented in Table 10.5. The results from Table 10.5 show that at 25 Pa about 93% of the total existing leaks at the turns can be sealed via this sealing technology. On the other hand, at 15 Pa all the existing leaks are sealed at the turns.

 Table 10.5 Results of sealing turns internally.

| Readings            | cfm at 15 Pa | cfm at 25 Pa |
|---------------------|--------------|--------------|
| Flow before sealing | 39.00        | 54.00        |
| Flow after sealing  | 28.00        | 41.00        |
| Flow after taping   | 28.00        | 40.00        |
| % Sealed            | 100.00       | 92.86        |

### 10.3.3.2 Sealing register/joint

The steps for sealing the joint at the register are similar to that mentioned in Section 10.3.1. The results of the leakage rates are presented in Table 10.6. From Table 10.6, the results show that about 80% of the existing leaks at the joints can be sealed at the joints.

| Readings            | cfm at 15 Pa | cfm at 25 Pa |
|---------------------|--------------|--------------|
| Flow before sealing | 28.00        | 40.00        |
| Flow after sealing  | 22.00        | 32.00        |
| Flow after taping   | 22.00        | 30.00        |
| % Sealed            | 100.00       | 80.00        |

Table 10.6 Results of sealing joints internally.

Figure 10.17 shows the joint prior to sealing where as Figure 10.18 shows the leakage spots after the sealant was applied. The arrows in the figures show the point of leakage. However, at 15 Pa, all of the existing leaks are measured to be sealed. It is important to note that the gaps were about 0.5". Therefore, based on our experiments, we limit the applicability of this sealant to gaps of dimension less than 0.5". Note the arrows in Figure 10.17 showing the damage to the ends of the duct. Namely the metal end is not a perfect circle, but is bent. Therefore, the gap can be too wide to seal using our current procedure. We will be testing additional sealing techniques to fix this type of problem. As of this stage, we will only state that in actual residential construction such damage, and its location, can be readily detected by internal camera inspection.

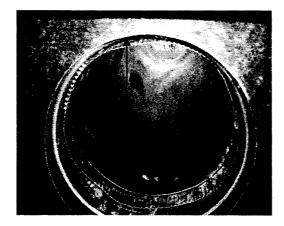
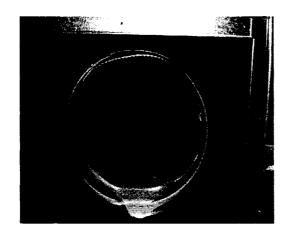




Figure 10.17 Leakage spot prior to sealing. Figure 10.18 Leakage spot after applying sealant.

#### 10.3.3.3 Sealing register boots

The register boot was sealed internally with the sealant as shown in Figures 10.19 and 10.20. The sealing methodology was similar to that followed in sealing the registers externally as described in Section 10.3.1. Many register boots have fiberglass insulation inside. Therefore, to prevent any leaks from the register, it becomes necessary to apply the sealant over the insulation to make it completely leak-proof.



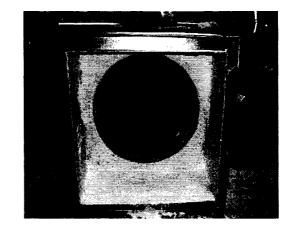


Figure 10.19 Source of leaks in register.

Figure 10.20 Sealant applied on the register.

The arrowheads in Figure 10.19 shows the source of leaks in the register boot whereas Figure 10.20 shows the sealant over the insulation. The results of the leak tests using the Duct Blaster<sup>™</sup> are presented in Table 10.7. The results show that, at 25 Pa, the total existing leaks can be sealed by 88% at the registers whereas at 15 Pa, 92% of the existing leaks can be sealed. To determine where the remaining leaks occur a smoke test was performed. Small leaks were noticed at the interface of the Duct Blaster<sup>™</sup> and the register grille. The interface region was taped and the readings were taken again. The reading at 25 Pa which is not shown in the table, was measured to be 10 cfm. This

reading does not really include the effect of sealing and therefore can be ignored. It is also important to note that the Duct Blaster<sup>TM</sup> is not recommended for measuring possible leaks of this low magnitude. Thus effectively all the leaks were sealed.

Table 10.7 Results of sealing registers internally.

| Readings            | cfm at 15 Pa | cfm at 25 Pa |
|---------------------|--------------|--------------|
| Flow before sealing | 22.00        | 30.00        |
| Flow after sealing  | 10.00        | 15.00        |
| Flow after taping   | 9.00         | 13.00        |
| % Sealed            | 92.31        | 88.3         |

#### 10.3.3.4 Sealing seams

Seams were sealed internally with the help of a cotton mop. The sealing methodology followed was similar to that described in Section 10.3.3. The results presented in Table 10.8, show that the readings before and after sealing are the same. From this data, the leaks along the seam are negligible. This observation is similar to the case of sealing the seam externally as described in Section 10.3.3. However, this result may not always be the case.

Table 10.8 Results of sealing seam internally.

| Readings            | cfm at 15 Pa | cfm at 25 Pa |  |
|---------------------|--------------|--------------|--|
| Flow before sealing | 9.00         | 13.00        |  |
| Flow after sealing  | 9.00         | 13.00        |  |
| Flow after taping   | 9.00         | 13.00        |  |

#### 10.4 Tests in Progress

There are various other tests in progress to check for the applicability of this new duct sealing technology. Tests on flex ducts are in progress to determine the feasibility of using the sealant at the registers and joints. Also, the feasibility study has not considered sealing leaks in ducts of larger size. In addition, it has to be tested in actual residential homes, with multiple size ducts, joints, registers and seams.

#### 10.5 Conclusions

The conclusions of this feasibility study are as follows:

- The return plenums, made of wood, can be effectively sealed using this sealing technology, in addition to sealing the larger holes by conventional methodologies.
- The metal ducts can be sealed externally and hence can used to seal the ducts at joints, seams, turns and register boots after the ductwork has been laid and prior to insulating the ducts.
- 3. The metal ducts can also be sealed internally at common points of leaks such as joints, seams, turns and register boots.
- The sealant is effective for sealing gaps less than 0.325" (3/8"), and for sealing holes less than 0.5" (1/2") diameter.
- 5. The sealant is safe to use, and, when dry, does not contain organic compounds, thus it cannot support the growth of mold.

### CHAPTER 11

## **CONCLUSIONS AND FUTURE WORK**

#### 11.1 Conclusions

There were numerous conclusions in this study and the conclusions are presented in two sections as follows:

#### 11.1.1 Analysis Conclusions

- 1. A statistical analysis on the results on various measurements indicate that the Automated Performance Testing (APT) Method and the Manual method for implementing the Subtraction Correction Algorithm (described in Chapter Three), as well as, the Duct Blaster<sup>TM</sup> assisted by Blower Door<sup>TM</sup> method can be used interchangeably for finding the mean duct leakage averaged over a large sample of homes. Although these three approaches for finding the mean duct leakage will provide statistically indistinguishable results, this apparent agreement is more a consequence of the large standard deviation in each of these data sets rather than to the proximity of their independently calculated means. In other words, while the average in a large sample of homes can be used interchangeably, the results for individual homes can differ.
- 2. For any particular home, an APT test result can be predicted from a Manual test within a fixed diameter confidence interval. Although the prediction

model is quite useful for confirming large duct leakage, the prediction's confidence interval, being independent of the size of the reading, undermines the most important predictions, namely the ability to definitively confirm low duct leakage. Chapter Three presents an extensive statistical explanation of the confidence in relying on the output of one test to predict the results of the other. In addition, nine potential sources of error that that may cause the differences in the data obtained from the Manual and APT tests are presented in Chapter Three. Similarly, Chapter Three presents five potential sources of error that may cause the differences in the data obtained from the Combined and the Blower-Door<sup>TM</sup> - only, APT and Manual tests.

- 3. Including all the data, Chapter Three shows that mean duct leakage measured with Blower-Door<sup>TM</sup>-only methods were found to be more than 25% higher than duct leakage measured with a Duct Blaster<sup>TM</sup> assisted by Blower Door<sup>TM</sup>. However, with outliers removed, this disparity decreased to less than 15%. Simultaneously, i.e., with outliers removed, mean duct leakage over all datasets decreased by about 15% from 399 CFM25 to 348 CFM25.
- 4. Three regression models were constructed to determine the whole house leakiness based on simple physical information. The study showed that age of the house and the conditioned area are some of the significant observable factors influencing air-tightness. Among the three regression models from the data, the model with response variable CFM50 had a higher predictive power than ELA and EqLA.

- 5. A test protocol to determine return leaks was developed and tested. Effective means of blocking the airflow to the supply during pressurization/ depressurization was presented in Chapter Six.
- 6. The weighted flow exponent of return leaks was determined to be 0.55. This value is slightly different from the flow exponent for total duct system flow exponent determined by our measurements to be 0.60.
- 7. The procedure for estimating supply leaks at operating pressure was presented in Chapter Seven. Duct leakage as well return leakages were used as input to measure the supply leaks at operating pressure. In addition, a comparative analysis of duct leakage at operating pressure, and at 25 Pa was made. Statistical tests on the small data set did not reveal any differences between them.

#### 11.1.2 Policy Conclusions

- Modeling discrepancies were found between the assumptions of the derivation of the subtraction correction algorithm as found in the Minnesota Blower Door<sup>TM</sup> Manual and the conditions commonly found in homes in Louisiana. For example, the derivation of this algorithm assumes that the attic pressure will remain 50 Pa with respect to outside during the test; but that is seldom the case. To correct this discrepancy, an enhanced and generalized subtraction correction algorithm was derived and presented in Chapter Five.
- 2. Comparisons of GSCA and MSA for two geographic regions in this case North Louisiana and New Orleans shows that homes in New Orleans have pressurecoupling ratio lower than that of North Louisiana. In addition, the SCF averaged about two in the case of North Louisiana whereas SCF varied over a range between one and ten.

- 3. The clusters analysis performed on data from 83 homes (North Louisiana and New Orleans) concluded that homes constructed before 1990 and maximum conditioned area of 4148 sq. ft. generally have higher whole house leakiness than other groups.
- 4. The weighted average return leakage over all homes tested was determined to be 115cfm at operating pressure. In terms of Equivalent Orifice Leakage Area, the average area was determined to be 28.5 sq. in. Considering the weighted average return leak at 25 Pa and the capacity of the HVAC unit, it was determined that 26% of the total duct leakage was due to return leaks.
- 5. Results from Chapter Eight suggest that both Combined Duct Leakage (CDL) and Combined Duct Leakage (CRL) readings differ statistically between the pressurized and the depressurized conditions. These two tests involve both Blower Door<sup>™</sup> and Duct Blaster<sup>™</sup>. However, it is important to note that tests with Duct Blaster<sup>™</sup> only (TDL and TRL) do not show any statistical difference between the pressurized and depressurized conditions.
- 6. There are significant differences between the output results of REM/Rate<sup>TM</sup> and the combined REM/Rate<sup>TM</sup>-ASHRAE<sup>TM</sup> 152 results as presented in Chapter Nine. The simulations for a simple test case show the inadequacy of the REM/Rate<sup>TM</sup> model to determine the cost associated with duct leakage. As described in detail in Chapter Nine, at average 17% duct leakage, the average % energy wastage due to duct leakage was determined to be 30% with associated annual cost equal to \$280.

- 7. The conclusions on the feasibility study of sealing duct leaks are as follows:
  - a. The return plenums, made of wood, can be cost-effectively sealed using this sealing technology, in addition to sealing the larger holes by conventional methodologies.
  - b. The metal ducts can be sealed externally and hence can used to seal the ducts at joints, seams, turns and register boots after the ductwork has been laid and prior to insulating the ducts.
  - c. The metal ducts can also be sealed internally at common points of leaks such as joints, seams, turns and register boots.
  - d. The sealant is effective for sealing gaps less than 0.325" (3/8"), and for sealing holes less than 0.5" (1/2") diameter.
  - e. The sealant is safe to use, and, when dry, does not contain organic compounds, thus it cannot support the growth of mold.

#### 11.2 Future Work

- Many of the sources of error discussed in Chapter Three should be more thoroughly analyzed and tested to determine the causes of the tests' differences and to provide guidance in developing methods to improve the accuracy of these tests.
- 2. The main leakage sites in buildings are exterior doors, windows, foundations, electrical boxes and plumbing fixtures. Therefore, to enhance the model we can include variables such as the number of windows and number of exterior doors. However, this inclusion might limit the usefulness of the model, as physical presence at a respective home might be needed to collect additional data. The

model can be developed in this regard in order to compare to the model developed in this report. This kind of regression model can be developed for other air-tightness parameters such as Air changes per hour (ACH50) and Normalized Leakage Areas (NLA). The model developed should incorporate a variable to account for homes, which are rehabilitated. Air-tightness estimates for the rehabilitated homes based on the developed model may not be a reasonable one since we consider age of the house as a significant factor. A larger sample for the cluster analysis is recommended to obtain accurate segmentation by which homes can be categorized distinctly based on age, conditioned area, and other significant factors.

3. Studies are needed to determine and quantify the symbiotic effects of duct leakage and mold growth. We propose to measure the actual flow through HVAC systems to determine if they consistently conform to the industry standard of 400 cfm per ton. When the flow is found to be significantly below this level, evaporator coil inspections should be performed to look for evidence of blockage that may be associated with mold growth. During a large percentage of the year Louisiana and its sister southern states are hot and very humid. HVAC systems are designed to not only cool the air, but as importantly, to dehumidify the air. If HVAC systems are not leak-free, not well designed, or well serviced (using quality air filters and changing them periodically, or cleaning them when they are dirty/clogged), then the area in the vicinity of the cooling coils may not dry out over a period of over 24 hours. In such cases mold can grow and, along with particular matter in the air, clog the coil, thereby exasperating the situation and aiding the growth of more mold. Some people are allergic to various strains of

mold, and these strains may very adversely affect the health of these individuals. There are several cases where homes have been intentionally burned down because of mold infestations. Quantitative data is needed to describe the extent of this problem; namely homes should be inspected for mold in their HVAC systems. Leaks in the supply plenum involve cold air from leaky ducts mixing with hot humid air in unconditioned space, an ideal combination for condensation, and thus possible locations of mold growth. In addition, studies are needed on fabricating sensors for continuing monitoring the need of HVAC systems for servicing to retain efficiency and to thereby prevent the growth of mold as well as reducing the repair costs caused by clogged coils.

- 4. The results from Chapter Eight show that the interchangeability aspects of the pressurized and depressurized tests are questionable. Therefore, the reasons for the above differences need to be addressed in the future.
- Discrepancies involved in REM/Rate<sup>™</sup> in regards to determining costs associated with duct leakage need to be further investigated.

# **APPENDIX** A

# MINITAB<sup>TM</sup> INPUT AND OUTPUT

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| Home<br>Number | Depressurization (50 Pa) Using<br>Blower door |              | Pressurization (50 Pa) Using<br>Blower door |              | Combined test (25Pa)<br>Using Duct blaster and<br>Blower door |  |
|----------------|---|--------------|---|--------------|---|--|
|                | APT (cfm)                                     | Manual (cfm) | APT (cfm)                                   | Manual (cfm) | Combined (cfm)  |  |
| 1              | 726.6   | 0.00         | <u> </u>                                    |              |   |  |
| 2              | 987.20  | 861.00       |   |              | ······································                        |  |
| 3              | 907.00  | 1337.90      |   |              | 551.00  |  |
| 4              | 1818.40                                       | 2789.20      |   |              | 651.00  |  |
| 5              | 340.30  | 508.40       |   |              | 285.00  |  |
| 6              | 170.10  | 245.50       |   |              | 153.00  |  |
| 7              | · · · · · · · · · · · · · · · · · · ·         |              |   |              | 529.00  |  |
| 8              | 795.00  | 1630.50      |   |              | 316.00  |  |
| 9              |   |              |   |              | 729.00  |  |
| 10             |   |              |   |              |   |  |
| 11             | 421.80  | 418.70       |   |              | 204.00  |  |
| 12             | 149.70  | 166.70       |   |              | 160.00  |  |
| 13             | 530.80  | 474.10       | · · · · · · · · · · · · · · · · · · ·       |              | 387.00  |  |
| 14             | 1133.80                                       | 1895.60      |   |              | 486.00  |  |
| 15             |   |              |   |              | 246.00  |  |
| 16             | 232.50  | 209.60       |   |              | 132.00  |  |
| 17             |   |              | ······································      |              | 307.00  |  |
| 18             | 674.00  | 742.50       |   |              | 478.00  |  |
| 19             | 775.70  | 1800.80      |   |              | 172.0   |  |
| 20             | 492.40  | 427.60       |   |              | 235.0   |  |
| 21             | 301.50  | 148.00       |   |              | 214.0   |  |
| 22             |   |              |   |              | 362.0   |  |
| 23             |   |              |   |              | ·····   |  |
| 24             |   |              |   |              |   |  |
| 25             |   | ,            |   |              | 111.0   |  |
| 26             | 326.80  | 354.50       |   |              | 173.0   |  |
| 27             | 270.60  | 559.40       |   |              | 93.0  |  |
| 28             | 266.30  | 153.80       |   |              | 121.0   |  |
| 29             | 400.50  | 361.10       |   |              | 211.0   |  |
| 30             | 385.38  | 477.30       |   |              | 325.0   |  |
| 31             | 1647.90                                       | 1732.00      |   |              | 340.0   |  |
| 32             |   | 345.10       | <u></u>                                     |              | 201.3   |  |
| 33             | 615.30  | 569.60       |   |              | 409.0   |  |
| 34             | 510.10  | 502.30       |   |              | 377.0   |  |
| 35             | 190.90  | 515.20       |   |              | 148.0   |  |
| 36             |   | 408.40       |   |              | 427.0   |  |
| 37             | 517.40  | 438.30       | 482.6                                       | 269.1        | 315.0   |  |

# **Table A.1** Duct leakage results.

| 325.00 | 592    | 513.6 | 445.30  | 546.60  | 38 |
|--------|--------|-------|---------|---------|----|
| 259.00 | 338.6  | 319.8 | 327.20  | 401.10  | 39 |
|        | 223.6  | 215.6 | 182.80  | 198.20  | 40 |
|        |        |       | 278.30  | 216.10  | 42 |
| 391.00 | 653.2  | 324.1 | 517.00  | 457.40  | 43 |
|        | 648.3  | 825.5 | 950.20  | 994.50  | 44 |
|        | 697.9  | 687.5 | 535.00  | 566.20  | 45 |
| 524.00 | 1473.5 | 974.5 | 807.00  | 4353.00 | 46 |
|        | 826.7  | 79.0  | 409.00  | 459.40  | 47 |
| 308.00 | 849.2  | 248.3 | 1.40    | 3989.00 | 48 |
| 131.00 | 758.2  | 57.3  |         |         | 49 |
| 170.00 | 279.9  | 403   |         |         | 50 |
| 490.00 | 1158   | 725.5 | 1192.00 | 828.30  | 51 |
| 256.00 | 360.2  | 345.3 | 486.00  | 236.60  | 52 |
| 491.00 | 666.9  | 501.7 | 496.50  | 530.50  | 53 |
|        | 674.3  | 643.1 | 883.30  | 690.40  | 54 |
| 253.00 |        |       | 140.90  | 199.10  | 55 |

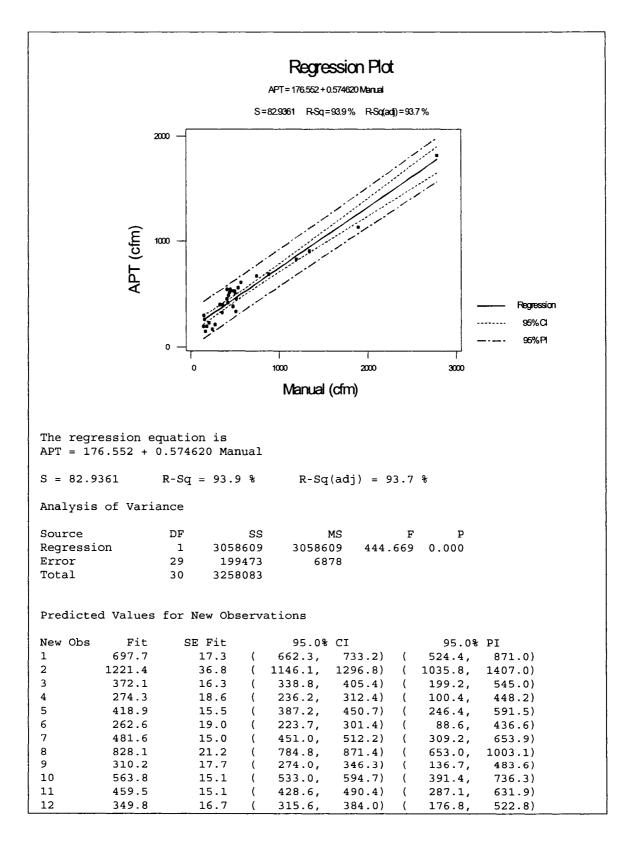


Figure A.1 Regression analysis: APT vs. Manual.

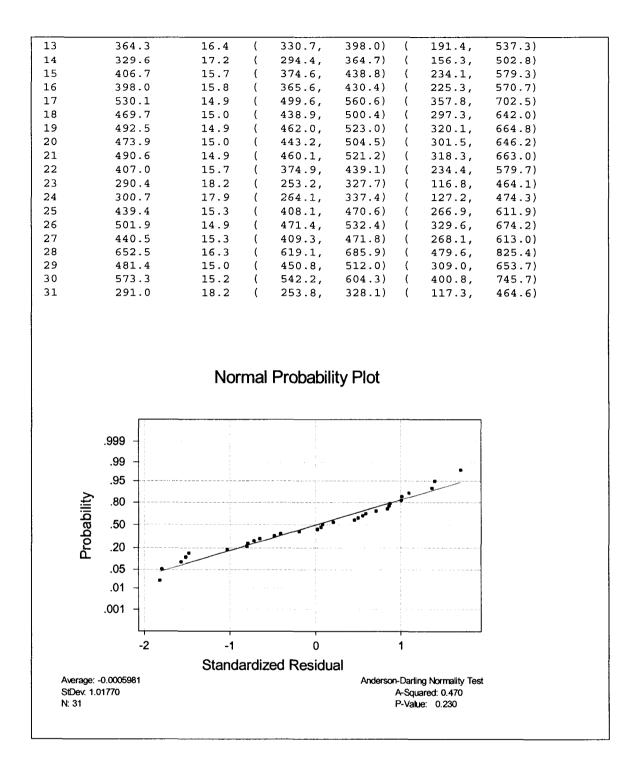


Figure A.1 continued.....

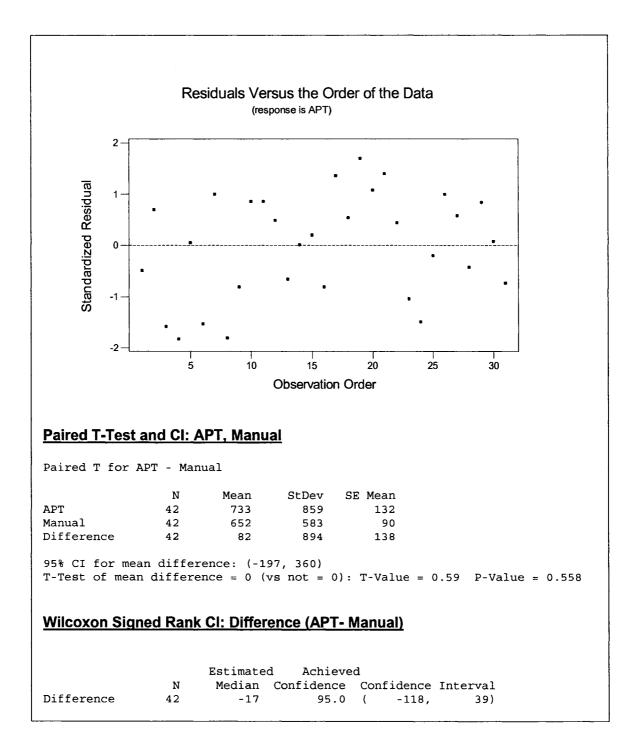


Figure A.1 continued.....

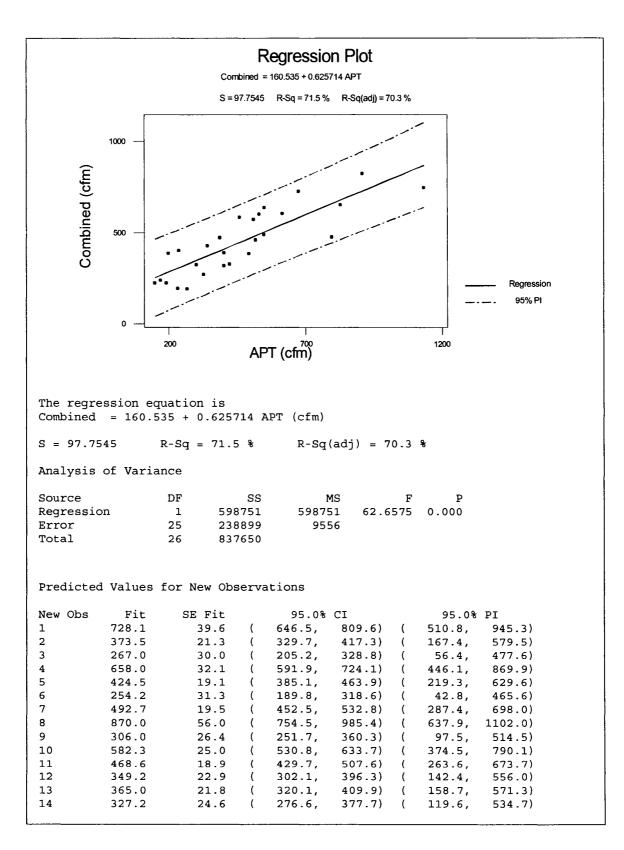


Figure A.2 Regression analysis: Combined vs. APT.

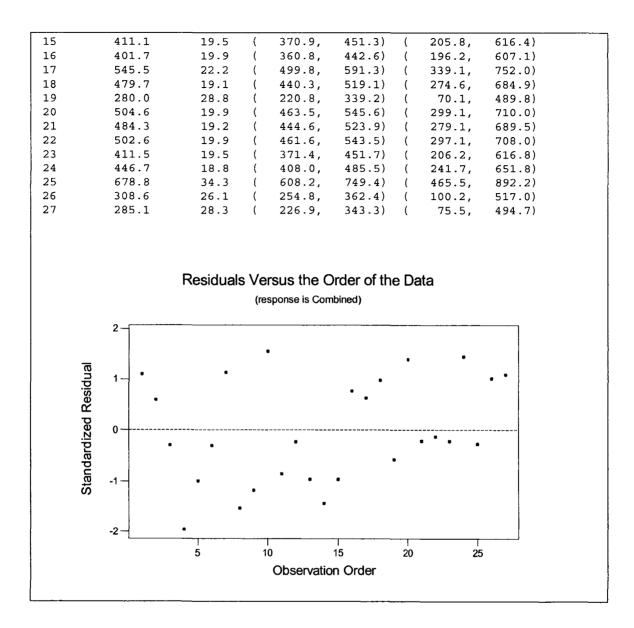


Figure A.2 continued.....

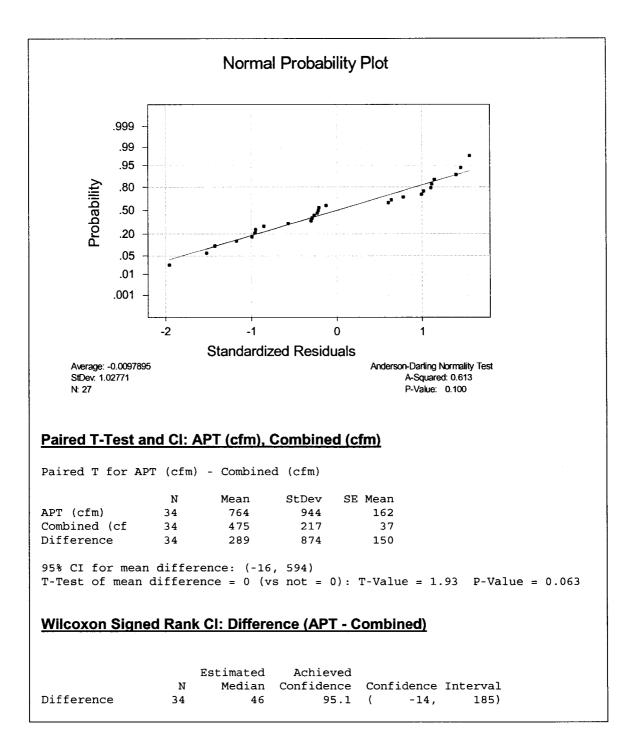


Figure A.2 continued.....

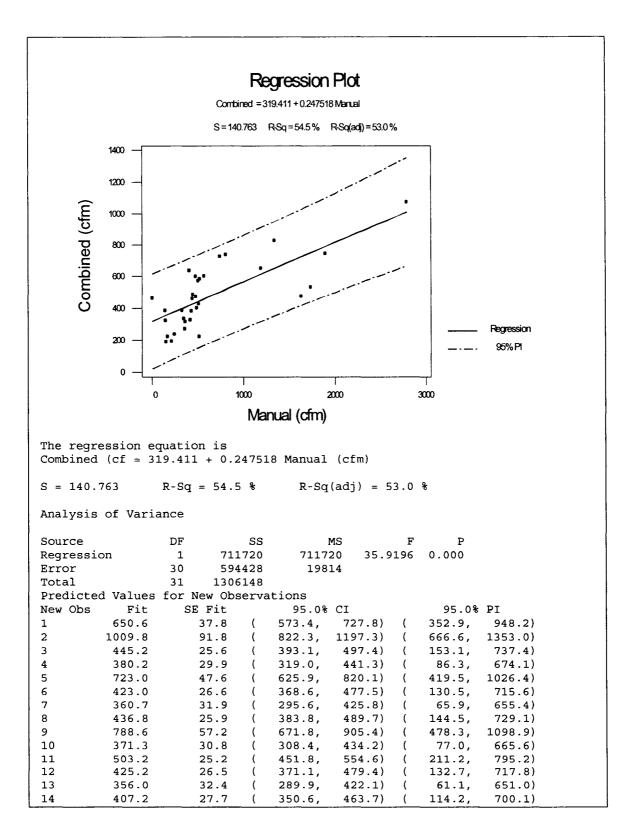


Figure A.3 Regression analysis: Combined vs. Manual.

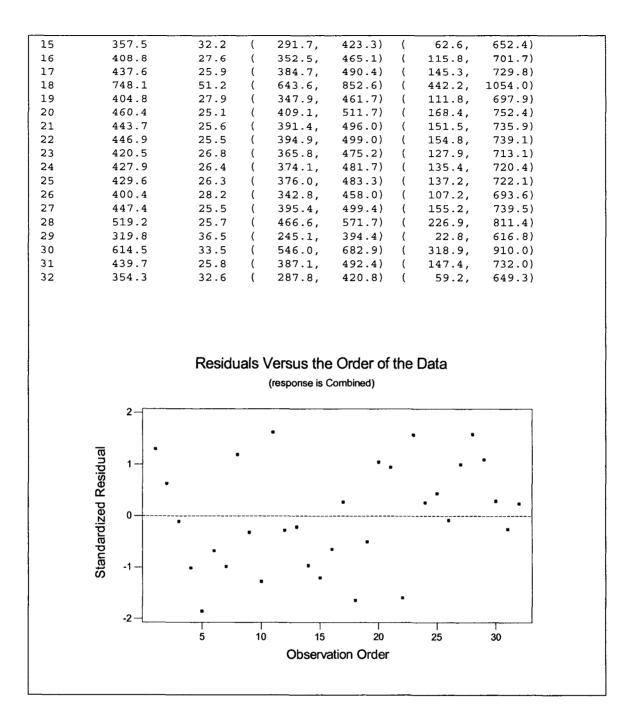
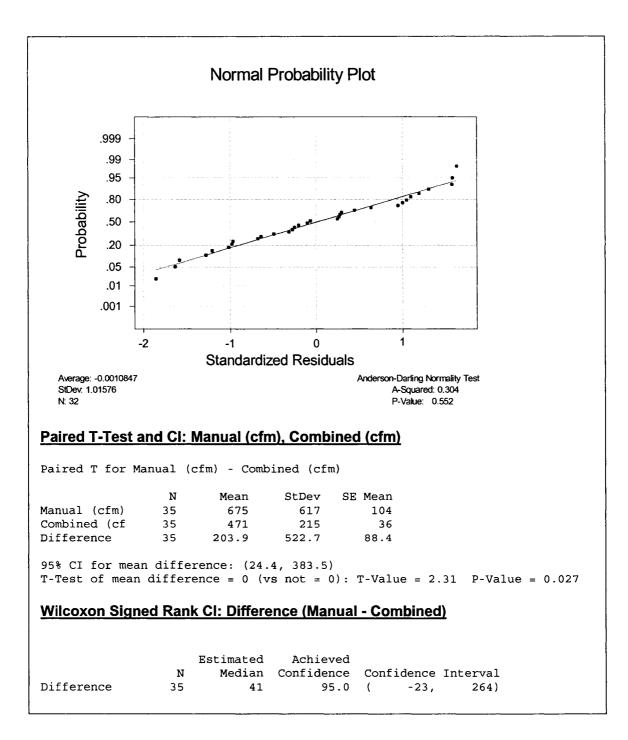


Figure A.3 continued.....

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## **APPENDIX B**

## SAS<sup>TM</sup> PROGRAMS, INPUT AND OUTPUT

| Test | _         |                                       |        |         | _      | 051450   |      | Non   | <b>-</b>                                |
|------|-----------|---------------------------------------|--------|---------|--------|----------|------|-------|---|
| #    | Place     | Year Built                            | Area   | Volume  | ELA    | CFM50    | NOS  | NOB   | Eq.la                                   |
|      |           | 1000                                  | sq.ft. | Cu.ft.  | sq.in. | CFM      |      |       | sq.in.                                  |
| 1    | Ruston    | 1920                                  | 1445.0 | 13005.0 | 310    |          |      |       | 571                                     |
| 2    | Ruston    | 1980                                  | 2100.0 | 17208.0 | 168    |          | 2.0  |       | 321                                     |
| 3    | Ruston    | 1972                                  | 2296.0 | 18368.0 | 197    | 3512.2   |      |       | 367                                     |
| 4    | Ruston    | 1930                                  | 3190.0 | 25968.0 | 367    | 7579.7   |      |       | 722                                     |
| 5    | Ruston    | 1985                                  | 1230.0 | 9984.0  | 88     |          |      |       | 169                                     |
| 6    | Calhoun   | 1990                                  | 1216.0 | 9968.0  | 99     |          |      | ····· |   |
| 8    | Dubach    | 1982                                  | 2985.0 | 28130.0 | 412    |          | 2.0  |       |   |
| 11   | Ruston    | 1975                                  | 1920.0 | 15360.0 | 240    | 4233.3   |      |       | 447                                     |
| 12   | Ruston    | 1990                                  | 1370.0 | 12380.0 | 67     | 1156.2   | 1.5  |       |   |
| 13   | Ruston    | 1964                                  | 1847.0 | 14766.0 | 221    | 3790.0   | 1.0  |       |   |
| 14   | Ruston    | 1990                                  | 3866.0 | 34794.0 | 340    | 5602.1   | 1.0  | 4     | 616                                     |
| 16   | Dubach    | 1987                                  | 1500.0 | 12000.0 | 96     | 1651.4   | 1.0  | 2     | 177                                     |
| 18   | Ruston    | 1970                                  | 2486.0 | 19468.0 | 374    | 5927.8   | 2.0  | 4     | 669                                     |
| 19   | Ruston    | 1984                                  | 3474.0 | 31748.0 | 371    | 6723.2   | 1.0  | 4     | 697                                     |
| 20   | Ruston    | 1970                                  | 2276.0 | 18540.0 | 215    | 4160.8   | 2.0  |       |   |
| 21   | Dubach    | 1970                                  | 1296.0 | 10368.0 | 136    | 2798.4   | 1.0  |       | 267                                     |
| 26   | Ruston    | 1981                                  | 1360.0 | 11212.0 | 84     | 1506.3   | _1.0 |       |   |
| 27   | Ruston    | 1977                                  | 1041.6 | 8332.8  | 133    | 2177.2   | 1.0  | 3     | 241                                     |
| 28   | Ruston    | 1979                                  | 1526.0 | 12759.0 | 100    | 1723.2   | 1.0  | 3     | 184                                     |
| 29   | Ruston    | 1975                                  | 2118.0 | 18474.0 | 167    | 3443.9   | 1.0  | 3     | 329                                     |
| 30   | Monroe    | 1950                                  | 1595.0 | 12760.0 | 193    | 3201.6   | 1.0  | 3     | 351                                     |
| 31   | Ruston    | 1987                                  | 2703.0 | 20934.0 | 420    | 7033.8   | 2.0  | 1     |   |
| 33   | Ruston    |                                       | 2143.0 | 17144.0 | 218    | 3855.6   |      |       |   |
| 34   | Ruston    |                                       |        | 13864.0 |        |          |      |       |   |
| 35   | Choudrant | · · · · · · · · · · · · · · · · · · · | 1850.5 | 14804.0 | 180    | 3263.8   | 1.0  |       |   |
| 36   | Ruston    |                                       | 1888.0 | 15664.0 |        |          |      |       |   |
| 37   | Simsboro  | 1958                                  | 1806.5 | 14452.0 | 153    | 3163.6   | 1.0  | ) 3   | 301                                     |
| 38   | Ruston    | 1970                                  | 2254.8 | 18038.0 | 174    | 3066.0   | 1.0  | 4     | 324                                     |
| 39   | Ruston    |                                       |        | 12474.0 |        | 1        |      |       |   |
| 40   | Ruston    |                                       | 1702.0 | 13999.9 |        |          |      |       |   |
| 42   | Ruston    | /                                     | 1154.0 |         |        | 1496.4   |      |       | 450                                     |
| 43   | Ruston    |                                       | 2544.8 |         |        | 3885.9   |      |       |   |
| 44   | Ruston    |                                       | 2592.0 |         |        | 3258.2   | +    |       |   |
| 45   | Ruston    |                                       | 2373.0 |         |        | 3524.8   |      |       |   |
| 46   | Ruston    |                                       | 2284.8 |         |        | 10057.4  |      | 1     |   |
| 47   | Ruston    |                                       | 1706.5 |         |        | 5846.7   |      |       |   |
| 48   | Ruston    |                                       | 2477.0 |         |        |          |      |       |   |
| 51   | Ruston    |                                       | 2402.0 |         | 1      | 1        | 1    | 1     |   |
| 52   | Ruston    |                                       | 1439.0 |         |        | +        |      |       | • — — · · · · · · · · · · · · · · · · · |
| 53   | Ruston    |                                       | 2686.0 |         |        |          |      |       |   |
| 54   | Ruston    |                                       | 2343.0 |         |        |          |      | 1     |   |
| 55   | Ruston    |                                       | 2899.0 |         | t      | <u> </u> | 1    |       |   |
| 56   | Ruston    | 1                                     | 2388.0 |         |        |          | 1    | -     |   |

 Table B.1 Air-tightness data for 66 homes.

| 57 | Ruston  | 1980 | 2205.0 | 17640.0 | 124 | 3396.0 | 1.0 | 3 | 271 |
|----|---------|------|--------|---------|-----|--------|-----|---|-----|
| 58 | Ruston  | 1993 | 1333.0 | 10664.0 | 81  | 747.0  | 1.0 | 3 | 119 |
| 59 | Ruston  | 1975 | 2160.0 | 17280.0 | 119 | 5087.0 | 1.0 | 3 | 305 |
| 60 | Ruston  | 2004 | 1648.0 | 16480.0 | 121 | 2110.0 | 1.0 | 2 | 224 |
| 61 | Ruston  | 1970 | 2250.0 | 18000.0 | 461 | 7332.0 | 1.0 | 3 | 825 |
| 62 | Ruston  | 1997 | 1789.0 | 14585.0 | 117 | 1876.0 | 1.0 | 3 | 210 |
| 63 | Ruston  | 1995 | 2300.0 | 18400.0 | 134 | 2808.0 | 1.0 | 3 | 265 |
| 64 | Ruston  | 2001 | 2458.0 | 24580.0 | 133 | 2915.0 | 1.0 |   | 267 |
| 65 | Ruston  | 1994 | 2100.0 | 16800.0 | 152 | 2526.0 | 1.0 | 3 | 271 |
| 66 | Ruston  | 1995 | 2275.0 | 21000.0 | 150 | 2226.0 | 1.5 |   | 262 |
| 67 | Ruston  | 1955 | 2200.0 | 19800.0 | 312 | 5432.0 | 1.0 | 2 | 577 |
| 68 | Ruston  | 1990 | 2143.0 | 17144.0 | 202 | 3339.0 | 1.0 | 2 | 503 |
| 69 | Ruston  | 1985 | 1600.0 | 12800.0 | 119 | 2072.0 | 1.0 |   | 220 |
| 70 | Ruston  | 1957 | 1550.0 | 12400.0 | 165 | 2664.0 | 1.0 |   | 297 |
| 71 | Ruston  | 1988 | 2500.0 | 21875.0 | 208 | 4087.0 | 1.0 |   | 402 |
| 72 | Ruston  | 2000 | 2800.0 | 25200.0 | 130 | 1803.0 | 1.0 |   | 230 |
| 73 | Arcadia | 1975 | 2070.0 | 16560.0 | 376 | 5499.0 | 1.0 |   | 653 |
| 74 | Ruston  | 1970 | 2200.0 | 18700.0 | 105 | 3015.0 | 1.0 | 3 | 233 |
| 75 | Ruston  | 2004 | 2200.0 | 23100.0 | 122 | 2502.0 | 1.0 |   | 239 |
| 76 | Ruston  | 1972 | 1700.0 | 14025.0 | 489 | 5889.0 | 1.0 | 3 | 792 |
| 77 | Ruston  | 1983 | 1350.0 | 11070.0 | 136 | 2155.0 | 1.0 | 2 | 244 |
| 78 | Ruston  | 1995 | 2200.0 | 20240.0 | 143 | 2447.0 | 1.0 | 4 | 263 |
| 79 | Ruston  | 1991 | 1950.0 | 17050.0 | 140 | 2997.0 | 1.0 | 2 | 279 |

```
/* COMMENTS
                            NAME
                                                     DATE MODIFIED
Initial Version
                                                     10/15/2005
                         Jinson Erinjeri
Multiple regression analysis on 3 air tightness
parameters (ELA, Eqla, CFM50) with respect to year
built, area, number of storeys and number of bedrooms.
/* MODIFYING ALREADY IMPORTED DATA FROM EXCEL*/
DATA ESTIMATE;
     SET work.all;
     DROP TestNo Place Volume;
     RENAME YEARBUILT=YB;
/*CREATING DUMMY VARIABLES FOR VARAIBLES BEDROOMS AND STOREYS*/
     S1 = 0;
     S2 = 0;
     B2 = 0;
     B3 = 0;
     IF NOS=1 THEN S1=1;
     IF NOS=1.5 THEN S2=1;
     IF NOB=2 THEN B2=1;
     IF NOB=3 THEN B3=1;
PROC PRINT DATA=ESTIMATE;
RUN;
/*MACRO FOR RUNNING REGRESSION WITH ALL THE INDEPENDENT VARIABLES*/
%LET IV = YB AREA /*S1 S2 B2 B3*/;
%MACRO REGR(AT);
     PROC REG DATA=ESTIMATE;
     MODEL &AT = &IV /SELECTION=STEPWISE SLE=.05 SLS=.10 VIF;
     RUN;
%MEND;
%REGR (EaLA)
%REGR(ELA)
%REGR(CFM50)
/*MACRO FOR RUNNING REGRESSION WITH SIGNIFICANT INDEPENDENT VARIABLES*/
/* RAT IS THE ARGUMENT FOR THE MACRO REGR*/
%LET VAR=YB AREA /*S1;*/;
%MACRO REGR(RAT);
     %IF &RAT=CFM50 %THEN %DO;
     PROC REG DATA=ESTIMATE;
     MODEL &RAT=%SUBSTR(&VAR,1,7)/ALPHA=0.05 CLB CLM CLI INFLUENCE;
     OUTPUT OUT=NEW H=LEVERAGE P=PREDICTED COOKD=COOKS DFFITS=DFFI
     R=RESIDUALS RSTUDENT=RSTD;
     proc univariate data=new normal;
     var residuals;
     probplot;
     %END;
     %IF &RAT=ELA %THEN %DO;
```

Figure B.1 SAS program for modeling air-tightness using multiple regression.

```
proc univariate data=new normal;
      var residuals;
            probplot;
PROC REG DATA=ESTIMATE;
      MODEL &RAT=&VAR/ALPHA=0.05 CLB CLM CLI INFLUENCE;
      OUTPUT OUT=NEW H=LEVERAGE P=PREDICTED COOKD=COOKS DFFITS=DFFI
            R=RESIDUALS RSTUDENT=RSTD; \
      %END;
      %IF &RAT= EqLA %THEN %DO;
      PROC REG DATA=ESTIMATE;
      MODEL &RAT = &VAR/ALPHA=0.05 CLB CLM CLI INFLUENCE;
      OUTPUT OUT=NEW H=LEVERAGE P=PREDICTED COOKD=COOKS DFFITS=DFFI
      R=RESIDUALS RSTUDENT=RSTD;
      proc univariate data=new normal;
      var residuals;
      probplot;
      %END;
      RUN;
%MEND;
%REGR (CFM50);
%REGR(ELA);
%REGR(EgLA);
/*MACRO FOR PLOTTING DEPENDENT VARIABLES WITH INDEPENDENT VARIABLES*/
%LET V=YB AREA;
%LET ATS=ELA EqLA CFM50;
%MACRO PLOTS;
%DO J=1 %TO 3;
%DO I=1 %TO 2;
PROC PLOT DATA=ESTIMATE;
PLOT %SCAN(&ATS,&J)*%SCAN(&V,&I)='*';
%END;
%END;
RUN;
%MEND;
%PLOTS
```

The SAS System 17:38 Thursday, October 26, 2006 38 The REG Procedure Model: MODEL1 Dependent Variable: Eqla Eqla Summary of Stepwise Selection Variable Variable Number Partial Model Step Entered Removed Label Vars In R-Square R-Square F C(p) Value Pr > F 1 1 0.5029 49.7899 Area Area 0.5029 44.52 <.0001 2 YB YearBuilt 0.2228 2 0.7257 10.6565 34.92 <.0001 S1 3 3 0.0483 0.7739 3.7462 0.0046 8.96 The SAS System 17:38 Thursday, October 26, 2006 39 The REG Procedure Model: MODEL1 Dependent Variable: Eqla Eqla Number of Observations Read 46 Number of Observations Used 46 Analysis of Variance Sum of Mean DF Source Square Squares F Value Pr > F Model 1242169 414056 3 47.93 <.0001 Error 42 362854 8639.36944 Corrected Total 1605023 45 Root MSE 92.94821 R-Square 0.7739 Dependent Mean 383.39130 Adj R-Sq 0.7578 Coeff Var 24.24369 Parameter Estimates Parameter Standard Variance Variable Label DF Estimate t Value Error Pr > |t|Inflation Intercept Intercept 1 10732 1568.26130 6.84 <.0001 0 YB YearBuilt 1 -5.40497 0.79127 -6.83 <.0001 1.02668 Area Area 1 0.19059 0.02285 <.0001 8.34 1.10548 S1 1 -102.39724 34.20000 -2.99 0.0046 1.13311

Figure B.2 SAS output for modeling air-tightness using multiple regression.

| The SA                  | AS System                     | 17:                | 38 Thurs                           | day, Oc  | tober 26,                              | 2006         | 42             |                  |              |           |         |
|-------------------------|-------------------------------|--------------------|------------------------------------|----------|--|--------------|----------------|------------------|--------------|-----------|---------|
|                         |                               |                    |                                    | Depe     | The REG 1<br>Model:<br>ndent Var:      | MODEL1       |                | A                |              |           |         |
|                         |                               |                    |                                    | Summa    | ry of Ste                              | wise S       | electi         | on               |              |           |         |
| Step<br>Value           | Variable<br>Entered<br>Pr > F | Variabl<br>Removed |                                    | el       | Number<br>Vars In                      | Part<br>R-Sq | ial<br>uare    | Model<br>R-Squar | e            | C(p)      | F       |
| 1                       | Area<br><.0001                |                    | Are                                | a        | 1                                      | 0.4          | 914            | 0.4914           | :            | 41.6032   |         |
| 2                       | <.0001<br>YB<br><.0001        |                    | Yea                                | rBuilt   | 2                                      | 0.1          | 828            | 0.6743           | 5            | 13.5485   |         |
| 3                       | S1<br>0.0059                  |                    |                                    |          | 3                                      | 0.0          | 544            | 0.7286           | 5            | 6.6134    |         |
| 26, 20                  |                               |                    |                                    |          | The SA:                                | S Syste      | m              | 17:38            | ) Thu        | ursday, ( | October |
|                         |                               |                    |                                    | Depe     | The REG Model:<br>Model:<br>ndent Var: | MODEL1       |                | A                |              |           |         |
|                         |                               |                    |                                    |          | Observatio<br>Observatio               |              |                | 46<br>46         |              |           |         |
|                         |                               |                    |                                    |          | Analysis (                             | of Vari      | ance           |                  |              |           |         |
| F                       | Sou                           | rce                |                                    | DF       |  | n of<br>ares |                | Mean<br>Square   | F            | Value     | Pr >    |
|                         | Mod                           | el                 |                                    | 3        | 32                                     | 7534         |                | 109178           |              | 37.59     |         |
| <.0001                  | Err<br>Cor                    | or<br>rected Tota  | 1                                  | 42<br>45 |  | 2002<br>9535 | 2904           | .80032           |              |           |         |
|                         |                               | E                  | loot MSE<br>Dependent<br>Coeff Var |          | 53.8<br>202.2<br>26.6                  | 3261         | R-Squ<br>Adj R |                  | 0.72<br>0.70 |           |         |
|                         |                               |                    |                                    |          | Parameter                              | Estima       | tes            |                  |              |           |         |
| Varian                  |                               |                    |                                    | Para     | meter                                  | Stan         | dard           |                  |              |           |         |
| Varian<br>Var<br>Inflat | iable                         | Label              | DF                                 | Est      | imate                                  | E            | rror           | t Valu           | ıe           | Pr >  1   | =       |
| Int.                    | ercept                        | Intercept          | 1                                  | 5217.    | 08412                                  | 909.3        | 5937           | 5.7              | 74           | <.000     | 01      |
| YB                      | 3                             | YearBuilt          | 1                                  | -2.      | 62279                                  | 0.4          | 5882           | -5.7             | 72           | <.00      | 01      |
| Are<br>1.1054           | a                             | Area               | 1                                  | 0.       | 09882                                  | 0.0          | 1325           | 7.4              | 6            | <.00      | 01      |
| S1<br>1.1331            | 1                             |                    | 1                                  | -57.     | 51555                                  | 19.8         | 3093           | -2.9             | 90           | 0.00      | 59      |

17:38 Thursday, October The SAS System 26, 2006 46 The REG Procedure Model: MODEL1 Dependent Variable: CFM50 CFM50 Summary of Stepwise Selection Variable Variable Number Partial Model Step Entered Removed Label Vars In R-Square F R-Square C(p) Value Pr > F1 0.4449 1 Area Area 0.4449 42.6889 35.27 <.0001 2 2 YB YearBuilt 0.2635 0.7085 4.4778 38.88 <.0001 3 0.0334 0.7419 S1 1.3806 3 5.44 0.0246 The SAS System 17:38 Thursday, October 26, 2006 47 The REG Procedure Model: MODEL1 Dependent Variable: CFM50 CFM50 Number of Observations Read 46 Number of Observations Used 46 Analysis of Variance Sum of Mean Squares DF Source Square F Value Pr > F Model 3 137121869 45707290 40.24 <.0001 Error 42 47703706 1135803 Corrected Total 45 184825575 1065.74037 Root MSE R-Square 0.7419 Dependent Mean 3816.56304 Adj R-Sq 0.7235 Coeff Var 27.92409 Parameter Estimates Parameter Standard Variance Variable Label DF Estimate t Value Pr > |t|Error Inflation Intercept 1 122899 17982 Intercept 6.83 <.0001 0 YB YearBuilt 1 -62.04287 9.07269 -6.84 <.0001 1.02668 Area Area 1 1.95090 0.26205 7.44 <.0001 1.10548 S11 -914.29358 392.13575 -2.33 0.0246 1.13311

| The SAS Sys     |                       | ·38 Thur           | sdav Oct             | tober 26, 2006               | 48       |              |                  |       |
|-----------------|-----------------------|--------------------|----------------------|------------------------------|----------|--------------|------------------|-------|
| The bab bys     |                       | . so mar           | Buay, oct            |                              |          |              |                  |       |
|                 |                       |                    |                      | The REG Proc<br>Model: MOD   |          |              |                  |       |
| <br>            |                       |                    | Depend               | dent Variable:               |          | M50          |                  |       |
|                 |                       | N                  | umber of             | Observations                 | Read     | 46           |                  |       |
|                 |                       | N                  | umber of             | Observations                 | Used     | 46           |                  |       |
|                 |                       |                    |                      |                              |          |              |                  |       |
|                 |                       |                    |                      | Analysis of V                | ariance  |              |                  |       |
|                 | -                     |                    |                      | Sum of                       |          | Mean         |                  | _     |
| F               | Source                |                    | DF                   | Squares                      |          | Square       | F Value          | Pr >  |
|                 | Madal                 |                    | 2                    | 120042226                    | <u> </u> | 472600       | 50.05            |       |
| <.0001          | Model                 |                    | 2                    | 130947376                    | 65       | 5473688      | 52.25            |       |
| 1               | Error<br>Corrected To | t a l              | 43<br>45             | 53878199<br>184825575        |          | L252981      |                  |       |
|                 | corrected re          | cai                | -10                  | 104023575                    |          |              |                  |       |
|                 |                       | Root MS            | E                    | 1119.36651                   | R-Sau    | lare         | 0.7085           |       |
|                 |                       |                    | nt Mean              | 3816.56304                   | Adj H    | ર-ડવ્        | 0.6949           |       |
|                 |                       | Coeff V            | ar                   | 29.32918                     |          |              |                  |       |
|                 |                       |                    |                      | Parameter Est                | imates   |              |                  |       |
|                 |                       | _                  |                      |                              |          |              |                  |       |
| Variable        | Label                 |                    | arameter<br>Estimate |                              | t Value  | Pr >         | t  95%           |       |
| Confidence      | Limits                |                    |                      |                              |          | ,            |                  |       |
| Intercep        | t Intercept           | 1                  | 115105               | 18557                        | 6.20     | <.00         | 01 7             | 77681 |
| 152529<br>YB    | YearBuilt             |                    | 58.64132             | 9.40520                      | -6.23    | <.00         | 01 - 77 4        | 50872 |
| -39.67393       |                       |                    |                      |                              |          |              |                  | 50072 |
| Area<br>2.66746 | Area                  | 1                  | 2.13951              | 0.26179                      | 8.17     | <.00         | 01 1.6           | 51156 |
|                 |                       |                    |                      |                              |          |              |                  |       |
|                 |                       |                    |                      | The REG Proc                 | edure    |              |                  |       |
|                 |                       |                    | Depe                 | Model: MOD<br>ndent Variable |          | าไล          |                  |       |
|                 |                       |                    | _                    |                              | -        | -            |                  |       |
|                 |                       |                    |                      | Observations<br>Observations |          | 46<br>46     |                  |       |
|                 |                       |                    |                      |                              |          |              |                  |       |
|                 |                       |                    |                      | Analysis of V                | ariance  |              |                  |       |
|                 |                       |                    |                      | Sum of                       |          | Mean         |                  |       |
|                 | Source                |                    | DF                   | Squares                      |          | Square       | F Value          | Pr >  |
| F               |                       |                    |                      |                              |          |              |                  |       |
| <.0001          | Model                 |                    | 2                    | 1164722                      |          | 582361       | 56.87            |       |
| C.0001          | Error                 |                    | 43                   | 440301                       |          | 10240        |                  |       |
|                 | Corrected To          | tal                | 45                   | 1605023                      | l -      |              |                  |       |
|                 |                       | Boot MO            | P                    | 101 10000                    |          | 1200         | 0 3053           |       |
|                 |                       | Root MS<br>Depende | E<br>nt Mean         | 101.19068<br>383.39130       | -        | uare<br>R-Sq | 0.7257<br>0.7129 |       |
|                 |                       | Coeff V            |                      | 26.39358                     | -        | -            |                  |       |

Figure B.2 continued.....

|                                   |                       |                                    | Parameter Es                     | stimates                                  |                      |                      |
|-----------------------------------|-----------------------|------------------------------------|----------------------------------|---|----------------------|----------------------|
|                                   |                       |                                    | Parameter                        |   | dard                 |                      |
| Variance<br>Variable<br>Inflation | e Label               | DF                                 | Estimate                         |   |                      | lue Pr >  t          |
| Intercer<br>0                     | ot Intercep           | t 1                                | 9859.40436                       | 1677.50                                   | 6832 5               | .88 <.0001           |
| YB<br>1.00013                     | YearBuil              | t 1                                | -5.02401                         | 0.8                                       | 5023 -5              | .91 <.0001           |
| Area                              | Area                  | 1                                  | 0.21172                          | 0.03                                      | 2367 8               | . 95                 |
| 26, 2006 7                        | 78                    |                                    | The                              | e SAS System                              | m 17:3               | 38 Thursday, October |
|                                   |                       |                                    | Mod                              | EG Procedu:<br>lel: MODEL1<br>Variable: 1 |                      |                      |
|                                   |                       |                                    | nber of Observ<br>nber of Observ |   |                      |                      |
|                                   |                       |                                    | Analys                           | sis of Vari                               | ance                 |                      |
| F                                 | Source                |                                    | DF                               | Sum of<br>Squares                         | Mean<br>Square       |                      |
|                                   | Model                 |                                    | 2                                | 303099                                    | 151550               | 44.50                |
| <.0001                            | Error<br>Corrected To | otal                               | 43<br>45                         | 146436<br>449535                          | 3405.48719           |                      |
|                                   |                       | Root MSE<br>Dependent<br>Coeff Vai | t Mean 20                        | 58.35655<br>02.28261<br>28.84902          | R-Square<br>Adj R-Sq | 0.6743<br>0.6591     |
|                                   |                       |                                    | Parame                           | eter Estima                               | tes                  |                      |
| Variance                          |                       |                                    | Parameter                        | Stan                                      | dard                 |                      |
| Variance<br>Variable<br>Inflation | e Label               | DF                                 | Estimate                         | E   | rror t Va            | lue Pr >  t          |
| Interce <sub>1</sub><br>0         | ot Intercep           | ot 1                               | 4726.76957                       | 967.4                                     | 5173 4               | .89 <.0001           |
| YB<br>1.00013                     | YearBuil              | lt 1                               | -2.40881                         | 0.4                                       | 9033 -4              | .91 <.0001           |
| Area<br>1.00013                   | Area                  | 1                                  | 0.11069                          | 0.0                                       | 1365 8               | .11 <.0001           |

|                              | The                       | SAS Syste          | m 17:3                      | 8 Thursday, C                                 | otober 2 | 26. 2006  | 82               |      |
|------------------------------|---------------------------|--------------------|-----------------------------|---|----------|-----------|------------------|------|
|                              | 1116                      | SAU DYDLE          | 17.3                        | _   |          | 2000      | <b>7</b> 2       |      |
|                              |                           |                    | Depende                     | The REG Proce<br>Model: MODE<br>ent Variable: | EL1      | FM50      |                  |      |
|                              |                           |                    | Number of C                 | bservations F                                 | Read     | 46        |                  |      |
|                              |                           |                    |                             | bservations l                                 |          | 46        |                  |      |
|                              |                           |                    | A                           | malysis of Va                                 | ariance  |           |                  |      |
|                              | Source                    |                    | DF                          | Sum of  |          | Mean      |                  | Dr   |
| F                            | source                    |                    | DF                          | Squares                                       |          | Square    | F Value          | Pr > |
| <.0001                       | Model                     |                    | 2                           | 130947376                                     | 6        | 5473688   | 52.25            |      |
|                              | Error                     |                    | 43                          | 53878199                                      |          | 1252981   |                  |      |
|                              | Correct                   | ed Total           | 45                          | 184825575                                     |          |           |                  |      |
|                              |                           | Depe               | MSE<br>endent Mean<br>f Var | 1119.36651<br>3816.56304<br>29.32918          |          |           | ).7085<br>).6949 |      |
|                              |                           |                    | F                           | Parameter Esti                                | imates   |           |                  |      |
| Variable<br>Confidence       |                           | DF                 | Parameter<br>Estimate       | Standard<br>Error                             | t Value  | ₽r >  t   | 95%              |      |
| Intercep                     | t Inter                   | cept 1             | 115105                      | 18557   | 6.20     | <.0001    | L 77             | 7681 |
| 152529<br>YB                 | YearB                     | uilt 1             | -58.64132                   | 9.40520                                       | -6.23    | <.0001    | L -77.60         | 872  |
| -39.67393<br>Area<br>2.66746 | Area                      | 1                  | 2.13951                     | 0.26179                                       | 8.17     | <.0001    | 1.61             | 156  |
| 2.00740                      |                           |                    |                             | Model: MODE                                   | EL1      |           |                  |      |
|                              |                           |                    | Depende                     | ent Variable:                                 | CFM50 C  | FM50      |                  |      |
| _                            |                           | n                  | 01.3 -                      | Output Statis                                 | stics    |           |                  |      |
|                              | ariable                   | Predicted<br>Value | Std Error<br>Mean Predict   | 95% CL Me                                     | ean      | 95% CL    | Predict          |      |
| 1                            | 5318                      | 5605               | 545.8209                    | 4504  | 6706     | 3094      | 8117             | -    |
| 2                            | -0.2907<br>3212           | 3488               | 178.6082                    | 3128  | 3848     | 1202      | 5774             | -    |
| 3                            | -0.2470 3512              | 4377               | 176.7130                    | 4020  | 4733     | 2091      | 6662             | -    |
| 864.3111<br>4                | -0.7784<br>7580           | 8752               | 529.3515                    | 7685  | 9820     | 6255      | 11249            | -    |
| 1172 -1.1<br>5               |                           | 1333               | 296.2808                    |   | 1931     | -1002     |                  |      |
| 364.1418                     | 0.3338                    |                    |                             |   |          |           |                  |      |
| 6<br>734.3016                | 1745<br>0.6803            | 1010               | 320.3288                    | 364.2938                                      | 1656     | -1338     | 3358             |      |
| 7<br>1548 1.4                | 6812<br>559               | 5264               | 305.3376                    | 4648  | 5880     | 2924      | 7604             |      |
| 8<br>8<br>837.1679           | 4233<br>0.7529            | 3396               | 170.1720                    | 3053  | 3739     | 1113      | 5679             |      |
| 1837.1679<br>9<br>183.5827   | 0.7529<br>1156<br>-0.1680 | 1340               | 293.8291                    | 747.2198                                      | 1932     | -994.1122 | 3674             | -    |

Figure B.2 continued.....

| 10             | 3790              | 3885  | 192.4702 | 3497                                  | 4273    | 1594    | 6176 - |
|----------------|-------------------|-------|----------|---------------------------------------|---------|---------|--------|
| 95.0025        | -0.0852           |       |          | 5621                                  | 7739    | 4186    | 9174 - |
| 11<br>1078 -1  | 5602<br>.0929     | 6680  | 525.2270 | 5621                                  | //39    | 4100    | 51/4 - |
| 12<br>142.4427 | 1651<br>-0.1293   | 1794  | 258.2899 | 1273                                  | 2315 -5 | 22.8926 | 4111 - |
| 13             | 5928              | 4900  | 201.5723 | 4494                                  | 5307    | 2607    | 7194   |
| 1027 0<br>14   | .9318<br>6723     | 6193  | 418.3413 | 5349                                  | 7037    | 3783    | 8603   |
| 530.0441       | 0.5061            |       |          |                                       |         | 0166    | 6726   |
| 15<br>290.2036 | 4161<br>0.2597-   | 4451  | 176.8319 | 4094                                  | 4808    | 2166    | 6736 - |
| 16<br>444.1144 | 2798<br>0.4039    | 2354  | 259.8169 | 1830                                  | 2878    | 36.8560 | 4672   |
| 17             | 1506              | 1846  | 258.3767 | 1325                                  | 2367 -4 | 70.6151 | 4163 - |
| 339.8595       | -0.3087<br>2177   | 1400  | 315.7057 | 762.8243                              | 2036 -9 | 45.9791 | 3745   |
| 777.6946       | 0.7201            | 221.0 | 222 9626 | 1067                                  | 2770    | 16 4014 | 4621 - |
| 19<br>595.4005 | 1723<br>-0.5384   | 2319  | 223.8626 | 1867                                  |         | 16.4814 |        |
| 20<br>375.8547 | 3444<br>-0.3361   | 3820  | 167.0476 | 3483                                  | 4157    | 1537    | 6102 - |
| 21             | 3202              | 4167  | 295.5572 | 3571                                  | 4763    | 1832    | 6502 - |
| 965.2250       | 0.8919-0.<br>7034 | 4368  | 270.3668 | 3822                                  | 4913    | 2045    | 6690   |
| 2666 2<br>23   | .6160<br>3856     | 3873  | 167.7914 | 3535                                  | 4212    | 1591    | 6156 - |
| 17.6424        | -0.0158           |       |          |                                       |         |         |        |
| 24<br>1190 -1  | 2003<br>.0813     | 3193  | 192.9092 | 2804                                  | 3582 9  | 02.2774 | 5484 - |
| 25<br>75.0051  | 3264<br>0.0671    | 3189  | 176.3157 | 2833                                  | 3544 9  | 03.5449 | 5474   |
| 26             | 3168              | 3562  | 171.6737 | 3216                                  | 3908    | 1278    | 5846 - |
| 394.2331<br>27 | 0.3528-0-<br>3164 | 4150  | 225.3231 | 3696                                  | 4605    | 1848    | 6453 - |
| 986.6004<br>28 | 0.8978-0<br>3066  | 4406  | 175.1009 | 4053                                  | 4759    | 2121    | 6691 - |
| 1340 -1        | .2185             |       |          |                                       |         |         |        |
| 29<br>881.6993 | 2468<br>0.8093    | 1587  | 274.7931 | 1033                                  | 2141 -7 | 37.7438 | 3911   |
| 30<br>27.3275  | 1495<br>-0.0251   | 1522  | 311.4454 | 894.2380                              | 2150 -8 | 20.8394 | 3865 - |
| 31             | 1496              | 1464  | 296.7014 | 865.7069                              | 2062 -8 | 71.3101 | 3799   |
| 32.3378        | 0.0296<br>3886    | 4850  | 208.6845 | 4429                                  | 5271    | 2554    | 7146 - |
| 964.2794<br>33 | -0.8744<br>3258   | 4717  | 219.7156 | 4274                                  | 5160    | 2416    | 7017 - |
| 1458 -1        | .3410             |       |          |                                       |         |         |        |
| 34<br>1662 -1  | 3525<br>.5365     | 5186  | 216.0292 | 4751                                  | 5622    | 2887    | 7485 - |
| 35<br>3066 3   | 10057<br>.3519    | 6991  | 465.9968 | 6052                                  | 7931    | 4546    | 9437   |
| 36             | 5847              | 5871  | 486.8654 | 4890                                  | 6853    | 3410    | 8333 - |
| 24.7133<br>37  | -0.0242<br>8173   | 4881  | 200.2653 | 4477                                  | 5285    | 2588    | 7174   |
| 3292 3<br>38   | .3196<br>5237     | 4838  | 193.7889 | 4447                                  | 5229    | 2547    | 7129   |
| 399.5357       | 0.3587            |       |          |                                       |         |         |        |
| 39<br>710.9419 | 2243<br>-0.6461   | 2953  | 241.9730 | 2465                                  | 3441 6  | 43.8826 | 5263 - |
| L              |                   |       |          | · · · · · · · · · · · · · · · · · · · |         |         |        |

| 40<br>383.9766 | 4891<br>0.3487      | 4507     | 254.9636 | 3993                                       | 5021      | 2192 682       | 2         |
|----------------|---------------------|----------|----------|--|-----------|----------------|-----------|
| 41             | 3828                | 4184     | 185.2591 | 3810                                       | 4557      | 1896 647       | 2 -       |
| 355.9614<br>42 | -0.3191<br>3029     | 4377     | 338.3554 | 3694                                       | 5059      | 2018 673       | 5 -       |
| 43             | .2718<br>3372       | 3811     | 218.2484 | 3371                                       | 4251      | 1511 611       | 1 -       |
| 439.0087<br>44 | -0.3959<br>3396     | 3713     | 182.3013 | 3345                                       | 4080      | 1426 600       | 0 -       |
| 316.6853<br>45 | -0.2837<br>747.0000 | 1085     | 316.2012 | 447.0164                                   | 1722      | -1261 343      | 0 -       |
| 337.6969       | -0.3112             |          |          | The SAS Sy                                 | stem      | 17:38 Thursday | , October |
| 26, 2006       | 84                  |          |          |  |           |                |           |
|                |                     |          |          | The REG Proc<br>Model: MOD<br>nt Variable: | EL1       | I              |           |
|                |                     |          |          | Output Stat                                | istics    |                |           |
|                |                     | Hat Diag | Cov      |  |           | DFBETAS        |           |
| -              | Obs                 | н        | Ratio    | DFFITS                                     | Intercept | ув             |           |
| Area           |                     |          |          |  |           |                |           |
| 0.0459         | 1                   | 0.2378   | 1.3994   | -0.1623                                    | -0.1490   | 0.1472         |           |
| 0.0023         | 2                   | 0.0255   | 1.0964   | -0.0399                                    | 0.0148    |                | -         |
| 0.0441         | 3                   | 0.0249   | 1.0543   | -0.1244                                    | -0.0057   | 0.0060         | -         |
| 0.3649         | 4                   | 0.2236   | 1.2504   | -0.6412                                    | -0.4831   | 0.4920         | -         |
| 0.0674         | 5                   | 0.0701   | 1.1449   | 0.0916                                     | -0.0338   | 0.0362         | -         |
| 0.1408         | 6                   | 0.0819   | 1.1311   | 0.2032                                     | -0.0990   | 0.1041         | -         |
| 0.3271         | 7                   | 0.0744   | 1.0002   | 0.4128                                     | -0.1204   | 0.1129         |           |
| 0.0246         | 8                   | 0.0231   | 1.0552   | 0.1158                                     | -0.0125   | 0.0142         | -         |
| 0.0283         | 9                   | 0.0689   | 1.1502   | -0.0457                                    | 0.0244    | -0.0255        |           |
| 0.0042         | 10                  | 0.0296   | 1.1053   | -0.0149                                    | -0.0066   | 5 0.0064       |           |
| 0.5216         | 11                  | 0.2202   | 1.2651   | -0.5807                                    | 0.1863    | -0.1726        | -         |
| 0.0175         | 12                  | 0.0532   | 1.1321   | -0.0307                                    | 0.0153    | -0.0160        |           |
| 0.0953         | 13                  | 0.0324   | 1.0431   | 0.1706                                     | 0.0222    | L -0.0236      |           |
| 0.1802         | 14                  | 0.1397   | 1.2248   | 0.2039                                     | -0.053    | 7 0.0491       |           |
| 0.0135         | 15                  | 0.0250   | 1.0953   | -0.0415                                    | -0.0064   | 4 0.0064       | -         |
| 0.0738         | 16                  | 0.0539   | 1.1211   | 0.0964                                     | 0.011     | 7 -0.0090      | -         |
| 0.0520         | 17                  | 0.0533   | 1.1259   | -0.0732                                    | 0.0205    | 5 -0.0224      |           |
| 0.1785         | 18                  | 0.0795   | 1.1237   | 0.2117                                     | -0.022    | 2 0.0284       | -         |
| 0.0686         | 19                  | 0.0400   | 1.0950   | -0.1099                                    | 0.026     | 5 -0.0293      |           |

Figure B.2 continued.....

| 0.0686 | 20 | 0.0223 | 1.0888 | -0.0507 | 0.0058  | -0.0062 |   |
|--------|----|--------|--------|---------|---------|---------|---|
|        | 21 | 0.0697 | 1.0904 | -0.2442 | -0.1802 | 0.1762  |   |
| 0.0979 | 22 | 0.0583 | 0.7246 | 0.6511  | -0.3245 | 0.3164  |   |
| 0.4036 | 23 | 0.0225 | 1.0978 | -0.0024 | 0.0003  | -0.0003 | - |
| 0.0003 | 24 | 0.0297 | 1.0185 | -0.1892 | -0.0292 | 0.0250  |   |
| 0.0944 | 25 | 0.0248 | 1.1001 | 0.0107  | -0.0017 | 0.0018  | - |
| 0.0033 | 26 | 0.0235 | 1.0893 | -0.0547 | -0.0062 | 0.0053  |   |
| 0.0140 | 27 | 0.0405 | 1.0565 | -0.1845 | -0.1163 | 0.1136  |   |
| 0.0524 | 28 | 0.0245 | 0.9912 | -0.1930 | -0.0299 | 0.0300  | _ |
| 0.0574 | 29 | 0.0603 | 1.0902 | 0.2049  | -0.1103 | 0.1148  | _ |
| 0.1182 |    |        |        |         |         |         | _ |
| 0.0022 | 30 | 0.0774 | 1.1631 | -0.0073 | 0.0057  | -0.0058 |   |
| 0.0065 | 31 | 0.0703 | 1.1542 | 0.0081  | -0.0017 | 0.0019  | - |
| 0.1015 | 32 | 0.0348 | 1.0532 | -0.1659 | 0.0019  | -0.0001 | - |
| 0.1706 | 33 | 0.0385 | 0.9842 | -0.2684 | 0.0491  | -0.0460 | - |
| 0.1175 | 34 | 0.0372 | 0.9460 | -0.3022 | -0.1556 | 0.1569  | - |
| 0.2128 | 35 | 0.1733 | 0.6375 | 1.5347  | 1.4202  | -1.4217 |   |
| 0.0021 | 36 | 0.1892 | 1.3235 | -0.0117 | -0.0109 | 0.0108  |   |
| 0.3324 | 37 | 0.0320 | 0.5511 | 0.6036  | 0.0787  | -0.0839 |   |
| 0.0296 | 38 | 0.0300 | 1.0962 | 0.0631  | 0.0147  | -0.0151 |   |
|        | 39 | 0.0467 | 1.0928 | -0.1430 | -0.0460 | 0.0424  |   |
| 0.0951 | 40 | 0.0519 | 1.1221 | 0.0816  | -0.0341 | 0.0330  |   |
| 0.0523 | 41 | 0.0274 | 1.0954 | -0.0535 | 0.0113  | -0.0111 | - |
| 0.0215 | 42 | 0.0914 | 1.0545 | -0.4033 | 0.2401  | -0.2343 | - |
| 0.2600 | 43 | 0.0380 | 1.1032 | -0.0787 | 0.0413  | -0.0409 | - |
| 0.0308 | 44 | 0.0265 | 1.0961 | -0.0468 | 0.0171  | -0.0172 | - |
| 0.0098 | 45 | 0.0798 | 1.1582 | -0.0916 | 0.0536  | -0.0556 |   |
| 0.0556 |    |        |        |         |         |         |   |

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| The SAS System                                 | 17:38 Thur  | sday, Octob        | er 26, 200                                  | 6 85   |   |
|--|---|--------------------|---|--|---|
|  |   | N                  | e REG Proce<br>Model: MODE<br>Variable:     |  |   |
|  |   | Out                | put Statis                                  | tics   |   |
| Dependent<br>Obs Variable<br>Residual RStudent | Predicted St<br>Value Mean  | d Error<br>Predict | 95% CL Me                                   | an 95%   | CL Predict                                      |
| 46 5087<br>1177 1.0656                         | 3910 1  | 68.4406            | 3570  | 4249 1   | .627 6192                                       |
|  |   | Or                 | itput Stati                                 | stics  |   |
|  | Hat Diag  | Cov                |   | <b>-</b>   | DFBETAS   |
| -<br>O<br>Area                                 | bs H  | Ratio              | DFFITS                                      | Intercept  | ΥВ  |
| 0.0258   | 46 0.0226   | 1.0136             | 0.1622                                      | -0.0187  | 0.0194  |
| 26, 2006 86                                    |   |                    | Residuals<br>1al SS (PRE                    | SS) 641  | 0<br>878199<br>.00927<br>7:38 Thursday, October |
|  |   |                    | NIVARIATE P<br>RESIDUALS                    | rocedure<br>(Residual)   |   |
|  |   |                    | Moments                                     |  |   |
|  | N<br>Mean<br>Std Deviation<br>Skewness<br>Uncorrected SS<br>Coeff Variation | 1.25796<br>5387819 | 0 Sum<br>0899 Var<br>5034 Kur<br>99.2 Cor   | Weights<br>Observations<br>iance<br>tosis<br>rected SS<br>Error Mean | 1197293.32<br>2.01091922<br>53878199.2          |
|  |   | Basic S            | Statistical                                 | Measures   |   |
|  | Locati  | on                 |   | Variability  |   |
|  | Mean<br>Median -<br>Mode  | 0.000<br>229.760   | Std Devia<br>Variance<br>Range<br>Interquar | tion<br>tile Range   | 1094<br>1197293<br>4954<br>1155                 |
|  |   | Tests              | for Locatic                                 | on: Mu0=0  |   |
|  | Test  | - S1               | atistic-                                    | p Valu   | 1e  |
|  | Student<br>Sign<br>Signed   | М                  | 0<br>-6<br>-73.5                            | Pr >  t <br>Pr >=  M <br>Pr >=  S                                    | 1.0000<br>0.1038<br>0.4280                      |

|             | Tests   | for Norm   | ality  |  |                                 |         |
|-------------|---|--|--|--|---------------------------------|---------|
|             | Test  | Sta  | tistic   | p Val  | lue                             |         |
|             | Shapiro-Wilk  | W  | 0.901766   | Pr < W   | 0.0009                          |         |
|             | Shapiro-Wilk<br>Kolmogorov-Smirnov  | D  | 0.146588   | Pr > D   | 0.0145                          |         |
|             | Cramer-von Mises  | W-Sq   | 0.182336   | Pr > W-Sq  | 0.0086                          |         |
|             | Cramer-von Mises<br>Anderson-Darling  | A-Sq   | 1.217376   | Pr > A-Sq  | <0.0050                         |         |
|             | Q   | uantiles   | (Definition S  | 5)   |                                 |         |
|             | Q   | uantile  | Estimate   | 9  |                                 |         |
|             | 1   | 00% Max  | 3292.35  | 5  |                                 |         |
|             | 9   | 98   | 3292.35  | 5  |                                 |         |
|             | 9   | 5%   | 2666.12  | 9  |                                 |         |
|             | =   | 08   | 1177.380   | 6  |                                 |         |
|             |   | 5% Q3  | 444.114  |  |                                 |         |
|             |   |  | -229.76  |  |                                 |         |
|             |   | 5% Q1  | -710.94  |  |                                 |         |
|             | 1   | 08   | -1189.97   |  |                                 |         |
|             |   |  |  |  |                                 |         |
|             |   | The S  | AS System  | 17:38  | Thursday,                       | October |
| 26, 2006 87 | т   |  | AS System  |  | Thursday,                       | October |
| 26, 2006 87 | Varia   | he UNIVAF<br>ble: RES  | -  | re<br>idual)   | Thursday,                       | October |
| 26, 2006 87 | Varia<br>Q  | he UNIVAR<br>ble: RES<br>puantiles   | IATE Procedu:<br>IDUALS (Res   | re<br>idual)<br>5)   | Thursday,                       | October |
| 26, 2006 87 | Varia<br>C<br>C   | he UNIVAR<br>ble: RES<br>quantiles<br>quantile   | IATE Procedu:<br>IDUALS (Res.<br>(Definition )<br>Estimate   | re<br>idual)<br>5)<br>e  | Thursday,                       | October |
| 26, 2006 87 | Varia<br>C<br>S   | he UNIVAR<br>ble: RES<br>puantiles   | IATE Procedu:<br>IDUALS (Res.<br>(Definition )<br>Estimato<br>-1347.32   | re<br>idual)<br>5)<br>e  | Thursday,                       | October |
| 26, 2006 87 | Varia<br>Q<br>S<br>1  | the UNIVAR<br>ble: RES<br>quantiles<br>quantile<br>%   | IATE Procedu:<br>IDUALS (Res.<br>(Definition )<br>Estimate   | re<br>idual)<br>5)<br>e<br>5   | Thursday,                       | October |
| 26, 2006 87 | Varia<br>Q<br>S<br>1  | the UNIVAR<br>ble: RES<br>quantiles<br>quantile<br>%<br>%<br>% Min   | IATE Procedu:<br>IDUALS (Res:<br>(Definition )<br>Estimate<br>-1347.32<br>-1661.50   | re<br>idual)<br>5)<br>e<br>5<br>8<br>8   | Thursday,                       | October |
| 26, 2006 87 | Varia<br>Q<br>S<br>1<br>0   | The UNIVAR<br>ble: RES<br>quantiles<br>quantile<br>%<br>%<br>%<br>Min<br>Extreme                                     | IATE Procedu:<br>IDUALS (Res<br>(Definition )<br>Estimate<br>-1347.32<br>-1661.50<br>-1661.50  | re<br>idual)<br>5)<br>e<br>5<br>8<br>8   |                                 | October |
| 26, 2006 87 | Varia<br>Q<br>S<br>1<br>0   | The UNIVAR<br>ble: RES<br>quantiles<br>quantile<br>%<br>%<br>%<br>Min<br>Extreme                                     | TATE Procedu:<br>TDUALS (Res.<br>(Definition )<br>Estimate<br>-1347.322<br>-1661.50<br>-1661.50<br>Observations  | re<br>idual)<br>5)<br>5<br>8<br>8<br>8<br>ighest   | -                               | October |
| 26, 2006 87 | Varia<br>Q<br>S<br>1<br>0<br>Lowe<br>Value  | the UNIVAR<br>ble: RES<br>quantiles<br>quantile<br>%<br>%<br>%<br>Min<br>Extreme<br>est<br>Obs                       | IATE Procedu:<br>IDUALS (Res.<br>(Definition )<br>Estimate<br>-1347.32<br>-1661.50<br>-1661.50<br>Observations<br>H<br>Val   | re<br>idual)<br>5)<br>5<br>8<br>8<br>8<br>ighest<br>ue Ob:   | -<br>S                          | October |
| 26, 2006 87 | Varia<br>Q<br>S<br>1<br>0<br>Lowe<br>Value<br>-1661.51  | the UNIVAR<br>ble: RES<br>quantiles<br>quantile<br>%<br>%<br>%<br>Min<br>Extreme<br>est<br>Obs<br>34                 | IATE Procedu:<br>IDUALS (Res:<br>(Definition )<br>Estimate<br>-1347.32<br>-1661.50<br>-1661.50<br>Observations<br>H<br>Val<br>1177.                                | re<br>idual)<br>5)<br>e<br>5<br>8<br>8<br>8<br>ighest<br>ue Obs<br>39 4                                  | -<br>s                          | October |
| 26, 2006 87 | Varia<br>Q<br>S<br>1<br>0<br>Lowe<br>Value<br>-1661.51<br>-1458.40                              | the UNIVAR<br>ble: RES<br>quantiles<br>quantile<br>%<br>%<br>%<br>Min<br>Extreme<br>est<br>Obs<br>34<br>33           | IATE Procedu:<br>IDUALS (Res:<br>(Definition )<br>Estimate<br>-1347.32<br>-1661.50<br>-1661.50<br>Observations<br>H<br>Val<br>1177.<br>1547.                       | re<br>idual)<br>5)<br>e<br>5<br>8<br>8<br>8<br>ighest<br>ue Ob<br>39 4<br>88                             | -<br>s<br>7                     | October |
| 26, 2006 87 | Varia<br>Q<br>Q<br>S<br>1<br>0<br>Lowe<br>Value<br>-1661.51<br>-1458.40<br>-1347.33             | the UNIVAR<br>ble: RES<br>quantiles<br>quantile<br>%<br>%<br>%<br>Min<br>Extreme<br>est<br>Obs<br>34                 | 21ATE Procedu:<br>21DUALS (Res:<br>21DUALS (Res:<br>21DUALS (Res:<br>21347.32<br>21661.50<br>21661.50<br>0bservations<br>H<br>Val<br>1177.<br>1547.<br>2666.       | re<br>idual)<br>5)<br>e<br>5<br>8<br>8<br>8<br>4<br>13<br>22   | -<br>s<br>6<br>7<br>2           | October |
| 26, 2006 87 | Varia<br>Q<br>Q<br>S<br>1<br>0<br>Lowe<br>Value<br>-1661.51<br>-1458.40<br>-1347.33<br>-1339.65 | the UNIVAR<br>ble: RES<br>quantiles<br>quantile<br>%<br>%<br>% Min<br>Extreme<br>est<br>Obs<br>34<br>33<br>42        | 21ATE Procedu:<br>21DUALS (Res:<br>21DUALS (Res:<br>21DUALS (Res:<br>21347.32<br>21661.50<br>21661.50<br>0bservations<br>H<br>Val<br>1177.<br>1547.<br>2666.       | re<br>idual)<br>5)<br>e<br>5<br>8<br>8<br>8<br>4<br>9<br>4<br>13<br>2<br>9<br>9<br>3                     | -<br>s<br>6<br>7<br>2<br>5      | October |
| 26, 2006 87 | Varia<br>Q<br>Q<br>S<br>1<br>0<br>Lowe<br>Value<br>-1661.51<br>-1458.40<br>-1347.33             | The UNIVAR<br>ble: RES<br>quantiles<br>quantile<br>%<br>% Min<br>Extreme<br>sst<br>Obs<br>34<br>33<br>42<br>28<br>24 | 21ATE Procedu:<br>21DUALS (Res<br>21DUALS (Res<br>21DUALS (Res<br>21347.32<br>-1661.50<br>-1661.50<br>0bservations<br>H<br>Val<br>1177.<br>1547.<br>2666.<br>3065. | re<br>idual)<br>5)<br>e<br>5<br>8<br>8<br>8<br>9<br>4<br>8<br>8<br>13<br>2<br>9<br>9<br>3<br>3<br>6<br>3 | -<br>s<br>6<br>7<br>2<br>5<br>7 |         |

|                  |                   |          | The PI       | G Procedure  |          | -              |            |         |
|------------------|-------------------|----------|--------------|--------------|----------|----------------|------------|---------|
|                  |                   |          | The R        | Model: MO    |          |                |            |         |
|                  |                   |          | Depen        | ident Variab |          | A              |            |         |
|                  |                   |          | Depen        |              |          |                |            |         |
|                  |                   |          | Number of C  | bservations  | Read     | 46             |            |         |
|                  |                   |          | Number of C  | bservations  | Used     | 46             |            |         |
|                  |                   |          |              |              |          |                |            |         |
| 1                | ·                 |          |              |              |          |                |            |         |
| Analysis         | of Varianc        | e        |              |              |          |                |            |         |
|                  |                   |          |              | Sum o        | f        | Moar           |            |         |
| 1                | Source            |          | DF           |              |          | Mean<br>Square | F Value    | Pr >    |
| F                | source            |          | DF           | Square       | 5        | Square         | rvatue     | FT >    |
| 1                |                   |          |              |              |          |                |            |         |
|                  | Model             |          | 2            | 30309        | 9        | 151550         | 44.50      |         |
| <.0001           |                   |          | -            | 20209        | -        | _0_000         |            |         |
|                  | Error             |          | 43           | 14643        | 6 3409   | 5.48719        |            |         |
|                  | Correcte          | d Total  | 45           | 44953        | 5        |                |            |         |
| ł                |                   |          |              |              |          |                |            |         |
|                  |                   |          |              |              |          |                |            |         |
|                  |                   | Root     | MSE          | 58.3565      |          |                | 0.6743     |         |
|                  |                   | -        | ndent Mean   | 202.2826     |          | २-Sq (         | 0.6591     |         |
|                  |                   | Coef     | f Var        | 28.8490      | 2        |                |            |         |
|                  |                   |          |              |              |          |                |            |         |
|                  |                   |          | I            | Parameter Es | timates  |                |            |         |
|                  |                   |          | Democratics  | 06           |          |                |            |         |
|                  |                   |          | Parameter    | Standard     |          |                |            |         |
|                  | le Label          | DF       | Estimate     | Error        | t Value  | ₽r >  t        | 95%        |         |
| Confidence       | e Limits          |          |              |              |          |                |            |         |
| Tetar            |                   | ont 1    | 4706 76057   | 067 45133    | 4 00     |                |            | 1701    |
| Interce          |                   | ept 1    | 4726.76957   | 967.45173    | 4.89     | <.0001         | 1 2775.7   | L / Z L |
| 6677.8219        |                   |          | 2 40001      | 0 40033      | 4 01     | - 0001         |            | 0765    |
| YB               | YearBu            | ilt 1    | -2.40881     | 0.49033      | -4.91    | <.0001         | 1 -3.3     | כטיכ    |
| -1.41997<br>Area | Area              | 1        | 0.11069      | 0.01365      | 8.11     | <.0001         | 1 0.0      | B316    |
| 0.13821          | ALEd              | T        | 0.11009      | 0.01303      | 0.11     | <.0001         | L 0.0      | 010     |
| 0.13021          |                   |          |              | The SAS S    | vstem    | 17.38          | Thursday,  | October |
| 26, 2006         | 89                |          |              | INC OND D    | 10000    | 17.50          | mur buuy , | 0000000 |
| 1-0, 2000        |                   |          |              | The REG Pro  | cedure   |                |            |         |
|                  |                   |          |              | Model: MO    |          |                |            |         |
|                  |                   |          | Deper        | ndent Variab |          | LA             |            |         |
| 1                |                   |          | -            |              |          |                |            |         |
|                  |                   |          |              | Output Stat  | istics   |                |            |         |
|                  |                   |          |              |              |          |                |            |         |
| 1                | Dependent P       |          |              |              |          |                |            |         |
|                  | Variable          | Value    | Mean Predict | 95% CL       | Mean     | 95% CL         | Predict    |         |
| Residual         | RStudent          |          |              |              |          |                |            |         |
|                  |                   |          |              |              |          |                |            |         |
| 1                |                   | 261.7987 | 28.4556      | 204.4125     | 319.1848 | 130.8657       | 392.7317   |         |
| 48.2013          | 0.9449            |          |              |              |          |                |            |         |
| 2                |                   | 189.7700 | 9.3115       | 170.9916     | 208.5484 | 70.5940        | 308.9459   | -       |
| 21.7700          | -0.3741           |          |              | 010          |          |                |            |         |
| 3                |                   | 230.7350 | 9.2127       | 212.1559     | 249.3142 | 111.5903       | 349.8798   | -       |
| 33.7350          | -0.5809           | 420 0500 | 0.0 0.000    | 275 0044     | 100 5100 | 200 6756       | F C1 0404  |         |
| 4                |                   | 430.8590 | 27.5970      | 375.2044     | 486.5136 | 300.6756       | 561.0424   | -       |
| 63.8590          | -1.2500           | 01 4005  | 15 4460      | E0 0700      | 110 5706 | 40 3115        | 202 1024   |         |
| 5                | 88.0000           | 81.4285  | 15.4462      | 50.2783      | 112.5786 | -40.3115       | 203.1684   |         |
| 6.5715           | 0.1154            | 67 0340  | 16 6000      | 34 1563      | 101 6133 | -54 5765       | 190 2461   |         |
| 6<br>31.1652     | 99.0000<br>0.5528 | 67.8348  | 16.6999      | 34.1563      | 101.5133 | -54.5765       | 190.2461   |         |
| 31.1652          |                   | 282.9101 | 15.9183      | 250.8077     | 315.0125 | 160.9231       | 404.8972   |         |
| 129.0899         | 2.4264            | -92-9101 |              | 230.0077     | 212.0123 | 100.7231       |            |         |
| 8                |                   | 181.8904 | 8.8717       | 163.9990     | 199.7818 | 62.8510        | 300.9298   |         |
|                  |                   |          | 0.0,1,       |              |          |                |            |         |

Figure B.2 continued.....

|                 |                     |          |         |          | ···      |          |          |   |
|-----------------|---------------------|----------|---------|----------|----------|----------|----------|---|
|                 |                     |          |         |          |          |          |          |   |
| 58.1096<br>9    | 1.0077<br>67.0000   | 84.8806  | 15.3184 | 53.9882  | 115.7730 | -36.7937 | 206.5548 | _ |
| 17.8806         | -0.3142             | 04.0000  | 13.5104 | 55.9002  | 113.7750 | 3011331  | 20013310 |   |
| 10              | 221.0000            | 200.3072 | 10.0342 | 180.0714 | 220.5430 | 80.8929  | 319.7214 |   |
| 20.6928         | 0.3563<br>340.0000  | 361.1547 | 27.3820 | 305.9337 | 416.3756 | 231.1561 | 491.1533 | _ |
| 21.1547         | -0.4065             | 361.1347 | 27.3020 | 303.3337 | 410.5750 | 231.1301 | 471.1333 |   |
| 12              | 96.0000             | 106.4963 | 13.4656 | 79.3404  | 133.6522 | -14.2834 | 227.2759 | - |
| 10.4963         | -0.1828             | 256 5921 | 10 5097 | 235.3904 | 277 7750 | 137.0030 | 376.1633 |   |
| 13<br>117.4169  | 374.0000<br>2.1277  | 256.5831 | 10.5087 | 235.3904 | 277.7759 | 137.0030 | 210.1032 |   |
| 14              | 371.0000            | 332.2183 | 21.8096 | 288.2350 | 376.2016 | 206.5807 | 457.8559 |   |
| 38.7817         | 0.7124              | 000 0000 | 0.0100  | 014 7470 | 251 2206 | 114 1000 | 252 4056 |   |
| 15<br>18.3389   | 215.0000<br>-0.3149 | 233.3389 | 9.2189  | 214.7473 | 251.9306 | 114.1923 | 352.4856 | - |
| 16              | 136.0000            | 124.8659 | 13.5452 | 97.5495  | 152.1824 | 4.0501   | 245.6818 |   |
| 11.1341         | 0.1939              | 105 4500 | 12 4701 | 70 0070  | 122 6100 | 15 2207  | 226 2247 |   |
| 17<br>21.4530   | 84.0000<br>-0.3740  | 105.4530 | 13.4701 | 78.2879  | 132.6180 | -15.3287 | 226.2347 | - |
| 18              | 133.0000            | 79.8456  | 16.4589 | 46.6531  | 113.0380 | -42.4329 | 202.1240 |   |
| 53.1544         | 0.9483              |          |         |          |          |          |          |   |
| 19<br>28.6446   | 100.0000<br>-0.4966 | 128.6446 | 11.6708 | 105.1083 | 152.1809 | 8.6269   | 248.6622 | - |
| 20.0440         | 167.0000            | 203.8064 | 8.7088  | 186.2434 | 221.3693 | 84.8159  | 322.7969 | - |
| 36.8064         | -0.6334             |          |         |          |          |          |          |   |
| 21<br>13.1374   | 193.0000<br>-0.2308 | 206.1374 | 15.4084 | 175.0633 | 237.2115 | 84.4169  | 327.8579 | - |
| 22              | 420.0000            | 239.6524 | 14.0952 | 211.2268 | 268.0781 | 118.5810 | 360.7239 |   |
| 180.3476        | 3.6007              |          |         |          |          |          |          |   |
| 23              | 218.0000<br>0.1958  | 206.5735 | 8.7476  | 188.9324 | 224.2147 | 87.5715  | 325.5756 |   |
| 24              | 112.0000            | 168.2551 | 10.0570 | 147.9732 | 188.5371 | 48.8330  | 287.6772 | - |
| 56.2551         | -0.9781             | 1 - 1    |         | 150 0515 | 100 2000 |          | 202 227  |   |
| 25<br>8.2111    | 180.0000<br>0.1409  | 171.7889 | 9.1920  | 153.2515 | 190.3262 | 52.6507  | 290.9271 |   |
| 26              | 154.0000            | 187.9837 | 8.9500  | 169.9344 | 206.0330 | 68.9204  | 307.0469 | - |
| 33.9837         | -0.5848             |          |         |          |          |          |          |   |
| 27              | 153.0000<br>-1.0021 | 210.2772 | 11.7469 | 186.5873 | 233.9671 | 90.2294  | 330.3251 | - |
| 28              | 174.0000            | 230.9924 | 9.1286  | 212.5827 | 249.4020 | 111.8740 | 350.1108 | - |
| 56.9924         | -0.9885             |          |         |          |          |          |          |   |
| 29<br>40.9702   | 138.0000<br>0.7202  | 97.0298  | 14.3259 | 68.1388  | 125.9208 | -24.1517 | 218.2114 |   |
| 30              | 83.0000             | 99.9493  | 16.2368 | 67.2047  | 132.6938 | -22.2083 | 222.1069 | - |
| 16.9493         | -0.2992             | 05 0600  | 15 4603 |          | 110 0545 | 26 6000  | 006 0116 |   |
| 31<br>0.0603 -0 | 85.0000             | 85.0603  | 15.4681 | 53.8659  | 116.2547 | -36.6909 | 206.8116 | - |
| 32              |                     | 255.8651 | 10.8795 | 233.9246 | 277.8056 | 136.1502 | 375.5800 | - |
| 36.8651         | -0.6386             | 051 4540 | 11 1515 | 000 0540 | 074 5546 | 101 5014 | 271 2072 |   |
| 33<br>102.4543  | 149.0000<br>-1.8394 | 251.4543 | 11.4546 | 228.3540 | 274.5546 | 131.5214 | 371.3872 | - |
| 34              | 203.0000            | 265.7548 | 11.2624 | 243.0421 | 288.4676 | 145.8960 | 385.6137 | - |
| 62.7548         | -1.0986             | 227 0010 | 24 2041 | 200 0001 | 206 0054 | 010 4107 | 465 2600 |   |
| 35<br>11.1082   | 349.0000<br>0.2070  | 337.8918 | 24.2941 | 288.8981 | 386.8854 | 210.4137 | 465.3698 |   |
| 36              | 334.0000            | 278.6992 | 25.3820 | 227.5115 | 329.8870 | 150.3619 | 407.0365 |   |
| 55.3008         | 1.0537              |          | 10 4405 | 004 5015 | 276 6422 | 126 0217 | 375 1400 |   |
| 37              | 261.0000<br>0.0932  | 255.5870 | 10.4405 | 234.5316 | 276.6423 | 136.0311 | 375.1428 |   |
| 38              | 333.0000            | 252.1031 | 10.1029 | 231.7286 | 272.4775 | 132.6652 | 371.5409 |   |
| 80.8969         | 1.4242<br>149.0000  | 152.7382 | 12 6140 | 127.2978 | 178.1786 | 32.3326  | 273.1437 | - |
| 3.7382          | -0.0648             | 136.1306 | 12.0113 | 121.2310 | 110.1100 | J2.JJ20  | 213.173/ |   |
| L               |                     |          |         |          |          |          |          |   |

Figure B.2 continued.....

| 40      | 283.0000 | 244.9972 | 13.2922 | 218.1910 | 271.8034 | 124.2957 | 365.6987  |         |
|---------|----------|----------|---------|----------|----------|----------|-----------|---------|
| 38.0028 | 0.6644   |          |         |          |          |          |           |         |
| 41      | 258.0000 | 223.8933 | 9.6582  | 204.4156 | 243.3709 | 104.6052 | 343.1814  |         |
| 34.1067 | 0.5881   |          |         |          |          |          |           |         |
| 42      | 166.0000 | 244.4854 | 17.6397 | 208.9116 | 280.0591 | 121.5391 | 367.4316  | -       |
| 78.4854 | -1.4279  |          |         |          |          |          |           |         |
| 43      | 182.0000 | 209.6037 | 11.3781 | 186.6577 | 232.5498 | 89.7004  | 329.5070  | -       |
| 27.6037 | -0.4779  |          |         |          |          |          |           |         |
| 44      | 124.0000 | 201.3921 | 9.5040  | 182.2254 | 220.5588 | 82.1543  | 320.6298  | -       |
| 77.3921 | -1.3572  |          |         |          |          |          |           |         |
| 45      | 81.0000  | 73.5587  | 16.4847 | 40.3142  | 106.8033 | -48.7339 | 195.8513  |         |
| 7.4413  | 0.1314   |          |         |          |          |          |           |         |
|         |          |          |         | The SAS  | System   | 17:38    | Thursday, | October |
|         |          |          |         |          |          |          |           |         |

26, 2006 90

## The REG Procedure Model: MODEL1 Dependent Variable: ELA ELA

|        |     |          | с      | utput Statis | stics     |         |   |
|--------|-----|----------|--------|--------------|-----------|---------|---|
|        | H   | Hat Diag | Cov    |              |           | DFBETAS |   |
| _      | Obs | н        | Ratio  | DFFITS       | Intercept | YB      |   |
| Area   |     |          |        |              |           |         |   |
| 0.1494 | 1   | 0.2378   | 1.3218 | 0.5277       | 0.4843    | -0.4786 | - |
|        | 2   | 0.0255   | 1.0902 | -0.0605      | 0.0224    | -0.0228 | - |
| 0.0036 | 3   | 0.0249   | 1.0745 | -0.0929      | -0.0043   | 0.0045  | - |
| 0.0329 | 4   | 0.2236   | 1.2388 | -0.6709      | -0.5055   | 0.5148  | - |
| 0.3818 | 5   | 0.0701   | 1.1529 | 0.0317       | -0.0117   | 0.0125  | - |
| 0.0233 | 6   | 0.0819   | 1.1437 | 0.1651       | -0.0805   | 0.0846  | - |
| 0.1144 | 7   | 0.0744   | 0.7822 | 0.6880       | -0.2006   | 0.1881  |   |
| 0.5452 | 8   | 0.0231   | 1.0226 | 0.1550       | -0.0167   | 0.0190  | - |
| 0.0329 | 9   | 0.0689   | 1.1445 | -0.0855      | 0.0456    | -0.0476 |   |
| 0.0529 | 10  | 0.0296   | 1.0959 | 0.0622       | 0.0276    | -0.0266 | _ |
| 0.0174 | 11  | 0.2202   | 1.3600 | -0.2160      | 0.0692    | -0.0642 | - |
| 0.1940 | 12  | 0.0532   | 1.1308 | -0.0433      | 0.0217    | -0.0227 |   |
| 0.0247 | 13  | 0.0324   | 0.8158 | 0.3895       | 0.0504    | -0.0539 |   |
| 0.2177 | 14  | 0.1397   | 1.2032 | 0.2870       | -0.0755   | 0.0692  |   |
| 0.2537 | 15  | 0.0250   | 1.0929 | -0.0504      | -0.0077   | 0.0078  | - |
| 0.0164 | 16  | 0.0539   | 1.1312 | 0.0463       | 0.0056    | -0.0043 | - |
| 0.0354 | 17  | 0.0533   | 1.1223 | -0.0887      | 0.0248    | -0.0271 |   |
| 0.0630 | 18  | 0.0795   | 1.0941 | 0.2788       | -0.0292   | 0.0374  | - |
| 0.2351 | 19  | 0.0400   | 1.0984 | -0.1014      | 0.0245    | -0.0270 |   |
| 0.0632 | 20  | 0.0223   | 1.0667 | -0.0956      | 0.0110    | -0.0116 | - |

Figure B.2 continued.....

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| 0.0090        | 2                                 | 1 0.0          | 0697                  | 1.1492             | -0.0632                       | -0.0466    | 0.0456     |         |
|---------------|-----------------------------------|----------------|-----------------------|--------------------|-------------------------------|------------|------------|---------|
| 0.0253        |                                   |                | 583                   | 0.5085             | 0.8962                        | -0.4466    | 0.4354     |         |
| 0.5556        |                                   |                | )225                  | 1.0948             | 0.0297                        | -0.0034    | 0.0036     |         |
| 0.0039        |                                   |                | 297                   | 1.0337             | -0.1711                       | -0.0264    | 0.0226     |         |
| 0.0854        |                                   |                |                       |                    |                               |            |            |         |
| 0.0069        |                                   |                | )248                  | 1.0989             | 0.0225                        | -0.0035    | 0.0039     | -       |
| 0.0233        |                                   |                | )235                  | 1.0726             | -0.0908                       | -0.0103    | 0.0088     |         |
| 0.0584        | 2                                 | .7 0.0         | 0405                  | 1.0419             | -0.2059                       | -0.1298    | 0.1267     |         |
| 0.0466        | 2                                 | 28 0.0         | )245                  | 1.0267             | -0.1566                       | -0.0243    | 0.0243     | -       |
| 0.1052        | 2                                 | 9 0.0          | 0603                  | 1.1007             | 0.1824                        | -0.0981    | 0.1022     | -       |
| 0.0266        | 3                                 | 0.0            | )774                  | 1.1558             | -0.0867                       | 0.0676     | -0.0688    |         |
| 0.0002        | 3                                 | 0.0            | 0703                  | 1.1542             | -0.0003                       | 0.0001     | -0.0001    |         |
| 0.0741        | 3                                 | 32 0.0         | 0348                  | 1.0800             | -0.1212                       | 0.0014     | -0.0001    | -       |
| 0.2340        | 3                                 | 33 0.0         | 0385                  | 0.8847             | -0.3682                       | 0.0674     | -0.0631    | -       |
| 0.0840        | 3                                 | 84 0.0         | 372                   | 1.0238             | -0.2161                       | -0.1112    | 0.1122     | -       |
|               | 3                                 | 35 0.1         | L733                  | 1.2942             | 0.0948                        | 0.0877     | -0.0878    |         |
| 0.0131        | 3                                 | 86 0.1         | 1892                  | 1.2239             | 0.5090                        | 0.4733     | -0.4692    | - !     |
| 0.0905        | 3                                 | 37 0.0         | 0320                  | 1.1079             | 0.0169                        | 0.0022     | -0.0024    |         |
| 0.0093        | 3                                 | 38 0.0         | 0300                  | 0.9603             | 0.2503                        | 0.0585     | -0.0600    |         |
| 0.1174        | 3                                 | 39 0.0         | 0467                  | 1.1254             | -0.0144                       | -0.0046    | 0.0043     |         |
| 0.0095        | 4                                 | 10 0.0         | 0519                  | 1.0969             | 0.1554                        | -0.0649    | 0.0629     |         |
| 0.0997        | 4                                 | 1 0.0          | 0274                  | 1.0766             | 0.0987                        | -0.0208    | 0.0205     |         |
| 0.0397        | 4                                 | 12 0.0         | 0914                  | 1.0245             | -0.4528                       | 0.2696     | -0.2631    | -       |
| 0.2919        | 4                                 | 13 0.0         | 0380                  | 1.0975             | -0.0950                       | 0.0498     | -0.0494    | -       |
| 0.0372        | 4                                 | 4 0.0          | 0265                  | 0.9692             | -0.2240                       | 0.0819     | -0.0824    | -       |
| 0.0467        |                                   |                | 0798                  | 1.1648             |                               | -0.0226    |            | -       |
| 0.0235        |                                   |                |                       |                    | he SAS System                 |            |            | October |
| 26, 2006      | 91                                |                |                       |                    | REG Procedur                  |            |            |         |
|               |                                   |                |                       | м                  | odel: MODEL1<br>t Variable: E |            |            |         |
|               |                                   |                |                       | Out                | put Statistic                 | s          |            |         |
|               | Dependent<br>Variable<br>RStudent | Predict<br>Val | ted Sto<br>lue Mean D | d Error<br>Predict | 95% CL Mean                   | 95% C      | L Predict  |         |
| 46<br>89.4552 | 119.0000<br>-1.5772               | 208.49         | 552                   | 8.7814 19          | 0.7458 226.1                  | 646 89.443 | 0 327.4674 | -       |

Figure B.2 continued.....

|               |                           | Output S      | Statistics               |                           | ······································ |
|---------------|---------------------------|---------------|--------------------------|---------------------------|--|
|               | Hat Diag                  | Cov           |                          |                           | DFBETAS                                |
| ~             | -                         |               |                          |                           |  |
| <b>A</b> mo a | Obs H                     | Ratio         | DFFITS                   | Intercept                 | YB                                     |
| Area          |                           |               |                          |                           |  |
| 0.0381        | 46 0.0226                 | 0.9239        | -0.2401                  | 0.0277                    | -0.0287 -                              |
|               | Sum                       | of Residual   | s                        |                           | 0                                      |
|               | Sum                       | of Squared    | Residuals                | 1<br>2SS) 1               | 46436                                  |
|               | Prec                      | licted Resid  | The SAS (PRI             | ss) 1<br>stem 17          | :38 Thursday, October                  |
| 26, 2006 92   |                           |               |                          |                           | ······································ |
|               |                           |               | NIVARIATE I<br>RESIDUALS | Procedure<br>5 (Residual) |  |
|               |                           |               | Moments                  |                           |  |
|               | N                         |               | 46 Sut                   | n Weights                 | 46                                     |
|               | Mean                      |               | 0 Sur                    | n Observations            | 0                                      |
|               | Std Deviation<br>Skewness | 57.045        | 50016 Var                | riance                    | 3254.13221                             |
|               | Skewness                  | 0.8/48        | 34829 Kui $5949$ Coi     | rtosis                    | 1.42297708                             |
|               | Coeff Variatio            | on 140455     | . Sto                    | d Error Mean              | 146435.949<br>8.41082662               |
|               |                           | Basic         | Statistica               | l Measures                |  |
|               | Locat                     | ion           |                          | Variability               |  |
|               | Mean                      | 0.00000       | Std Devia                | ation                     | 57.04500                               |
|               | Median                    | -7.11722      | Variance                 | ation                     | 3254                                   |
|               | Mode                      |               | Range                    | rtile Range               | 282.80186                              |
|               |                           |               | Interqua                 | rtile Range               | 68.09039                               |
|               |                           | Tests         | for Locatio              | on: Mu0=0                 |  |
|               | Test                      | - 5           | Statistic-               | p Valu                    | le                                     |
|               | Studer                    | nt'st t       | 0                        | Pr >  t                   | 1.0000                                 |
|               | Sign                      | M<br>1 Rank S | -2                       | Pr >=  M                  | 0.6587                                 |
|               | Signed                    | l Rank S      | -46.5                    | Pr >=  S                  | 0.6168                                 |
|               |                           | Tes           | sts for Nor              | mality                    |  |
|               | Test                      |               | Statisti                 | cF                        | Value                                  |
|               | Shapiro-Wi                | lk            | W 0.                     | 9541 Pr < W               | 0.0676                                 |
|               | Kolmogorov                | -Smirnov      | D 0.11                   |                           |  |
|               | Cramer-von                |               | W-Sq 0.07                |                           | -                                      |
| L             | Anderson-Da               | arling        | A-Sq 0.53                | 5167 Pr > P               | A-Sq 0.1682                            |

|          |               | Ou                         | antiles (D   | efinition        | 5)        |               |           |
|----------|---------------|----------------------------|--------------|------------------|-----------|---------------|-----------|
|          |               | Qu                         | unctico (D   | C11110101011     | 57        |               |           |
|          |               |                            | Quantile     | Est:             | imate     |               |           |
|          |               |                            | 100% Max     | 180.3            | 34758     |               |           |
| -        |               |                            | 99%          | 180.3            | 34758     |               |           |
|          |               |                            | 95%          | 117.4            | 41685     |               |           |
|          |               |                            | 90%          | 58.3             | 10959     |               |           |
|          |               |                            | 75% Q3       | 34.3             | 10672     |               |           |
|          |               |                            | 50% Mediar   |                  |           |               |           |
|          |               |                            | 25% Q1       | -33.9            | 98367     |               |           |
|          |               |                            |              | -63.8            |           |               |           |
|          |               |                            | The S        | SAS System       | 17        | :38 Thursday  | , October |
| 26, 2006 | 93            |                            |              |                  |           |               |           |
|          |               |                            | <b></b>      |                  |           |               |           |
|          |               | 11                         |              | RIATE Proce      |           |               |           |
| 1        |               | var                        | iable: RES   | SIDUALS (I       | Residual) |               |           |
|          |               |                            | Quantiles    | (Definitio       | on 5)     |               |           |
|          |               |                            | Quantile     | Est              | imate     |               |           |
|          |               |                            | <b>F</b> 9.  | 70               | 40526     |               |           |
|          |               |                            | 5%           | -78.4            |           |               |           |
|          |               |                            | 18<br>09 Min | -102.4<br>-102.4 |           |               |           |
|          |               |                            | UT MIII      | -102.4           | *2420     |               |           |
|          |               |                            | Extreme      | Observati        | ons       |               |           |
|          |               | Low                        | est          |                  | Highest   |               |           |
|          |               | Value                      | Obs          |                  | Value     | Obs           |           |
|          |               | -102.4543                  | 33           | 5                | 8.1096    | 8             |           |
|          |               | -89.4552                   | 33<br>46     | 8                | 0.8969    | 38            |           |
| 1        |               | -78.4854                   | 42           |                  | 7.4169    | 13            |           |
|          |               | -77.3921                   |              | 12               | 9.0899    | 7             |           |
|          |               | -63.8590                   |              |                  | 0.3476    | 22            |           |
|          |               |                            | The S        | GAS System       | 17        | 7:38 Thursday | , October |
| 26, 2006 | 94            |                            |              |                  |           |               |           |
|          |               |                            | The REG      | G Procedur       | e         |               |           |
|          |               |                            | Mode         | 1: MODEL1        |           |               |           |
|          |               | Dej                        | pendent Va   | riable: Eq       | la Eqla   |               |           |
|          |               | Mumber                     | of Observat  | tions Dood       |           | 10            |           |
|          |               |                            | of Observat  |                  |           | 46<br>46      |           |
|          |               | Nullber                    | or observat  | 10015 USEd       |           | 40            |           |
|          |               |                            | Analysi      | s of Varia       | nce       |               |           |
|          |               |                            | 5            | Sum of           | Mea       | an            |           |
| 1        | Source        | D                          |              | quares           | Squai     |               | Pr >      |
| F        |               | -                          |              | ▲ · <del>-</del> |           |               |           |
|          |               |                            |              |                  |           |               |           |
| ļ        | Model         |                            | 2 1:         | 164722           | 58236     | 51 56.87      |           |
| <.0001   |               |                            |              |                  |           |               |           |
|          | Error         | 4                          |              | 440301           | 1024      | 40            |           |
|          | Corrected Tot | tal 4                      | 5 10         | 605023           |           |               |           |
|          |               | Boot MCT                   | 1.0.1        | 10000            | D. Com/   | 0 2052        |           |
|          |               | Root MSE                   |              |                  | R-Square  | 0.7257        |           |
|          |               | Dependent Mea<br>Coeff Var |              |                  | Adj R-Sq  | 0.7129        |           |
| L        |               | COELL VAL                  | 26           | .39358           |           | <u>.</u>      |           |

Figure B.2 continued.....

|                     | · · · · · · · · · · · · · · · · · · · | ·        |        | D                    | Datingt                     |             |             |                |
|---------------------|---------------------------------------|----------|--------|----------------------|-----------------------------|-------------|-------------|----------------|
|                     |                                       |          |        | Paramet<br>Parameter | ter Estimate<br>Standard    |             |             |                |
| Variab<br>Confidenc |                                       |          | DF     | Estimate             |                             |             | Pr >  t     | 95%            |
| Interd              | ept Int                               | ercept   | 1      | 9859.40436           | 1677.56832                  | 2 5.88      | <.0001      | 6476.26542     |
| 13243<br>YB         | Yea                                   | rBuilt   | 1      | -5.02401             | 0.85023                     | -5.91       | <.0001      | -6.73866       |
| -3.30936<br>Area    | Are                                   | a        | 1      | 0.21172              | 0.02367                     | 8.95        | <.0001      | 0.16399        |
| 0.25944             |                                       |          |        | The SAS Syste        | em 17                       | 7:38 Thurso | day, Octobe | er 26, 2006 95 |
|                     |                                       |          |        |                      | The REG Pro                 | cedure      |             |                |
|                     |                                       |          |        |                      | Model: MC                   | DEL1        |             |                |
|                     |                                       |          |        | Depend               | lent Variabl<br>Output Stat |             | qla         |                |
| 1                   | Depender                              | nt Predi | cted   | Std Error            | -                           |             |             |                |
| Obs<br>Residual     | Variabl<br>RStuder                    |          | /alue  | Mean Predict         | 95% CL                      | Mean        | 95% CL 1    | Predict        |
| 1                   | 571.000<br>0.5814                     |          | 2364   | 49.3422              | 419.7284                    | 618.7444    | 292.1976    | 746.2751       |
| 2                   |                                       | 0 356.   | 4694   | 16.1462              | 323.9075                    | 389.0312    | 149.8174    | 563.1213 -     |
| 31.1576             |                                       | 0 438.   | 1576   | 15.9748              | 405.9413                    | 470.3740    | 231.5598    | 644.7554 -     |
| 4                   | 722.000                               | 0 838.   | 4395   | 47.8534              | 741.9340                    | 934.9449    | 612.7005    | 1064 -         |
| 5                   | 169.000                               | 0 147.   | 1570   | 26.7838              | 93.1424                     | 201.1716    | -63.9409    | 358.2550       |
| 21.8430             | 0.2214                                | 0 119.   | .0730  | 28.9577              | 60.6742                     | 177.4718    | -93.1891    | 331.3350       |
| 64.9270<br>7        | 0.6653<br>747.000                     |          | . 7894 | 27.6025              | 478.1236                    | 589.4551    | 322.2629    | 745.3158       |
| 213.2106            | 2.296<br>447.000                      |          | .4807  | 15.3835              | 312.4568                    | 374.5045    | 137.0655    | 549.8959       |
| 103.5193<br>9       | 1.039<br>124.000                      |          | .6771  | 26.5621              | 98.1095                     | 205.2448    | -59.3069    | 362.6611 -     |
| 27.6771             | -0.2804<br>407.000                    |          | . 2895 | 17.3993              | 348.2005                    | 418.3786    | 176.2243    | 590.3547       |
| 23.7105             |                                       | 00 680   | .1185  | 47.4805              | 584.3649                    | 775.8720    | 454.7000    | 905.5370 -     |
| 64.1185<br>12       | -0.7134<br>177.000                    |          | .2721  | 23.3494              | 147.1836                    | 241.3607    | -15.1606    | 403.7049 -     |
| 17.2721<br>13       | -0.1734<br>669.000                    | 0 488    | .4316  | 18.2221              | 451.6831                    | 525.1800    | 281.0787    | 695.7844       |
| 180.5684<br>14      | 1.869<br>697.000                      |          | .2701  | 37.8180              | 551.0028                    | 703.5375    | 409.4136    | 845.1266       |
| 69.7299<br>15       | 0.7390<br>414.000                     |          | .9713  | 15.9856              | 411.7333                    | 476.2094    | 237.3702    | 650.5725 -     |
| 29.9713<br>16       | -0.2968<br>267.000                    |          | .4904  | 23.4874              | 189.1234                    | 283.8573    | 26.9948     | 445.9859       |
| 30.5096<br>17       | 0.306'<br>157.00(                     | 7        | .7761  |                      |                             | 241.8804    | -14.6603    | 404.2124 -     |
| 37.7761             | -0.3798<br>241.000                    | 3        | .4619  |                      |                             | 205.0179    | -64.5698    | 359.4936       |
| 93.5381             | 0.962                                 | 7        | . 9688 |                      |                             | 280.7809    | 31.8573     | 448.0803 -     |
| 55.9688             | -0.560                                | )        | . 4003 |                      |                             | 415.8545    | 179.0699    | 591.7306 -     |
| 56.4003             | -0.5592                               | 2        | . 2734 |                      |                             |             | 189.2092    | 611.3376 -     |
| 49.2734             | -0.5004                               | 1        | .9656  |                      |                             | 498.2559    |             | 658.9044       |
| 317.0344<br>23      | 3.66                                  | 50       | . 6932 |                      |                             | 498.2559    |             | 597.0436       |
| 15.3068             | 0.151                                 |          | .0732  | T2'T083              | 200.1033                    | 461.603V    | 104.3420    |                |

| 24             | 200 0000            | 210 4929 | 17 4390       | 201 2127                 | 264 6619            | 112 4040    | 526 5615    |       |
|----------------|---------------------|----------|---------------|--------------------------|---------------------|-------------|-------------|-------|
| 24<br>110.4828 | 209.0000<br>-1.1114 | 319.4828 | 17.4390       | 204.313/                 | 354.6518            | 112.4040    | 526.5615    | -     |
| 25<br>14.2576  | 338.0000<br>0.1410  | 323.7424 | 15.9389       | 291.5985                 | 355.8864            | 117.1559    | 530.3290    |       |
| 1              |                     | 356.8018 | 15.5193       | 325.5042                 | 388.0994            | 150.3453    | 563.2583    | -     |
|                |                     | 404.8591 | 20.3692       | 363.7807                 | 445.9375            | 196.6953    | 613.0230 -  |       |
| 28             | 324.0000            | 439.4830 | 15.8291       | 407.5605                 | 471.4054            | 232.9308    | 646.0351 -  |       |
| 115.4830<br>29 |                     | 175.3321 | 24.8413       | 125.2349                 | 225.4293            | -34.7976    | 385.4618    |       |
| 82.6679        |                     | 176.7505 | 28.1547       | 119.9713                 | 233.5298            | -35.0717    | 388.5727    | -     |
| 20.7505<br>31  |                     | 156.1867 | 26.8218       | 102.0954                 | 210.2780            | -54.9308    | 367.3043    |       |
| 1.8133<br>32   |                     | 485.8084 | 18.8651       | 447.7633                 | 523.8534            | 278.2218    | 693.3949    | -     |
|                |                     | 475.7053 | 19.8623       | 435.6492                 | 515.7614            | 267.7408    | 683.6698 -  |       |
| 175.7053<br>34 |                     | 509.7238 | 19.5290       | 470.3398                 | 549.1078            | 301.8877    | 717.5599 -  |       |
| 133.7238<br>35 | -1.3601<br>775.0000 | 661.8668 | 42.1261       | 576.9115                 | 746.8222            | 440.8189    | 882.9147    |       |
| 113.1332<br>36 | 1.2372<br>619.0000  | 549.4799 | 44.0126       | 460.7200                 | 638.2398            | 326.9421    | 772.0177    |       |
| 69.5201<br>37  | 0.7592<br>597.0000  | 486.5261 | 18.1040       | 450.0160                 | 523.0363            | 279.2154    | 693.8369    |       |
| 110.4739<br>38 | 1.1127<br>594.0000  | 480.6955 | 17.5185       | 445.3660                 | 516.0249            | 273.5894    | 687.8016    |       |
| 113.3045<br>39 | 1.1409<br>262.0000  | 291.8857 | 21.8743       | 247.7719                 | 335.9995            | 83.1016     | 500.6698    | -     |
| 29.8857<br>40  | -0.2993<br>523.0000 | 460.4385 | 23.0487       | 413.9563                 | 506.9206            | 251.1412    | 669.7357    |       |
| 62.5615<br>41  | 0.6305              | 422.9882 | 16.7474       | 389.2138                 | 456.7626            | 216.1417    | 629.8347    |       |
| 28.0118        | 0.2777 313.0000     | 455.2938 | 30.5873       | 393.6086                 | 516.9789            | 242.1041    | 668.4834 -  |       |
| 142.2938<br>43 | -1.4963<br>344.0000 | 392.3233 | 19.7296       | 352.5347                 | 432.1119            | 184.4102    | 600.2365    | -     |
| 48.3233        | -0.4825<br>271.0000 | 378.6995 | 16.4800       | 345.4643                 | 411.9346            | 171.9404    | 585.4586 -  |       |
| 107.6995<br>45 | -1.0808<br>119.0000 | 128.7716 | 28.5846       | 71.1253                  | 186.4179            | -83.2846    | 340.8279    | -     |
| 9.7716 -       | -0.0995             |          | The SAS Syste | em :                     | 17:38 Thur:         | sday, Octol | ber 26, 200 | )6 96 |
|                |                     |          | -             | The REG Pro              | ocedure             | .,          | _ ,         |       |
|                |                     |          | Depende       | Model: Mo<br>ent Variabi | ODEL1<br>le: Eqla E | qla         |             |       |
|                |                     |          | -             | Output Sta               | atistics            | -           |             |       |
|                | Obs                 | Hat Diag | Cov<br>Ratio  | DFFIT                    |                     |             | BETAS<br>YB |       |
| Area           |                     | - ••     |               | 21121                    |                     |             |             |       |
| 0.0919         | 1                   | 0.2378   | 1.3744        | 0.324                    | 7 0.3               | 2980 -      | 0.2945      | -     |
| 0.0033         | 2                   | 0.0255   | 1.0915        | -0.056                   | 8 0.                | 0210 -      | 0.0214      | -     |
| 0.0401         | 3                   | 3 0.0249 | 1.0621        | -0.113                   | 2 -0.               | 0052        | 0.0054      | -     |
| 0.4023         | 4                   | 0.2236   | 1.2242        | -0.706                   | 9 -0.               | 5326        | 0.5424      | -     |
| 0.0447         | 5                   | 5 0.0701 | 1.1500        | 0.060                    | 8 -0.               | 0224        | 0.0240      | -     |
| 0.1377         | e                   | 5 0.0819 | 1.1327        | 0.198                    | 7 -0.               | 0968        | 0.1018      | -     |
| 0.5159         | 7                   | 7 0.0744 | 0.8131        | 0.651                    | 1 -0.               | 1898        | 0.1780      |       |
| L              |                     | -        |               |                          |                     |             |             |       |

| r      | ~  | 0.0003 | 1 0105 | 0 1500  |         |         |   |
|--------|----|--------|--------|---------|---------|---------|---|
| 0.0338 | 8  | 0.0231 | 1.0185 | 0.1593  | -0.0172 | 0.0195  | - |
| 0.0472 | 9  | 0.0689 | 1.1461 | -0.0763 | 0.0407  | -0.0425 |   |
| 0.0115 | 10 | 0.0296 | 1.1015 | 0.0411  | 0.0182  | -0.0176 | - |
| 0.3405 | 11 | 0.2202 | 1.3273 | -0.3791 | 0.1215  | -0.1126 | - |
| 0.0235 | 12 | 0.0532 | 1.1311 | -0.0411 | 0.0206  | -0.0215 |   |
| 0.1909 | 13 | 0.0324 | 0.8734 | 0.3416  | 0.0442  | -0.0472 |   |
| 0.2632 | 14 | 0.1397 | 1.1999 | 0.2978  | -0.0783 | 0.0718  |   |
| 0.0155 | 15 | 0.0250 | 1.0937 | -0.0475 | -0.0073 | 0.0073  | - |
|        | 16 | 0.0539 | 1.1267 | 0.0732  | 0.0089  | -0.0068 | - |
| 0.0560 | 17 | 0.0533 | 1.1219 | -0.0901 | 0.0252  | -0.0275 |   |
| 0.0639 | 18 | 0.0795 | 1.0920 | 0.2830  | -0.0297 | 0.0379  | - |
| 0.2387 | 19 | 0.0400 | 1.0932 | -0.1143 | 0.0276  | -0.0305 |   |
| 0.0713 | 20 | 0.0223 | 1.0734 | -0.0844 | 0.0097  | -0.0102 | - |
| 0.0080 | 21 | 0.0697 | 1.1332 | -0.1370 | -0.1011 | 0.0989  |   |
| 0.0549 | 22 | 0.0583 | 0.4955 | 0.9125  | -0.4548 | 0.4434  |   |
| 0.5657 | 23 | 0.0225 | 1.0960 | 0.0229  | -0.0026 | 0.0028  |   |
| 0.0030 | 24 | 0.0297 | 1.0139 | -0.1945 | -0.0300 | 0.0257  |   |
| 0.0970 | 25 | 0.0248 | 1.0989 | 0.0225  | -0.0035 | 0.0039  | _ |
| 0.0069 | 26 | 0.0235 | 1.0769 | -0.0828 | -0.0094 | 0.0080  |   |
| 0.0212 | 27 | 0.0405 | 1.0350 | -0.2156 | -0.1359 | 0.1327  |   |
| 0.0612 | 28 | 0.0245 | 1.0007 | -0.1837 | -0.0285 | 0.0285  | _ |
| 0.0547 | 29 | 0.0603 | 1.0863 | 0.2127  | -0.1144 | 0.1191  | - |
| 0.1227 | 30 | 0.0774 | 1.1595 | -0.0612 | 0.0477  | -0.0485 |   |
| 0.0188 | 31 | 0.0703 |        | 0.0050  |         |         |   |
| 0.0040 |    |        | 1.1542 |         | -0.0011 | 0.0012  |   |
| 0.0916 | 32 | 0.0348 | 1.0638 | -0.1498 | 0.0017  |         | - |
| 0.2312 | 33 | 0.0385 | 0.8893 | -0.3639 | 0.0666  | -0.0623 | - |
| 0.1040 | 34 | 0.0372 | 0.9795 | -0.2675 | -0.1377 | 0.1389  | - |
| 0.0785 | 35 | 0.1733 | 1.1659 | 0.5665  | 0.5242  | -0.5248 |   |
| 0.0652 | 36 | 0.1892 | 1.2705 | 0.3667  | 0.3410  | -0.3380 | - |
| 0.1114 | 37 | 0.0320 | 1.0161 | 0.2023  | 0.0264  | -0.0281 |   |
| 0.0940 | 38 | 0.0300 | 1.0095 | 0.2005  | 0.0468  | -0.0480 |   |
| 0.0441 | 39 | 0.0467 | 1.1186 | -0.0663 | -0.0213 | 0.0196  |   |
| 0.0946 | 40 | 0.0519 | 1.1003 | 0.1475  | -0.0616 | 0.0597  |   |

| 0.0187     | 41                   | 0.0274  | 1.0973                                      | 0.0466  | -0.0098   | 0.0097                                     |         |
|------------|----------------------|---|---|---|---|--|---------|
| 0.3059     | 42                   | 0.0914  | 1.0107                                      | -0.4745   | 0.2825  | -0.2757                                    | -       |
| 0.3039     | 43                   | 0.0380  | 1 0072                                      | 0.0050  | 0 0500  | 0.0400                                     |         |
| 0.0375     | 43                   |   | 1.0972                                      | -0.0959   | 0.0503  | -0.0499                                    | -       |
| 0.0372     | 44                   | 0.0265  | 1.0153                                      | -0.1784   | 0.0652  | -0.0656                                    | -       |
| 0.0178     | 45                   | 0.0798  | 1.1654                                      | -0.0293   | 0.0171  | -0.0178                                    |         |
| 26, 2006 9 | 97                   |   |   | The SAS Syste                                     | em 17   | :38 Thursday,                              | October |
|            |                      |   |   | he REG Procedu<br>Model: MODEL:<br>nt Variable: 1 | L   |  |         |
|            |                      |   | o   | utput Statist:                                    | ics   |  |         |
| De         | ependent Pre         | edicted   | Std Error                                   |   |   |  |         |
|            | /ariable             |   |   | 95% CL Mean                                       | n 95%   | CL Predict                                 |         |
|            | 05.0000 39<br>0.8904 | 94.2923   | 15.2270                                     | 363.5841 425                                      | .0005 187.9   | 243 600.6603                               | -       |
|            |                      |   |   | Output Statis                                     | cics  |  |         |
|            | I                    | Hat Diag  | Cov   |   |   | DFBETAS                                    |         |
| -          | Obs                  | н   | Ratio                                       | DFFITS  | Intercept   | YB   |         |
| Area       |                      |   |   |   |   |  |         |
| 0.0215     | 46                   | 0.0226  | 1.0381                                      | -0.1355   | 0.0156  | -0.0162                                    | -       |
|            |                      | Sun   | n of Residua<br>n of Squared<br>dicted Resi |   | S) 5  | 0<br>40301<br>06811<br>:38 Thursday,       | October |
| 26, 2006 9 | 98                   |   |   |   |   | -  |         |
|            |                      |   |   | UNIVARIATE Pro<br>E: RESIDUALS                    |   |  |         |
|            |                      |   |   | Moments   |   |  |         |
|            | Sko<br>Uno           | an<br>d Deviatior<br>ewness<br>corrected S<br>eff Variati | 0.84<br>S 44030                             | 0 Sum<br>64464 Vari<br>76179 Kurt<br>0.852 Corr   | Weights<br>Observations<br>ance<br>osis<br>ected SS<br>Error Mean |  | 3<br>2  |
|            |                      |   | Basic                                       | Statistical                                       | Measures  |  |         |
|            |                      | Loca  | ition                                       |   | Variability   |  |         |
|            |                      | Mean<br>Median<br>Mode                                    | 0.0000<br>-19.0113                          | Std Deviat<br>Variance<br>Range<br>Interquart     |   | 98.91645<br>9784<br>492.73969<br>129.04549 |         |

| Tests   | for Locatio | on: Mu0=0                           |   |                |
|---|-------------|-------------------------------------|---|----------------|
| Test  | -Statis     | tic                                 | p Value                                   |                |
| Student la t  | +           | 0 D~                                | . (+) 1 00                                | 0.0            |
| Student's t   | L<br>M      | 0 Pr                                | >   C   1.00                              | 00<br>87       |
| Signed Bank   | M -         | -2 PI<br>425 Pr                     | t  1.00<br>>= $ M $ 0.65<br>>= $ S $ 0.64 | 75             |
|   | 5 -         | 42.J FI                             | - 151 0.04                                | 15             |
|   | Tests fo    | r Normality                         |   |                |
| Test  |             |                                     | p Valu                                    |                |
| Shapiro-Wilk  | W           | 0.958064                            | Pr < W                                    | 0.0964         |
| Kolmogorov-Smirne   | ov D        | 0.091047                            | Pr > D                                    | >0.1500        |
| Cramer-von Mises  | W-Sq        | 0.063547                            | Pr > W-Sq                                 | >0.2500        |
| Shapiro-Wilk<br>Kolmogorov-Smirno<br>Cramer-von Mises<br>Anderson-Darling | A-Sq        | 0.444115                            | Pr > A-Sq                                 | >0.2500        |
|   | Quantiles   | (Definition                         | 5)  |                |
|   | Quantile    | Estima                              | te  |                |
|   | 1009 May    | 317.03                              | A A                                       |                |
|   | 99%         | 317.03                              |   |                |
|   |             |                                     | ~ .                                       |                |
|   | 90%         | 180.56<br>113.13<br>64.92<br>-19.01 | 32  |                |
|   | 75% 03      | 64.92                               | 70  |                |
|   | 50% Median  | -19.01                              | 13  |                |
|   | 25% Q1      |                                     |   |                |
|   | 10%         | -115.48                             |   |                |
| The SAS :   |             |                                     |   | er 26, 2006 99 |
| Var   |             | IATE Proced                         |   |                |
| Val   |             |                                     |   |                |
|   | Quantiles   | (Definition                         | 5)  |                |
|   | Quantile    | Estima                              | te  |                |
|   | 5%          | -133.72                             | 38  |                |
|   | 18          | -175.70                             |   |                |
|   | 0% Min      | -175.70                             | 53  |                |
|   |             |                                     |   |                |
|   | Extreme     | Observation                         | S   |                |
| Lo  | west        |                                     | Highest                                   |                |
| Value   | Obs         | Va                                  | lue Obs                                   |                |
| -175.705  | 33          | 113.                                | 133 35                                    |                |
| -142.294  | 42          | 113.                                |   |                |
| -133.724  | 34          | 180.                                |   |                |
| -116.439  | 4           | 213.                                |   |                |
| -115.483  | 28          | 317.                                | 034 22                                    | <u></u>        |

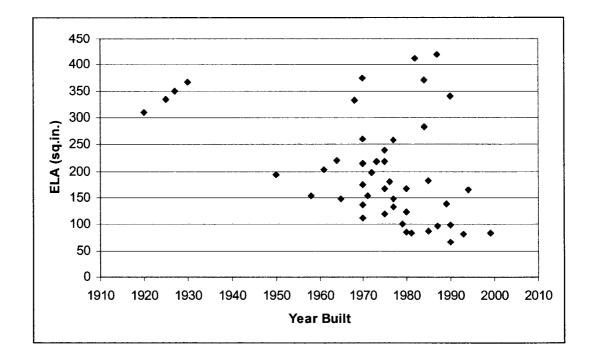


Figure B.3 Plot of Year Built vs. ELA.

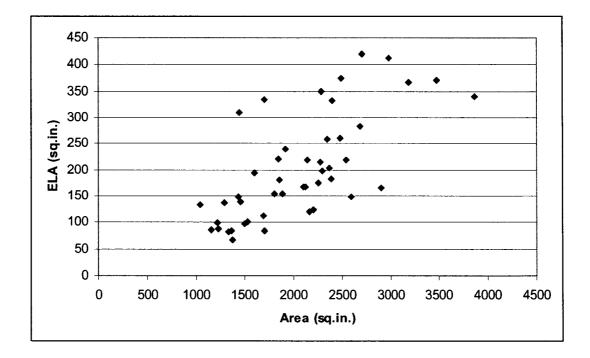


Figure B.4 Plot of Area vs. ELA.

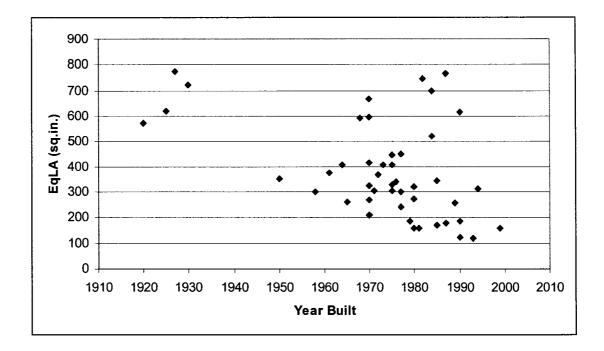


Figure B.5 Plot of Year Built vs. EqLA.

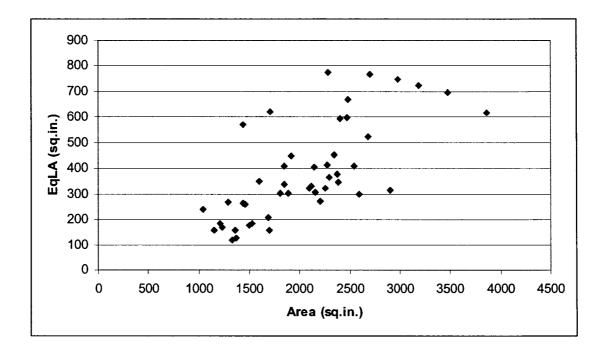


Figure B.6 Plot of Area vs. EqLA.

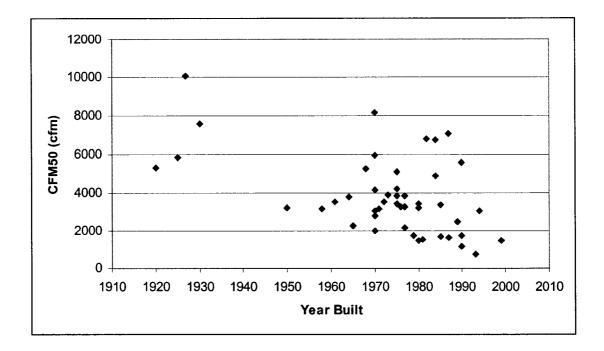


Figure B.7 Plot of Year Built vs. CFM50.

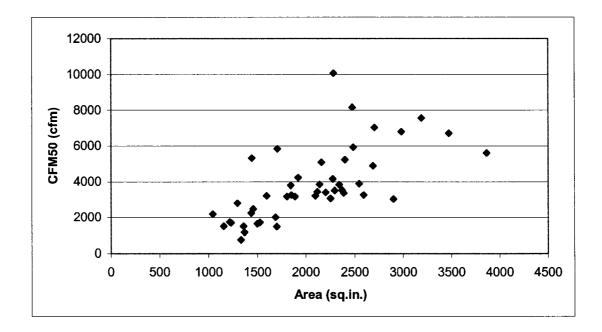


Figure B.8 Plot of Area vs. CFM50.

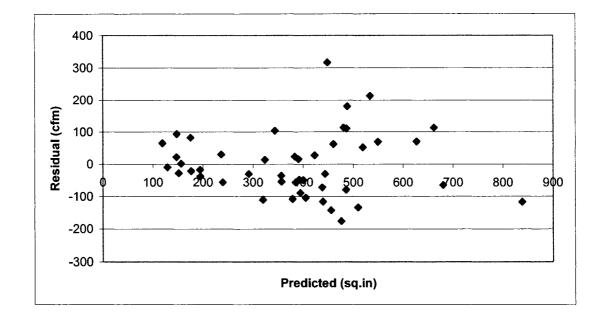


Figure B.9 Plot of predicted vs. residual for ELA.

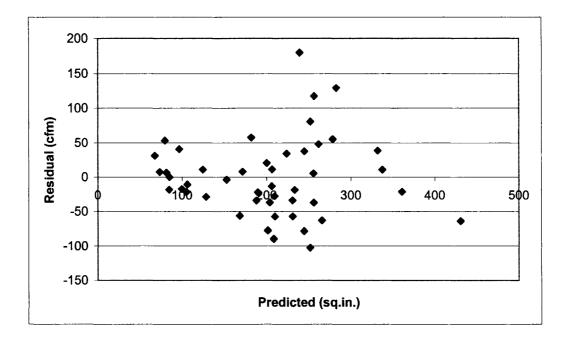


Figure B.10 Plot of predicted vs. residual for EqLA.

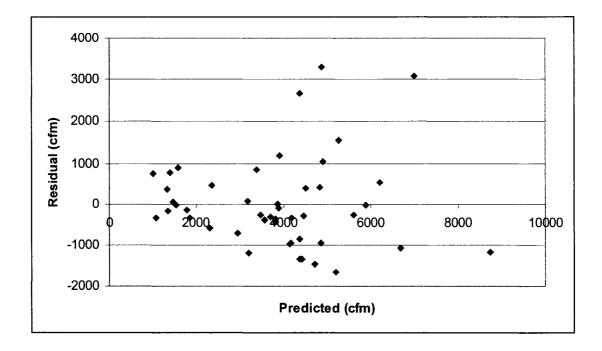


Figure B.11 Plot of predicted vs. residual for CFM50.

| •        | MMENTS |                            | NAME                                    | DATE MODIFIEI         |
|----------|--------|----------------------------|---|-----------------------|
| Init     | ial Ve | rsion Ji                   | nson Erinjeri                           | 10/12/2006            |
| Clus     | ter An | alysis of year built       | t, area and cfm50                       |                       |
| /****    | *****  | ******                     | * | ********************* |
|          |        |                            |   |                       |
| lata     | comple | te;                        |   |                       |
| input    | : test | year area cfm50;           |   |                       |
| cards    | 3;     |                            |   |                       |
| 1        | 1920   | 1445 5318                  |   |                       |
| 2        | 1980   | 2100 3212.1                |   |                       |
| 3        | 1972   | 2296 3512.2                |   |                       |
| 4        | 1930   | 3190 7579.7                |   |                       |
| 5        | 1985   | 1230 1697.6                |   |                       |
| 6        | 1990   | 1216 1744.6                |   |                       |
| 8        | 1982   | 2985 6812.1                |   |                       |
| 11       |        | 1920 4233.3                |   |                       |
| 12       |        | 1370 1156.2                |   |                       |
| 13       |        | 1847 3790                  |   |                       |
| 14       |        | 3866 5602.1                |   |                       |
| 16       |        | 1500 1651.4                |   |                       |
| 18       |        | 2486 5927.8                |   |                       |
| 19       |        | 3474 6723.2                |   |                       |
| 20       |        | 2276 4160.8                |   |                       |
| 21       |        | 1296 2798.4                |   |                       |
| 26       |        | 1360 1506.3                |   |                       |
| 27       |        | 1041.6 2177.2              |   |                       |
| 28       |        | 1526 1723.2                |   |                       |
| 29       |        | 2118 3443.9                |   |                       |
| 30       |        | 1595 3201.6                |   |                       |
| 31       |        | 2703 7033.8                |   |                       |
| 33       |        | 2143 3855.6                |   |                       |
| 34       |        | 1688 2003                  |   |                       |
| 35<br>36 |        | 1850.5 3263.8<br>1888 3168 |   |                       |
| 37       |        | 1806.5 3163.6              |   |                       |
| 38       |        | 2254.8 3066                |   |                       |
| 39       | 1970   |                            |   |                       |
| 40       |        | 1458 2468.4<br>1702 1495   |   |                       |
| 40       | 1999   |                            |   |                       |
| 43       | 1973   |                            |   |                       |
| 44       | 1977   | 2592 3258.2                |   |                       |
| 45       | 1961   | 2373 3524.8                |   |                       |
| 46       | 1927   | 2284.8 10057.4             |   |                       |
| 47       | 1925   | 1706.5 5846.7              |   |                       |
| 48       | 1920   | 2477 8173.4                |   |                       |
| 51       | 1968   | 2402 5237.4                |   |                       |
| 52       | 1965   | 1439 2242.5                |   |                       |
| 53       | 1984   |                            |   |                       |
| 54       | 1977   |                            |   |                       |
| 55       | 1994   |                            |   |                       |
| 56       | 1985   |                            |   |                       |
| 57       | 1980   | 2205 3396                  |   |                       |

Figure B.12 SAS program for cluster analysis.

```
58
      1993
            1333
                  747
59
      1975
           2160
                  5087
60
      2004
           1648
                  2110
61
      1970
           2250
                  7332
62
      1997
            1789
                  1876
63
      1995
           2300
                  2808
64
      2001
           2458 2915
65
      1994
           2100 2526
66
      1995
           2275 2226
67
     1955
           2200 5432
            2143 3339
68
      1990
      1985
69
            1600
                  2072
70
      1957
            1550
                 2664
71
      1988
            2500
                 4087
72
      2000
            2800
                  1803
73
      1975
            2070
                  5499
74
      1970
           2200
                 3015
75
      2004
           2200
                 2502
76
      1972 1700 5889
77
      1983
           1350 2155
78
      1995 2200 2447
79
      1991 1950 2997
2
      1930 1458 12060.4
3
      1905 3871 12305.8
      1985 1973 2470.8
4
5
      1900
            1458
                 4825.9
6
            2266
      1980
                  3230.3
7
      1905
            2197
                  7542.2
8
      1915
            2193
                  7250.4
9
      1940
            3570
                  11740.2
10
      1990
            4148 8093.6
11
      1930
            535
                  2915.4
12
      1930
            856
                  12305.8
15
      1930
            1630 12558.4
            1418 2707.3
16
      1975
17
      1970
            816
                  809.3
19
      1953
            2959
                 4696.1
20
            1546
      1980
                  4482.6
22
      1940
            1437
                  2104.3
proc standard data=complete mean=0 std=1 out=standarddata;
      var year area cfm50;
run;
proc princomp data=standarddata out=scrs;
      var year area cfm50;
proc plot data=scrs;
     plot prin2*prin1='*'/vaxis=-4 to 4 by 2 haxis=-4 to 4 by 2
      vpos=35 hpos=60;
run;
proc fastclus data=standarddata out=cluster1 maxclusters=3
random=2342901 maxiter=3;
      var year area cfm50;
run;
```

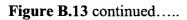
Figure B.12 continued.....

```
proc princomp data=cluster1 out=scrs1;
      var year area cfm50;
proc plot data=scrs1;
      plot prin2*prin1=cluster /vaxis=-4 to 4 by 2 haxis=-4 to 4 by 2
      vpos=35 hpos=60;
run;
/*To compute Beale's Statistic*/
proc means uss data=cluster1;
      var distance;
run;
proc sort data=cluster1;
      by test;
run;
proc sort data=complete;
      by test;
run;
            /* To obtain the mean values as in the original data set*/
data avg;
      merge cluster1 complete;
      by test;
run;
proc sort data=avg;
      by cluster;
run;
proc means data=avg;
      var year area cfm50;
      by cluster;
run;
```

| <u></u>                                       |              |                         |                         |                  |                                       |   |
|---|--------------|-------------------------|-------------------------|------------------|---------------------------------------|---|
|   | The SAS      | System                  | 06:08                   | Sunday, Oc       | tober 29, 2006                        | 1 |
|   |              | The PRINC               | OMP Procedur            | е                |                                       |   |
|   |              |                         |                         | <b>6</b> -2      |                                       |   |
|   |              | Observatio<br>Variables | ns                      | 83<br>3          |                                       |   |
|   |              |                         |                         | -                |                                       |   |
|   |              | Simple                  | Statistics              |                  |                                       |   |
|   |              | armbre                  | Statistics              |                  |                                       |   |
|   |              | year                    | are                     | a                | cfm50                                 |   |
| Mean  | 0.000        | 000000                  | 0.0000000               | 0 0.             | 00000000                              |   |
| StD   |              | 000000                  | 1.0000000               |                  | 000000000                             |   |
|   |              |                         |                         |                  |                                       |   |
|   |              |                         |                         |                  |                                       |   |
|   |              | Correlat                | ion Matrix              |                  |                                       |   |
|   |              | year                    | area                    | cfm50            | 1                                     |   |
|   |              | -                       |                         |                  |                                       |   |
|   | year<br>area | 1.0000<br>0.0615        | 0.0615<br>1.0000        | 6144<br>0.4160   |                                       |   |
|   | cfm50        | 6144                    | 0.4160                  | 1.0000           |                                       |   |
|   |              |                         |                         |                  |                                       |   |
|   |              |                         |                         |                  |                                       |   |
|   | Eigen        | values of t             | he Correlati            | on Matrix        |                                       |   |
|   | Eigenvalu    | o Diffor                |                         | ortion           | Cumulativo                            |   |
|   | Eigenvalu    | e Differ                | ence Prop               | ortion           | Cumulative                            |   |
| 1   | 1.7144244    |                         |                         | 0.5715           | 0.5715                                |   |
| 23  | 1.0570257    |                         | 7597                    | 0.3523<br>0.0762 | 0.9238<br>1.0000                      |   |
|   | 0.2203490    | •                       |                         | 0.0702           | 1.0000                                |   |
|   |              | Eigen                   | vectors                 |                  |                                       |   |
|   |              | Prinl                   | Prin2                   | Pr               | in3                                   |   |
|   |              |                         |                         |                  |                                       |   |
|   | -            | 587654<br>0.368800      | 0.558395<br>0.828991    |                  |                                       |   |
|   |              | 0.720173                | 0.031118                | 0.693            |                                       |   |
|   |              | 0                       | 05.00                   | a                |                                       | - |
|   | The SAS      | System                  | 06:08                   | Sunday, Oc       | tober 29, 2006                        | 3 |
| _   |              |                         | LUS Procedur            |                  |                                       |   |
| Replace                                       | =FULL Radi   | us=0 Maxcl              | usters=3 Max            | titer=3 Co       | onverge=0.02                          |   |
|   |              | Initi                   | al Seeds                |                  |                                       |   |
| Cluster                                       |              | 11027                   |                         |                  | af                                    |   |
|   |              | year                    | ai                      | rea              | cfm50                                 |   |
| 1   |              | 6055009                 | -1.7355017              |                  | 2.895507852                           |   |
| 23  |              | 6068873<br>4062974      | -1.0427498<br>3.0455029 |                  | 262840225<br>380137149                |   |
| 3   | 0.80         | 30022/14                | 5.0455025               |                  |                                       |   |
|   |              | <b>-</b> -              |                         | <b>.</b>         |                                       |   |
| M   | unımum Dist  | ance Betwee             | n Initial Se            | eds = 4.86       | 9705                                  |   |
|   |              | Iterat                  | ion History             |                  |                                       |   |
|   |              | a                       | elative Char            | de in Cluc       | ter Seeds                             |   |
| Itera   | tion Cri     | terion                  | 1                       | 2 2 III CIUS     | 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 |   |
|   |              | 1.0567                  | 0.3770                  | 0.2630           | 0.3522                                |   |
|   |              | 0.6622<br>0.6590        | 0.0552<br>0             | 0.0125<br>0      | 0.0209<br>0                           |   |
| ۰ <u>۰</u> ۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰ |              |                         |                         |                  |                                       |   |

Figure B.13 SAS output for cluster analysis.

| Convergence crite                                    | erion is sat              | isfied.                       |                            |                               |                                  |   |         |
|--|---------------------------|-------------------------------|----------------------------|-------------------------------|----------------------------------|---|---------|
|  |                           | Criterion                     | Based on Fi                | nal Seed                      | s = 0.659                        | 90  |         |
|  |                           |                               | Cluster                    | Summary                       |                                  |   |         |
|  |                           | RMS Std                       | Maximum Dis<br>from        |                               | Radius                           | Nearest                                   |         |
| Distance Between<br>Cluster Fre<br>Cluster Centroids |                           | eviation                      | to Observ                  | ation                         | Exceeded                         | Cluster                                   |         |
| 1  | 10                        | 0.9042                        | 2                          | .4190                         |                                  | 3   |         |
| 2.8998   | 61                        | 0.5596                        | 1                          | .8083                         |                                  | 3   |         |
| 2.5636<br>3<br>2.5636                                | 12                        | 0.9484                        | 3                          | .1050                         |                                  | 2   |         |
|  |                           | s                             | Statistics f               | or Varia                      | bles                             |   |         |
|  | Variable                  | Total STI<br>year             |                            | STD<br>1.00000                |                                  | RSQ/(1-RS                                 |         |
| 1.487277   | area<br>cfm50<br>OVER-ALL | 1.00000<br>1.00000<br>1.00000 | 0.63                       | 546<br>127<br>119             | 0.472286<br>0.611222<br>0.560487 |   | 60      |
| 29, 2006 4   |                           | Pseu                          | ndo F Statis<br>The SAS    | tic =<br>System               |                                  | 5:08 Sunday,                              | October |
|  | Replace=                  | FULL Radius                   | The FASTCLU<br>=0 Maxclus  |                               |                                  | Converge=0.02                             | 2       |
|  | App                       | roximate Exp                  | pected Over-               | All R-Sq                      | uared = (                        | 0.47006                                   |         |
|  |                           | Cubic Cl                      | lustering Cr               | iterion                       | = 4.206                          |   |         |
|  | WARNING: Th               | e two values                  | above are                  | invalid                       | for correla                      | ated variable                             | es.     |
|  |                           |                               | Cluster                    | Means                         |                                  |   |         |
|  | Cluster                   |                               | year                       |                               | area                             | cfm50                                     |         |
|  | 1<br>2                    | -1.9939<br>0.374              | 938979<br>708881           | -0.68935<br>-0.20523          |                                  | 1.370949444<br>-0.466148561               |         |
|  | 3                         | -0.2433                       | 154327                     | 1.61776                       | 1107                             | 1.227130650                               |         |
|  |                           | Clu                           | ıster Standa               | rd Devia                      | tions                            |   |         |
|  | Cluster                   |                               | year                       |                               | area                             | cfm50                                     |         |
|  | 1<br>2<br>3               | 0.5450                        | 566322<br>046910<br>917200 | 0.82946<br>0.68387<br>0.90550 | 5529<br>1775                     | 1.249229775<br>0.417984165<br>0.817534890 |         |
| 29, 2006 5   |                           |                               | The SAS                    | System                        | 00                               | 6:08 Sunday,                              | occoper |



|          |          |             |  | NCOMP Proc                 | equie                            |                            |   |        |
|----------|----------|-------------|--|----------------------------|----------------------------------|----------------------------|---|--------|
|          |          |             |  | oservations<br>ariables    | 5                                | 83<br>3                    |   |        |
|          |          |             |  | Simple St                  | tatistics                        |                            |   |        |
|          |          |             | 7                                      | year                       | are                              | a                          | cfm50                                   |        |
|          |          | Mean<br>StD | 0.000000<br>1.000000                   |                            | 0.00000000<br>1.00000000         |                            | 000000000000000000000000000000000000000 |        |
|          |          |             |  | Correlatio                 | on Matrix                        |                            |   |        |
|          |          |             |  | year                       | area                             | cfm50                      |   |        |
|          |          |             | year<br>area<br>cfm50                  | 1.0000<br>0.0615<br>6144   | 0.0615<br>1.0000<br>0.4160       | 6144<br>0.4160<br>1.0000   |   |        |
|          |          |             | Eigenval                               | lues of the                | e Correlati                      | on Matrix                  |   |        |
|          |          |             | Eigenvalue                             | Differe                    | nce Prop                         | ortion C                   | umulative                               |        |
|          |          | 2           | 1.71442444<br>1.05702576<br>0.22854980 |                            | 597                              | 0.5715<br>0.3523<br>0.0762 | 0.5715<br>0.9238<br>1.0000              |        |
|          |          |             |  | Eigenve                    | ectors                           |                            |   |        |
|          |          |             |  | Prin1                      | Prin2                            | Pri                        | n3                                      |        |
|          |          | ar          | ea 0.3                                 | 587654<br>368800<br>720173 | 0.558395<br>0.828991<br>0.031118 | 4204                       | 28                                      |        |
|          |          | The         | SAS System                             |                            | 06:08 Sunda                      | y, October                 | 29, 2006                                | 7      |
|          |          |             |  | The MEANS                  | Procedure                        |                            |   |        |
|          |          | Anal        | ysis Variab                            | le : DISTA                 | NCE Distanc                      | e to Cluste                | r Seed                                  |        |
|          |          |             |  |                            | USS                              |                            |   |        |
|          |          |             |  | 108.3                      | 1201270                          |                            |   |        |
| 29, 2006 | 8        |             |  | The SA                     | S System                         | 06:0                       | 8 Sunday,                               | Octobe |
|          |          |             |  | Clus                       | ter=1                            |                            |   |        |
|          |          |             |  |                            |                                  |                            |   |        |
|          |          |             |  | The MEANS                  | Procedure                        |                            |   |        |
| Maximum  | Variable | N           | Mea                                    | an                         | Std Dev                          | Minim                      | um                                      |        |
| 1920 00  | year     | 10          | 1921.:                                 | 20 11                      | .1035530                         | 1900.                      | 00                                      |        |
| 1930.00  | area     | 10          | 1576.3                                 | 33 571                     | .1355746                         | 535.00000                  | 00                                      |        |
| 2284.80  |          |             |  |                            |                                  |                            |   |        |

Figure B.13 continued.....

|                     | ·        |    |         | Cluster=2   |            |
|---------------------|----------|----|---------|-------------|------------|
| Maximum             | Variable | N  | Mean    | Std Dev     | Minimum    |
| 2004.00             | year     | 61 | 1979.44 | 13.4021448  | 1940.00    |
| 2899.00             | area     | 61 | 1909.68 | 470.8881097 | 816.000000 |
| 5889.00             | cfm50    | 61 | 2961.55 | 1161.86     | 747.000000 |
|                     | ·        |    |         | Cluster=3   |            |
| Maximum             | Variable | N  | Mean    | Std Dev     | Minimum    |
| 1000 00             | year     | 12 | 1964.25 | 27.0458365  | 1905.00    |
| 1990.00             | area     | 12 | 3164.92 | 623.4906806 | 2250.00    |
| 4148.00<br>12305.89 | cfm50    | 12 | 7668.33 | 2272.49     | 4696.09    |

| ····· |             | 010 0                    |                          | <u> </u>                    |                    |                |
|-------|-------------|--------------------------|--------------------------|-----------------------------|--------------------|----------------|
|       | The         | SAS System               | 00                       | 5:08 Sunday,                | October 29, 2      | 006 9          |
|       |             |                          | The PRINCON              | MP Procedure                |                    |                |
|       |             |                          | bservation:<br>Variables | s 83<br>3                   |                    |                |
|       |             |                          | Simple St                | tatistics                   |                    |                |
|       |             |                          | prubre p                 | Latistics                   |                    |                |
|       |             |                          | year                     | area                        | c                  | £m50           |
|       | Mean<br>StD | 0.00000<br>1.00000       |                          | 0.000000000<br>1.000000000  | 0.00000            |                |
|       |             |                          | Correlatio               | on Matrix                   |                    |                |
|       |             |                          | year                     | area                        | cfm50              | ,              |
|       |             | year                     | 1.0000                   | 0.0615                      | 6144               |                |
|       |             | area<br>cfm50            | 0.0615<br>6144           | 1.0000<br>0.4160            | 0.4160<br>1.0000   |                |
|       |             | ///2 =                   |                          |                             |                    |                |
|       |             | Eigenva                  | alues of the             | e Correlation               | Matrix             |                |
|       |             | Eigenvalue               | Differe                  | nce Propor                  | tion Cumul         | ative          |
|       | 1           | 1.71442444               | 0.65739                  | 868 0.                      | 5715 0             | .5715          |
|       | 2<br>3      | 1.05702576<br>0.22854980 | 0.82847                  |                             |                    | .9238<br>.0000 |
|       | 5           | 0.22854980               |                          |                             | 0/62 1             | .0000          |
|       |             |                          | Eigenv                   | ectors                      |                    |                |
|       |             |                          | Prinl                    | Prin2                       | Prin3              |                |
|       | -           |                          | .587654                  | 0.558395                    | 0.585541           |                |
|       |             |                          | .368800<br>.720173       | 0.828991<br>0.031118        | 420428<br>0.693096 |                |
|       |             | The SAS Sy               | ystem                    | 06:08 Sun                   | day, October       | 29, 2006 11    |
|       |             |                          | The FASTCL               | US Procedure                |                    |                |
|       | Replace=    | FULL Radius              | s=0 Maxclu               | sters=4 Maxit               | er=3 Converg       | e=0.02         |
|       |             |                          | Initia                   | l Seeds                     |                    |                |
|       | Cluster     |                          | year                     | area                        | L                  | cfm50          |
|       | 1           | -1.6360                  |                          | -1.735501748                | 2.8955             | 07852          |
|       | 2<br>3      | -0.0093                  |                          | -1.793594150<br>3.045502901 |                    |                |
|       | 4           | -2.652                   | 062974<br>770835         | 2.643213020                 |                    |                |
|       | Mi          | nimum Distan             | nce Between              | Initial Seed                | ls = 3.795772      |                |
|       |             |                          | Iterati                  | on History                  |                    |                |
|       |             |                          | Po                       | lative Charge               | e in Cluster S     | eeds           |
| It    | eration     | Criterion                | 1                        | _                           | 3                  | 4              |
|       | 1<br>2      | 1.1720<br>0.6025         | 0.4837<br>0.0708         |                             | 0.5218<br>0.0454   | 0.2993<br>0    |
| L     |             | 0.0020                   |                          |                             |                    | ·····          |

| 5974<br>WARNIN                  | 0 0.0072  | 3 0.0217  | 0  |  |  |  |  |  |  |  |
|---------------------------------|---|---|--|--|--|--|--|--|--|--|
| WARNI                           |   |   |  |  |  |  |  |  |  |  |
|                                 | NG: Iteration   | limit reached   | without converg  | gence.   |  |  |  |  |  |  |
|                                 | Criterio  | n Based on Fin  | al Seeds = 0.  | 5968   |  |  |  |  |  |  |
|                                 |   | Cluster S   | ummary   |  |  |  |  |  |  |  |
| atween                          | RMS Std   |   |  | s Nearest  |  |  |  |  |  |  |
| Frequency                       | Deviation   | to Observa  | tion Exceede   | ed Cluster   |  |  |  |  |  |  |
| 10                              | 0.9042  | 2.  | 4190   | 4  |  |  |  |  |  |  |
| 52                              | 0.5173  | 1.  | 7091   | 3  |  |  |  |  |  |  |
| 18                              | 0.6616  | 2.  | 2039   | 2  |  |  |  |  |  |  |
| 3                               | 0.7406  | 1.  | 2003   | 1  |  |  |  |  |  |  |
| Statistics for Variables        |   |   |  |  |  |  |  |  |  |  |
| Variab                          | le Total S  | TD Within S   | TD R-Squar   | ce RSQ/(1-RSQ)   |  |  |  |  |  |  |
| year<br>area<br>cfm50<br>OVER-A | 1.000<br>1.000  | 00 0.674<br>00 0.615<br>00 0.611  | 04         0.56229           53         0.63498           52         0.63972   | 931.284633301.739574   |  |  |  |  |  |  |
| .2                              |   |   | bybeem   | oo.oo Sanaay, occober  |  |  |  |  |  |  |
| Repla                           | ace=FULL Radi   |   |  | 3 Converge=0.02  |  |  |  |  |  |  |
|                                 | Ps  | eudo F Statist  | ic = 46.76   |  |  |  |  |  |  |  |
|                                 | Approximate E   | xpected Over-A  | all R-Squared =  | 0.63022  |  |  |  |  |  |  |
|                                 | Cubic   | Clustering Cri  | terion = 0.5   | 504  |  |  |  |  |  |  |
| WARNING                         | : The two valu  | es above are i  | nvalid for corr  | related variables.   |  |  |  |  |  |  |
|                                 |   | Cluster   | Means  |  |  |  |  |  |  |  |
| Clus                            | ter   | year  | area   | cfm50  |  |  |  |  |  |  |
| 2<br>3                          | 0.37<br>0.32  | 5478149 -<br>9595588  |  | 1.370949444<br>-0.535725921<br>0.409193954<br>2.260920762  |  |  |  |  |  |  |
|                                 | с   | luster Standar  | d Deviations   |  |  |  |  |  |  |  |
| Clus                            | ter   | year  | area   | cfm50  |  |  |  |  |  |  |
| 2<br>3                          | 0.54<br>0.51  | 7789827<br>9690581  | 0.829465929<br>0.600557150<br>0.797586972  | 1.249229775<br>0.376878197<br>0.637937227<br>0.928492989   |  |  |  |  |  |  |
|                                 | ntroids<br>10<br>52<br>18<br>3<br>Variab<br>year<br>area<br>cfm50<br>OVER-A<br>.2<br>Repl<br>WARNING<br>Clus<br>1<br>2<br>3<br>4<br>Clus<br>1<br>2<br>3 | RMS Std<br>Prequency Deviation<br>proids<br>10 0.9042<br>52 0.5173<br>18 0.6616<br>3 0.7406<br>Variable Total S<br>year 1.000<br>area 1.000<br>ofm50 1.000<br>OVER-ALL 1.000<br>2<br>Replace=FULL Radi<br>Ps<br>Approximate E<br>Cubic<br>WARNING: The two valu<br>Cluster<br>1 -1.99<br>2 0.37<br>3 0.32<br>4 -1.83<br>Cluster<br>1 0.45<br>2 0.54<br>3 0.51 | Cluster S<br>Maximum Dist<br>RMS Std from<br>Prequency Deviation to Observa<br>throids<br>10 0.9042 2.<br>52 0.5173 1.<br>18 0.6616 2.<br>3 0.7406 1.<br>Statistics for<br>Variable Total STD Within S<br>year 1.00000 0.637<br>area 1.00000 0.615<br>OVER-ALL 1.00000 0.617<br>cfm50 1.00000 0.615<br>OVER-ALL 1.00000 0.615<br>OVER-ALL 1.00000 0.615<br>COVER-ALL 1.00000 0.615<br>Statistics for<br>Beeudo F Statist<br>Approximate Expected Over-A<br>Cubic Clustering Cri<br>WARNING: The two values above are i<br>Cluster<br>1 -1.993938979 -<br>2 0.375478149 -<br>3 0.329595588<br>4 -1.839398174<br>Cluster year<br>1 0.451566322<br>2 0.547789827<br>3 0.519690581 | Cluster Summary           Maximum Distance<br>Frequency         RMS Std         from Seed         Radius           10         0.9042         2.4190         52         0.5173         1.7091           18         0.6616         2.2039         3         0.7406         1.2003           Statistics for Variables           Variable         Total STD         Within STD         R-Squar           year         1.00000         0.61553         0.63972           overal         1.00000         0.61553         0.63972           OverAll         1.00000         0.61553           OverAll         1.00000           OverAll         0.63972           The FASTCLUS Procedure           Replace=FULL         Radius=0         Maxclusters=4         Maxiter=2           Pseudo F Statistic = 46.76           Approximate Expected Over-All R-Squared = |  |  |  |  |  |  |

|                 | The SA                | S System<br>The F            | 06:08<br>PRINCOMP Pr |                            | ober 29, 2006                  | 13      |
|-----------------|-----------------------|------------------------------|----------------------|----------------------------|--------------------------------|---------|
|                 |                       | Observ<br>Variab             | vations<br>bles      | 83<br>3                    |                                |         |
|                 |                       | c i -                        | mla Chatic           | tion                       |                                |         |
|                 |                       |                              | ple Statis           | area                       | cfm50                          |         |
|                 | Mean                  | year                         | 0.00                 | 0000000                    | 0.000000000                    |         |
|                 | StD                   | 1.000000000                  |                      | 0000000                    | 1.000000000                    |         |
|                 |                       | Corr                         | elation Ma           | atrix                      |                                |         |
|                 |                       | ye                           | ear                  | area o                     | cfm50                          |         |
|                 | ar                    | ar 1.00<br>rea 0.06<br>m5061 | 515 1.               | .0000 0.                   | 6144<br>4160<br>.0000          |         |
|                 |                       | Eigenvalues                  | of the Cor           | relation Mat               | rix                            |         |
|                 | Eig                   | jenvalue Di                  | fference             | Proportion                 | n Cumulative                   |         |
|                 | 2 1.0                 |                              | 65739868<br>82847597 | 0.5719<br>0.3523<br>0.0762 | 3 0.9238                       |         |
|                 |                       | F                            | Sigenvector          | rs                         |                                |         |
|                 |                       | Prin                         | 11                   | Prin2                      | Prin3                          |         |
|                 | year<br>area<br>cfm50 | 0.36880                      | 0.0                  | 328991 -                   | D.585541<br>420428<br>D.693096 |         |
| 29, 2006 15     |                       | נ                            | The SAS Sys          | stem                       | 06:08 Sunday,                  | October |
|                 |                       | The                          | MEANS Prod           | cedure                     |                                |         |
|                 | Analysi               | s Variable :                 | DISTANCE I           | Distance to (              | Cluster Seed                   |         |
|                 |                       |                              | ۲<br>88.7007         | JSS<br>288                 |                                |         |
| 29, 2006 16     |                       | :                            | The SAS Sys          | stem                       | 06:08 Sunday,                  | October |
|                 |                       |                              | - Cluster=3          | 1                          |                                |         |
|                 |                       | The                          | MEANS Prod           | cedure                     |                                |         |
| Var:<br>Maximum | iable N               | Mean                         | Std                  | Dev                        | Minimum                        |         |
| yea:            | r 10                  | 1921.20                      | 11.103               | 5530                       | 1900.00                        |         |

Figure B.13 continued.....

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| area               | 10       |          | 571.135   | 5746 535.0000   | 000 2284.80 |
|--------------------|----------|----------|-----------|-----------------|-------------|
| 12558.48           | cfm50    | 10       | 8068.10   | 3472.46         | 2915.49     |
|                    |          |          |           | - Cluster=2     |             |
| Maximum            | Variable | N        | Mean      | Std Dev         | Minimum     |
| 2004.00            | year     | 52       | 1979.46   | 13.4695904      | 1940.00     |
|                    | area     | 52       | 1802.05   | 413.5185557     | 816.0000000 |
| 2458.00<br>5889.00 | cfm50    | 52       | 2768.15   | 1047.60         | 747.000000  |
|                    |          |          | <b>-</b>  | Cluster=3       |             |
| Maximum            | Variable | N        | Mean      | Std Dev         | Minimum     |
|                    | year     | 18       | 1978.33   | 12.7786587      | 1953.00     |
| 2000.00            | area     | 18       | 2785.10   | 549.1850572     | 2160.00     |
| 4148.00<br>8173.40 | cfm50    | 18       | 5394.72   | 1773.26         | 1803.00     |
| 01,0110            |          |          |           |                 |             |
|                    |          |          |           | Cluster=4       |             |
| Maximum            | Variable | N        | Mean      | Std Dev         | Minimum     |
| 1040 00            | year     | 3        | 1925.00   | 18.0277564      | 1905.00     |
| 1940.00            | area     | 3        | 3543.67   | 341.2628508     | 3190.00     |
| 3871.00            | cfm50 3  | 10541.94 | 2580.92 7 | 579.70 12305.89 |             |

| ΑΡΤ      |       |                        |                       |                         | Untap    | ed     |                                       |               |                                       |                    |
|----------|-------|------------------------|-----------------------|-------------------------|----------|--------|---------------------------------------|---------------|---------------------------------------|--------------------|
| Test No. | CFM50 | Attic(P <sub>A</sub> ) | Duct(P <sub>D</sub> ) | Correlation Coefficient | Pda@50Pa | Pda@25 | Leakage coeff                         | Flow Exponent | CFM @25Pa                             | CFM Corrected@25Pa |
| 3        | 3513  | 48.5                   | 0.8                   | 99.81                   | 47.6     | 23.8   | 285.00                                | 0.64          | 2251.00                               | 2323.00            |
| 5        | 1720  | 47.4                   | 2.9                   | 99.98                   | 44.5     | 22.3   | 122.50                                | 0.67          | 1065.00                               | 1152.00            |
| 6        | 1744  | 49.6                   | 0.9                   | 99.96                   | 48.7     | 24.3   | 143.80                                | 0.64          | 1121.00                               | 1140.00            |
| 11       | 4241  | 47.8                   | 2.4                   | 99.92                   | 45.3     | 22.7   | 350.30                                | 0.64          | 2722.00                               | 2897.00            |
| 12       | 1156  | 48.4                   | 0.3                   | 99.92                   | 48.1     | 24.0   | 99.00                                 | 0.63          | 748.10                                | 766.80             |
| 13       | 3791  | 46.5                   | 1.5                   | 99.97                   | 45.0     | 22.5   | 327.40                                | 0.63          | 2456.00                               | 2624.00            |
| 14       | 5594  | 45.4                   | 1.2                   | 99.94                   | 44.1     | 22.1   | 515.20                                | 0.61          | 3670.00                               | 3962.00            |
| 19       | 6731  | 48.0                   | 1.1                   | 99.76                   | 46.9     | 23.4   | 532.90                                | 0.65          | 4291.00                               | 4473.00            |
| 20       | 4166  | 49.0                   | 1.2                   | 99.82                   | 47.8     | 23.9   | 297.90                                | 0.67          | 2608.00                               | 2687.00            |
| 21       | 2794  | 49.6                   | 0.7                   | 99.91                   | 48.9     | 24.4   | 182.40                                | 0.70          | 1725.00                               | 1753.00            |
| 26       | 1505  | 47.2                   | 0.5                   | 99.95                   | 46.7     | 23.3   | 120.80                                | 0.65          | 963.20                                | 1007.00            |
| 27       | 2180  | 45.8                   | 0.2                   | 99.96                   | 45.6     | 22.8   | 201.80                                | 0.61          | 1428.00                               | 1511.00            |
| 28       | 1720  | 48.4                   | 0.2                   | 99.96                   | 48.2     | 24.1   | 147.70                                | 0.63          | 1115.00                               | 1141.00            |
| _ 29     | 3450  | 48.4                   | 0.4                   | 99.51                   | 48.0     | 24.0   | 223.60                                | 0.70          | 2121.00                               | 2183.00            |
| 31       | 7038  | 36.7                   | 3.0                   | 99.94                   | 33.7     | 16.9   | 629.40                                | 0.62          | 4586.00                               | 5848.00            |
| 33       | 3857  | 46.3                   | 0.6                   | 99.89                   | 45.7     | 22.9   | 317.80                                | 0.64          | 2478.00                               | 2624.00            |
| 34       | 2005  | 48.2                   | 2.5                   | 99.97                   | 45.7     | 22.9   | 161.90                                | 0.64          | 1283.00                               | 1359.00            |
| 35       | 3264  | 43.5                   | 0.5                   | 99.97                   | 43.0     | 21.5   | 258.70                                | 0.65          | 2083.00                               | 2296.00            |
| 36       | 3163  | 49.3                   | 0.9                   | 99.28                   |          |        | 1                                     | -             | 1954.00                               |                    |
|          | 3161  |                        | 1.9                   | 99.65                   |          |        |                                       |               |                                       | 2204.00            |
| 38       | 3063  | 48.1                   | 0.8                   | 99.99                   | 47.3     | 23.7   | 254.70                                | 0.64          | 1973.00                               | 2044.00            |
| 39       | 2467  | 44.8                   | 1.3                   | 99.80                   | 43.5     | 21.8   | 200.30                                | 0.64          | 1582.00                               | 1730.00            |
| 40       | 1493  | 49.5                   | 0.3                   | 99.73                   | 49.2     | 24.6   | 119.90                                | 0.65          | 956.10                                | 965.80             |
| 42       | 1498  | 48.6                   | 0.4                   | 99.97                   | 48.2     | 24.1   | 124.80                                | 0.64          | 963.60                                | 986.20             |
|          | 3881  |                        | 0.2                   | 99.89                   |          | +      | f · · · ·                             | 1             |                                       | 2627.00            |
|          | 1     | 48.9                   | 0.5                   | 99.57                   |          |        | +                                     |               | · · · · · · · · · · · · · · · · · · · | 2025.00            |
|          | 3530  |                        |                       | 99.85                   |          |        |                                       | <u> </u>      | *·····                                | 2431.00            |
|          | 5857  |                        |                       | 99.97                   |          |        |                                       | +             |                                       | 4015.00            |
|          | 4898  |                        |                       | 99.88                   |          | 1      | 1                                     |               |                                       | 3458.00            |
|          | 3824  |                        |                       | 99.75                   |          |        | · · · · · · · · · · · · · · · · · · · |               | 1                                     | 2742.00            |
| 55       | 3033  | 47.9                   | 0.7                   | 99.83                   | 47.2     | 23.6   | 237.30                                | 0.65          | 1929.00                               | 2003.00            |

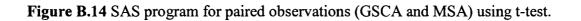
## Table B.2 Untaped data and calculations for GSCA.

| APT      |       |                         | ··                     |                         |          | Тарес     | ł             |               |           |                    |
|----------|-------|-------------------------|------------------------|-------------------------|----------|-----------|---------------|---------------|-----------|--------------------|
| Test No. | CFM50 | Attic(P' <sub>A</sub> ) | Duct(P' <sub>D</sub> ) | Correlation coefficient | Pda@50Pa | Pda@25 Pa | Leakage coeff | Flow Exponent | СFM @25Ра | CFM Corrected@25Pa |
| 3        | 2859  | 48.3                    | 43.1                   | 99.96                   | 5.20     | 2.60      | 245.70        | 0.63          | 1849.00   | 1907.00            |
| 5        | 1595  | 46.7                    | 30.0                   | 99.99                   | 16.80    | 8.40      | 124.90        | 0.64          | 989.60    | 1066.00            |
| 6        | 1697  | 49.9                    | 18.7                   | 99.75                   | 31.10    | 15.60     | 135.80        | 0.65          | 1086.00   | 1105.00            |
| 11       | 3904  | 47.7                    | 44.5                   | 99.91                   | 3.10     | 1.60      | 327.50        | 0.63          | 2521.00   | 2682.00            |
| 12       | 1113  | 49.0                    | 20.1                   | 99.65                   | 28.80    | 14.40     | 93.60         | 0.63          | 718.10    | 736.10             |
| 13       | 3384  | 47.3                    | 44.7                   | 99.93                   | 2.60     | 1.30      | 272.40        | 0.64          | 2165.00   | 2318.00            |
| 14       | 4856  | 46.3                    | 40.4                   | 99.93                   | 5.90     | 2.90      | 431.20        | 0.62          | 3162.00   | 3417.00            |
| 19       | 6450  | 48.8                    | 23.8                   | 99.89                   | 24.90    | 12.50     | 511.80        | 0.65          | 4121.00   | 4296.00            |
| 20       | 3908  | 48.9                    | 33.8                   | 99.79                   | 15.10    | 7.50      | 262.60        | 0.69          | 2420.00   | 2496.00            |
| 21       | 2595  | 48.7                    | 41.3                   | 99.88                   | 7.40     | 3.70      | 181.40        | 0.68          | 1619.00   | 1645.00            |
| 26       | 1389  | 47.6                    | 24.8                   | 99.98                   | 22.80    | 11.40     | 117.20        | 0.63          | 896.20    | 936.00             |
| 27       | 2004  | 45.3                    | 39.1                   | 99.98                   | 6.20     | 3.10      | 178.90        | 0.62          | 1308.00   | 1385.00            |
| 28       | 1559  | 48.6                    | 40.9                   | 99.98                   | 7.70     | 3.80      | 148.40        | 0.60          | 1027.00   | 1050.00            |
| 29       | 3137  | 48.2                    | 44.8                   | 98.16                   | 3.40     | 1.70      | 183.90        | 0.73          | 1897.00   | 1954.00            |
| 31       | 6559  | 36.0                    | 20.8                   | 99.96                   | 15.20    | 7.60      | 572.30        | 0.62          | 4251.00   | 5434.00            |
| 33       | 3402  | 46.6                    | 43.7                   | 99.91                   | 3.00     | 1.50      | 281.40        | 0.64          | 2187.00   | 2316.00            |
| 34       | 1603  | 48.6                    | 45.3                   | <u>99.98</u>            | 3.40     | 1.70      | 130.60        | 0.64          | 1028.00   | 1089.00            |
| 35       | 3185  | 43.7                    | 16.6                   | 99.97                   | 27.10    | 13.60     | 239.20        | 0.66          | 2015.00   | 2225.00            |
| 36       | 2803  | 47.9                    | 40.8                   | 99.92                   | 7.10     | 3.60      | 230.90        | 0.64          | 1800.00   | 1838.00            |
| 37       |       | 44.5                    |                        |                         | 2.90     | 1.40      | 181.60        | 0.70          | 1729.00   | 1957.00            |
| 38       | 2665  | 48.6                    | 43.6                   | 99.99                   | 5.00     | 2.50      | 224.70        | 0.63          | 1718.00   | 1780.00            |
| 39       | 2166  | 45.6                    | 44.1                   | 99.97                   | 1.50     | 0.80      | 186.50        | 0.63          | 1403.00   | 1531.00            |
| 40       | 1331  | 49.9                    | 46.6                   | 99.82                   | 3.30     | 1.70      | 118.20        | 0.62          | 866.80    | 875.30             |
| 42       | 1435  | 48.9                    | 20.1                   | 99.96                   | 28.80    | 14.40     | 121.10        | 0.63          | 926.10    | 947.70             |
| 43       | 3660  | 46.7                    | 33.2                   | 99.89                   | 13.50    | 6.80      | 284.00        | 0.65          | 2324.00   | 2451.00            |
| 44       | 2864  | 47.5                    | 27.1                   | 99.71                   | 20.40    | 10.20     | 170.50        | 0.72          | 1736.00   | 1778.00            |
| 45       | 3154  | 47.8                    | 40.0                   | 99.81                   | 7.90     | 3.90      | 240.70        | 0.66          | 2001.00   | 2143.00            |
| 47       | 5541  | 46.2                    | 39.8                   | 99.96                   | 6.40     | 3.20      | 490.90        | 0.62          | 3612.00   | 3846.00            |
| 53       | 4598  | 46.6                    | 36.4                   | 99.98                   | 10.20    | 5.10      | 405.80        | 0.62          | 2986.00   | 3260.00            |
| 54       | 3378  | 48.1                    | 40.1                   | 99.97                   | 8.10     | 4.00      | 324.40        | 0.60          | 2231.00   | 2377.00            |
| 55       | 2949  | 47.6                    | 26.2                   | 99.53                   | 21.40    | 10.70     | 170.50        | 0.73          | 1782.00   | 1858.00            |

 Table B.3 Taped data and calculations for GSCA.

| APT      |            |                              |           | le  |                   |
|----------|------------|------------------------------|-----------|---|-------------------|
| Test No. | SCF (GSCA) | Duct Leakage @25<br>Pa(GSCA) | SCF (MSA) | Duct Leakage by Normal<br>APT Method@25Pa | P <sub>A</sub> /P |
| 3        | 1.36       | 565.90                       | 1.38      | 554.80                                    | 96.90%            |
| 3<br>5   | 2.26       | 193.40                       | 2.23      |   | 94.82%            |
| 6        | 4.25       | 149.30                       | 3.81      | 132.20                                    | 99.20%            |
| 11       | 1.25       | 269.50                       | 1.31      | 264.40                                    | 95.50%            |
| 12       | 3.78       | 116.00                       | 3.51      | 105.60                                    | 96.76%            |
| 13       | 1.22       | 373.70                       | 1.31      | 379.40                                    | 93.04%            |
| 14       | 1.43       | 776.60                       | 1.52      |   | 90.72%            |
| 19       | 3.17       | 561.90                       | 2.91      | 495.00                                    | 95.98%            |
| 20       | 2.00       | 383.30                       | 1.92      | 360.60                                    | 98.06%            |
| 21       | 1.48       | 160.00                       | 1.47      |   | 99.16%            |
| 26       |            |                              | 2.78      |   | 94.32%            |
| 27       | 1.43       | 181.10                       | 1.59      | 191.90                                    | 91.56%            |
| 28       |            | 136.20                       | 1.49      | 131.20                                    | 96.86%            |
| 29       | 1.26       | 287.40                       | 1.30      | 291.60                                    | 96.80%            |
| 31       | 2.64       | 1092.00                      | 3.39      | 1135.00                                   | 73.44%            |
| 31<br>33 | 1.24       | 382.00                       | 1.35      | 393.40                                    | 92.50%            |
| 34       | 1.27       | 341.40                       | 1.28      | 324.90                                    | 96.44%            |
| 35       | 4.14       | 292.10                       | 4.34      | 296.10                                    | 87.08%            |
| 36       | 1.46       | 235.50                       | 1.50      | 231.00                                    | 98.68%            |
| 37       | 1.25       | 308.70                       | 1.45      | 316.50                                    | 87.60%            |
| 38       |            | 357.00                       | 1.36      | 345.30                                    | 96.18%            |
| 39       | 1.15       | 228.80                       | 1.33      | 237.70                                    | 89.68%            |
| 40       | 1.25       | 112.80                       | 1.21      | 108.00                                    | 99.04%            |
| 42       | 3.76       | 144.90                       | 3.53      | 132.40                                    | 97.20%            |
| 43       | 1.92       | 338.80                       | 1.97      | 335.00                                    | 92.62%            |
| 44       |            |                              |           | 603.30                                    | 97.82%            |
| 45       |            |                              |           | 424.00                                    | 95.20%            |
| 47       |            |                              |           | 237.80                                    |                   |
| 53       |            |                              |           | 310.50                                    |                   |
| 54       |            | 567.80                       | 1.54      | 540.10                                    |                   |
| 55       | 2.65       | 383.40                       | 2.62      | 385.70                                    | 95.76%            |

| /*****                      | *****           | *****         |
|-----------------------------|-----------------|---------------|
| / * COMMENTS                | NAME            | DATE MODIFIED |
| Initial Version             | Jinson Erinjeri |               |
| TWO SAMPLE T-TEST FOR PAIR  |                 | ,,,           |
| BETWEEN GSCA AND MSA.       |                 |               |
| ****                        | ****            | ******        |
|                             |                 |               |
| DATA ONE;                   |                 |               |
| INPUT DIFFNORTH;            |                 |               |
| CARDS;                      |                 |               |
| 11.13                       |                 |               |
| 23.83                       |                 |               |
| 17.09                       |                 |               |
| 5.10                        |                 |               |
| 10.40                       |                 |               |
| -5.70                       |                 |               |
| 3.68                        |                 |               |
| 66.85                       |                 |               |
| 22.71                       |                 |               |
| 3.81                        |                 |               |
| 16.01                       |                 |               |
| -10.89                      |                 |               |
| 4.96                        |                 |               |
| -4.16                       |                 |               |
| -42.34                      |                 |               |
| -11.38                      |                 |               |
| 16.58                       |                 |               |
| -4.08                       |                 |               |
| 4.46                        |                 |               |
| -7.87                       |                 |               |
| 11.71                       |                 |               |
| -8.81                       |                 |               |
| 4.82                        |                 |               |
| 12.52                       |                 |               |
| 3.82                        |                 |               |
| 5.83                        |                 |               |
| 18.64                       |                 |               |
| 6.98                        |                 |               |
| 30.31                       |                 |               |
| 27.67                       |                 |               |
| -2.34;                      |                 |               |
| PROC PRINT DATA=ONE;        |                 |               |
| PROC TTEST DATA=ONE;        |                 |               |
| VAR DIFFNORTH;              |                 |               |
| PROC TTEST DATA=TWO;        |                 |               |
| VAR DIFFSOUTH;              |                 |               |
| PROC UNIVARIATE DATA=CODULE | NUKMAL;         |               |
| VAR DIFFNORTH;<br>RUN;      |                 |               |
| KUN;                        |                 |               |



| The | SAS System                     | 07:08 | Tuesday,  | October | 10,  | 2006   | 1       |     |
|-----|--------------------------------|-------|-----------|---------|------|--------|---------|-----|
| Obs | DIFFNORTH                      |       |           |         |      |        |         |     |
| 1   | 11.13                          |       |           |         |      |        |         |     |
| 2   | 23.83                          |       |           |         |      |        |         |     |
| 3   | 17.09                          |       |           |         |      |        |         |     |
| 4   | 5.10                           |       |           |         |      |        |         |     |
| 5   | 10.40                          |       |           |         |      |        |         |     |
| 6   | -5.70                          |       |           |         |      |        |         |     |
| 7   | 3.68                           |       |           |         |      |        |         |     |
| 8   | 66.85                          |       |           |         |      |        |         |     |
| 9   | 22.71                          |       |           |         |      |        |         |     |
| 10  | 3.81                           |       |           |         |      |        |         |     |
| 11  | 16.01                          |       |           |         |      |        |         |     |
| 12  | -10.89                         |       |           |         |      |        |         |     |
| 13  | 4.96                           |       |           |         |      |        |         |     |
| 14  | -4.16                          |       |           |         |      |        |         |     |
| 15  | -42.34                         |       |           |         |      |        |         |     |
| 16  | -11.38                         |       |           |         |      |        |         |     |
| 17  | 16.58                          |       |           |         |      |        |         |     |
| 18  | -4.08                          |       |           |         |      |        |         |     |
| 19  | 4.46                           |       |           |         |      |        |         |     |
| 20  | -7.87                          |       |           |         |      |        |         |     |
| 21  | 11.71                          |       |           |         |      |        |         |     |
| 22  | -8.81                          |       |           |         |      |        |         |     |
| 23  | 4.82                           |       |           |         |      |        |         |     |
| 24  | 12.52                          |       |           |         |      |        |         |     |
| 25  | 3.82                           |       |           |         |      |        |         |     |
| 26  | 5.83                           |       |           |         |      |        |         |     |
| 27  | 18.64                          |       |           |         |      |        |         |     |
| 28  | 6.98                           |       |           |         |      |        |         |     |
| 29  | 30.31                          |       |           |         |      |        |         |     |
| 30  | 27.67                          |       |           |         |      |        |         |     |
| 31  | -2.34                          |       |           |         |      |        |         |     |
| The | TTEST Procedure                |       |           |         |      |        |         |     |
| Sta | tistics                        |       |           |         |      |        |         |     |
| LOW | er CL Uppe                     | r CT. | Lower CI. |         | IIni | per CL |         |     |
| Var | iable N                        | Mean  | Mean      | Mean    | Sto  | d Dev  | Std Dev | Std |
|     | Std Err Minimum                |       |           | neun    | 500  | I Dev  |         | beu |
|     | FNORTH 31 0.<br>009 3.226 -42. |       |           | 14.051  | 14   | 4.353  | 17.961  |     |
| Т-Т | ests                           |       |           |         |      |        |         |     |
| Var | iable DF t                     | Value | Pr >      | t       |      |        |         |     |
| DIF | FNORTH 30                      | 2.31  | 0.0       | 277     |      |        |         |     |

Figure B.15 SAS output for paired observations (GSCA and MSA) using t-test.

```
/* COMMENTS
                         NAME
                                               DATE MODIFIED
Initial Version
                       Jinson Erinjeri
                                                10/15/2005
TWO SAMPLE T-TEST FOR PAIRED OBSERVATIONS
BETWEEN PRESSURIZED AND DEPRESSURIZED STATES.
MACRO FOR FINDING SIGNIFICANT DIFFERENCES BETWEEN
CDL+VS.CDL-, TDL+VS.TDL-, CRL+VS.CRL- AND TRL+VS.TRL-
%LET PAR=CDL TDL CRL TRL;
%MACRO DIFF;
%DO I=1 %TO 4;
%LET ORDER=%SCAN(&PAR,&I);
DATA CODULE;
SET SASUSER.ℴ
DROP HOUSE PRESSURE HOUSE1 PRESSURE1;
RENAME FLOW=DEPRESSFLOW;
RENAME FLOW1=PRESSFLOW;
DIFFERENCE = FLOW-FLOW1;
PROC PRINT DATA=CODULE;
PROC TTEST DATA=CODULE;
VAR DIFFERENCE;
PROC UNIVARIATE DATA=CODULE NORMAL;
VAR DIFFERENCE;
RUN;
%END;
%MEND;
%DIFF;
```

Figure B.16 SAS program for pressurized and depressurized conditions using t-test.

| The SAS                     | System                | 21:0     | )5 Saturda           | y, October                | 29, 2005  | 43               |                      |          |
|-----------------------------|-----------------------|----------|----------------------|---------------------------|-----------|------------------|----------------------|----------|
|                             | -                     |          |                      | -                         |           |                  |                      |          |
|                             |                       | Obs DE   | PRESSFLOW            | PRESSFL                   | JOW DI    | FFERENCE         |                      |          |
|                             |                       | 1        | 143.33               | 168.6                     | 7         | -25.34           |                      |          |
|                             |                       | 2        | 309.33               | 282.6                     |           | 26.66            |                      |          |
|                             |                       | 3        | 282.00               | 263.3                     |           | 18.67            |                      |          |
|                             |                       | 4<br>5   | 125.00<br>339.00     | 137.0<br>243.6            |           | -12.00<br>95.33  |                      |          |
|                             |                       | 6        | 138.67               | 178.3                     |           | -39.66           |                      |          |
|                             |                       | 7        | 257.67               | 242.3                     |           | 15.34            |                      |          |
|                             |                       | 8        | 425.33               | 406.3                     |           | 19.00            |                      |          |
|                             |                       | 9        | 238.33               | 249.3                     |           | -11.00           |                      |          |
|                             |                       | 10<br>11 | 143.33<br>339.33     | 164.3<br>328.0            |           | -21.00<br>11.33  |                      |          |
|                             |                       | 12       | 115.53               | 126.0                     |           | -10.47           |                      |          |
|                             |                       | 13       | 152.67               | 140.6                     |           | 12.00            |                      |          |
|                             |                       | 14       | 263.00               | 234.6                     | 57        | 28.33            |                      |          |
|                             |                       | 15       | 2096.33              | 900.0                     |           | 1196.33          |                      |          |
|                             |                       | 16<br>17 | 76.67<br>169.00      | 109.3                     |           | -32.66           |                      |          |
|                             |                       | 18       | 254.67               | 174.6<br>265.6            |           | -5.67<br>-11.00  |                      |          |
|                             |                       | 19       | 1195.67              | 223.6                     |           | 972.00           |                      |          |
|                             |                       | 20       | 238.33               | 249.3                     | 33        | -11.00           |                      |          |
|                             |                       | 21       | 181.00               | 270.0                     |           | -89.00           |                      |          |
| ]                           |                       | 22       | 150.00               | 201.0                     |           | -51.00           |                      |          |
|                             |                       | 23<br>24 | 220.00<br>331.67     | 270.6<br>358.6            |           | -50.67<br>-27.00 |                      |          |
|                             |                       | 25       | 141.67               | 179.0                     |           | -37.33           |                      |          |
|                             |                       | 26       | 751.00               | 392.6                     |           | 358.33           |                      |          |
|                             |                       | The CA   |                      | 21.01                     | Caturda   | . Ortobar        |                      |          |
|                             |                       | The SAS  | 5 System             |                           |           | y, October       | c 29, 2005           | 44       |
|                             |                       |          | The T                | TEST Proced               | ure       |                  |                      |          |
|                             |                       |          | S                    | tatistics                 |           |                  |                      |          |
|                             | Lower CL              |          | Upper CL             | Lower CL                  |           | Upper CL         |                      |          |
| Variable N                  | Mean                  | Mean     | Mean                 | Std Dev                   | Std Dev   | Std Dev          | Std Err              |          |
| Minimum Maximum             |                       |          |                      |                           |           |                  |                      |          |
| DIFFERENCE 26<br>-89 1196.3 | -34.11                | 89.174   | 212.46               | 239.37                    | 305.22    | 421.33           | 59.859               |          |
|                             |                       |          |                      | T-Tests                   |           |                  |                      |          |
|                             |                       | Variabl  | le                   | DF t Val                  | lue Pr    | >  t             |                      |          |
|                             |                       | DIFFERI  | ENCE                 | 25 1.                     | .49       | 0.1488           |                      |          |
|                             |                       |          | ጥኮ                   | e SAS Syste               | m         | 21.05 60+        | urday, Octo          | -<br>ber |
| 29, 2005 45                 |                       |          | 111                  | e ono byste               | -111      | 21.03 340        | uruay, Ucto          | JUST     |
|                             |                       |          |                      | VARIATE Pro<br>le: DIFFEF |           |                  |                      |          |
|                             |                       |          |                      | Moments                   |           |                  |                      |          |
|                             | N                     |          |                      | 26 Sum V                  | Veights   |                  | 26                   |          |
|                             | Mean                  |          | 89.17384             | 62 Sum (                  | Observati | ons              | 2318.52              |          |
|                             | Std Devia             | ation    | 305.2238             |                           |           |                  | 161.6149             |          |
|                             | Skewness<br>Uncorrect | ed ss    | 3.100884<br>2535791. |                           | ected SS  |                  | 02338368<br>29040.37 |          |
|                             | Coeff Var             |          | 342.2795             |                           | Error Mea |                  | .8593271             |          |
| L,                          |                       |          |                      |                           |           |                  |                      |          |

Figure B.17 SAS output for pressurized and depressurized conditions using t-test.

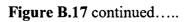
| Basic   | Statistical   | Measures  |  |   |
|---|---|---|--|---|
| Location  |   | Variabi   | ility  |   |
|   | 7350 Vari<br>0000 Rang  | Deviation<br>iance<br>ge                            | -<br>305.22388<br>93162<br>1285<br>nge 46.00000                            |   |
|   | Tests for Lo  | ocation: Mu0=0                                      | 0  |   |
| Test  | -Statist  | cicF  | o Value  |   |
| Student's<br>Sign<br>Signed Ran   | t t 1.489<br>M<br>k S   | 9723 Pr ><br>-2 Pr >=<br>-4 Pr >=                   | t  0.1488<br> M  0.5572<br> S  0.9214                                      |   |
|   | Tests for   | r Normality   |  |   |
| Test  | Stai  | tistic  | p Value  |   |
| Shapiro-Wilk<br>Kolmogorov-Smir:<br>Cramer-von Mise<br>Anderson-Darling | W<br>nov D<br>s W-Sq<br>g A-Sq                                  | 0.47145 H<br>0.425156 H<br>1.181899 H<br>5.928336 H | Pr < W <0.0001<br>Pr > D <0.0100<br>Pr > W-Sq <0.0050<br>Pr > A-Sq <0.0050 |   |
|   | Quantiles   | (Definition 5)                                      | )  |   |
|   | Quantile  | Estimate  |  |   |
|   | 100% Max<br>99%<br>95%<br>90%<br>75% Q3<br>50% Median<br>25% Q1 | 1196.330<br>972.000<br>358.330<br>19.000            |  |   |
|   | 10%   | -50.670   |  |   |
| The SAS   | System  | 21:05 Satu:   | rday, October 29, 2005 40  | 6 |
|   |   | IATE Procedure<br>DIFFERENCE                        | e  |   |
|   | Quantiles   | (Definition 5                                       | )  |   |
|   | Quantile  | Estimate  |  |   |
|   | 5%<br>1%<br>0% Min  | -51.000<br>-89.000<br>-89.000                       |  |   |
|   | Extreme   | Observations  |  |   |
| L   | owest   | Hig   | hest   |   |
| Value   | e Obs   | Value   | Obs  |   |
| -89.00<br>-51.00<br>-50.67  | 22  | 28.33<br>95.33<br>358.33                            | 5  |   |
| -39.66  | 6   | 972.00<br>1196.33                                   | 19   |   |

| Th                            | e SAS System               | 21:05 Sat           | turday, October                   | 29, 2005 47             |                          |
|-------------------------------|----------------------------|---------------------|-----------------------------------|-------------------------|--------------------------|
|                               | Obs                        | DEPRESSFLOW         | PRESSFLOW                         | DIFFERENCE              |                          |
|                               | 1                          | 234.33              | 426.33                            | -192.00                 |                          |
|                               | 2                          | 237.33              | 281.00                            | -43.67                  |                          |
|                               | 3                          | 639.33              | 557.33                            | 82.00                   |                          |
|                               | 4                          | 393.33              | 343.67                            | 49.66                   |                          |
|                               | 5                          | 275.33              | 279.67                            | -4.34                   |                          |
|                               | 6                          | 614.33              | 501.33                            | 113.00                  |                          |
|                               | 7                          | 634.67              | 492.67                            | 142.00                  |                          |
|                               | 8                          | 329.00              | 279.00                            | 50.00                   |                          |
|                               | 9                          | 654.67              | 468.67                            | 186.00                  |                          |
|                               | 10                         | 306.33              | 307.00                            | -0.67                   |                          |
|                               | 11                         | 558.00              | 410.33                            | 147.67                  |                          |
| 2                             | 12                         | 654.33              | 479.00                            | 175.33                  |                          |
|                               | 13                         | 293.33              | 269.00                            | 24.33                   |                          |
|                               | 14                         | 319.00              | 268.33                            | 50.67                   |                          |
|                               | 15                         | 380.00              | 340.33                            | 39.67                   |                          |
|                               | 16                         | 1974.00             | 799.67                            | 1174.33                 |                          |
| 1                             | 17                         | 344.67              | 319.00                            | 25.67                   |                          |
|                               | 18                         | 256.67              | 246.33                            | 10.34                   |                          |
|                               | 19                         | 374.33              | 339.33                            | 35.00                   |                          |
|                               | 20                         | 1093.00             | 306.00                            | 787.00                  |                          |
|                               | 20<br>21                   | 360.33              | 337.67                            | 22.66                   |                          |
|                               | 22                         | 329.00              | 349.00                            | -20.00                  |                          |
|                               | 23                         | 311.67              | 298.33                            | 13.34                   |                          |
|                               | 23                         | 315.67              | 302.33                            | 13.34                   |                          |
|                               | 24                         | 257.67              |                                   |                         |                          |
|                               | 25                         |                     | 334.33                            | -76.66                  |                          |
|                               | 28                         | 225.67              | 216.67                            | 9.00                    |                          |
|                               | 27                         | 1209.67             | 442.00                            | 767.67                  |                          |
|                               | The                        | SAS System          | 21:05 Sat<br>TEST Procedure       | urday, October          | 29, 2005 48              |
|                               |                            |                     |                                   |                         |                          |
|                               |                            | S                   | tatistics                         |                         |                          |
| Variable N<br>Minimum Maximum | Lower CL<br>Mean Mea       |                     | Lower CL<br>Std Dev Std           | Upper CL<br>Dev Std Dev | Std Err                  |
| DIFFERENCE 27<br>192 1174.3   | 15.056 132.6               | 54 250.23           | 234.08 297                        | 407.35                  | 57.205 -                 |
|                               |                            |                     | T-Tests                           |                         |                          |
|                               | Var                        | iable               | DF t Value                        | Pr >  t                 |                          |
|                               | DIF                        | FERENCE             | 26 2.32                           | 0.0285                  |                          |
| 29, 2005 49                   |                            | Th                  | e SAS System                      | 21:05 Sat               | urday, October           |
|                               |                            |                     | VARIATE Procedu<br>le: DIFFERENCE |                         |                          |
|                               |                            |                     | Moments                           |                         |                          |
|                               | N<br>Mean<br>Std Deviation | 132.6422<br>297.244 | 26 Variance                       | vations<br>883          | 27<br>3581.34<br>54.1501 |
|                               | Skewness                   | 2.522713            |                                   |                         | .8159968                 |
|                               | Uncorrected SS             |                     |                                   |                         | 97207.9                  |
|                               | Coeff Variation            | n 224.0947          | 53 Std Error                      | mean 57.                | 2046845                  |

Figure B.17 continued.....

| Basic                                  | Statistical                   | Measures      |  |    |
|--|-------------------------------|---------------|--|----|
|  |                               |               |  | ļ  |
| Location                               |                               | Vari          | ability                                  |    |
| Mean 132.6<br>Median 35.0<br>Mode 13.3 | 422 Std<br>000 Var<br>400 Ran | iance         | 297.24426<br>88354<br>1366               |    |
| Mode 13.3                              |                               |               | Range 133.00000                          |    |
|  | Tests for L                   | ocation: Mu   | 0=0                                      |    |
| Test                                   | -Statis                       | tic           | p Value                                  |    |
| Student's t                            | + 23                          | 1873 Pr       | >  t  0.0285                             |    |
| Sign                                   | М                             | 7.5 Pr        | >=  M  0.0059<br>>=  S  0.0013           |    |
| Signed Rank                            | S                             | 125 Pr        | >=  S  0.0013                            |    |
|  | Tests fo                      | or Normality  | ,  |    |
| Test                                   | Sta                           | tistic        | p Value                                  |    |
| Shapiro-Wilk                           | W                             |               | Pr < W <0.0001                           |    |
| Kolmogorov-Smirn                       | ov D                          | 0.317658      | Pr > D <0.0100<br>Pr > W-Sq <0.0050      |    |
|  |                               |               | Pr > W-Sq < 0.0050<br>Pr > A-Sq < 0.0050 |    |
|  | -                             |               | -  |    |
|  | Quantiles                     | (Definition   | 5)                                       |    |
|  | Quantile                      | Estima        | te                                       |    |
|  | 100% Max                      | 1174.         | 33                                       |    |
|  | 99%<br>95%                    | 1174.<br>787. |  |    |
|  | 90%                           | 767.          |  |    |
|  | 75% Q3                        | 142.          | 00                                       |    |
|  | 50% Media                     |               |  |    |
|  | 25% Q1<br>10%                 | 9.<br>-43.    |  |    |
| The SAS                                | System                        |               |  | 50 |
|  |                               | LIATE Proced  |  |    |
|  | Quantiles                     | (Definition   | 1 5)                                     |    |
|  | Quantile                      | Estima        | ate                                      |    |
|  | 5%                            | -76.          | 66                                       |    |
|  | 1%                            | -192.         |  |    |
|  | 0% Min                        | -192.         | .00                                      |    |
|  | Extreme                       | Observation   | 15                                       |    |
| Lov                                    | vest                          | H             | lighest                                  |    |
| Value                                  | Obs                           | Val           | lue Obs                                  |    |
| -192.00                                | 1                             | 175.          | .33 12                                   |    |
| -76.66                                 | 25                            | 186.          |  |    |
| -43.67<br>-20.00                       | 2<br>22                       | 767.          |  |    |
| -20.00                                 | 5                             | 787.<br>1174. |  |    |
|  | -                             |               |  |    |

|                               | - SNS System        | 21:05 Satı      | irday October                   | 29 2005 51                 |             |
|-------------------------------|---------------------|-----------------|---------------------------------|----------------------------|-------------|
| 1116                          | e SAS System        | 21:05 Sact      | irday, October                  | 29, 2003 51                |             |
|                               | Obs                 | DEPRESSFLOW     | PRESSFLOW                       | DIFFERENCE                 |             |
|                               | 1                   | 144.00          | 153.67                          | -9.67                      |             |
|                               | 2                   | 118.67          | 108.00                          | 10.67                      |             |
|                               | 3                   | 58.67           | 56.33                           | 2.34                       |             |
|                               | 4                   | 36.00           | 36.33                           | -0.33                      |             |
|                               | 5                   | 20.33           | 54.00                           | -33.67                     |             |
|                               | 6                   | 94.33           | 111.33                          | -17.00                     |             |
|                               | 7                   | 138.33          | 136.67                          | 1.66                       |             |
|                               | 8<br>9              | 943.67          | 456.67                          | 487.00                     |             |
|                               |                     | 93.67<br>98.67  | 100.00                          | -6.33<br>-21.33            |             |
|                               | 10<br>11            | 50.00           | 120.00<br>71.33                 | -21.33                     |             |
|                               | 11                  | 112.00          | 138.00                          | -21.33                     |             |
|                               | 12                  | 216.33          |                                 | -12.67                     |             |
|                               | 15                  | 210.35          | 229.00                          | 12.07                      |             |
|                               | The SAS S           | System 2        | 1:05 Saturday,                  | October 29, 2009           | 5 52        |
|                               |                     |                 | EST Procedure<br>atistics       |                            |             |
| Variable N<br>Minimum Maximum | Lower CL<br>Mean Me |                 | Lower CL<br>Std Dev Std         | Upper CL<br>Dev Std Dev Si | td Err      |
| DIFFERENCE 13<br>33.67 487    | -56.66 27.          | .18 111.02      | 99.49 138                       | .74 229.03                 | 38.48 -     |
|                               |                     |                 | T-Tests                         |                            |             |
|                               | Vai                 | riable D        | F t Value                       | Pr >  t                    |             |
|                               | DII                 | FFERENCE 1      | 2 0.71                          | 0.4935                     |             |
|                               | Tł                  | ne SAS System   | 21:05 Sa                        | turday, October 2          | 29, 2005 53 |
|                               |                     |                 | ARIATE Procedu<br>e: DIFFERENCE |                            |             |
|                               |                     |                 | Moments                         |                            |             |
|                               | N                   |                 | 3 Sum Weigh                     | ts                         | 13          |
|                               | Mean                |                 |                                 |                            | 53.34       |
|                               | Std Deviation       | 138.74140       | 2 Variance                      | 19249                      |             |
| 1                             | Skewness            | 3.5520786       | 7 Kurtosis                      | 12.72                      | 40455       |
|                               | Uncorrected SS      | 5 240593.90     |                                 |                            | 0.121       |
|                               | Coeff Variatio      | on 510.45401    | 9 Std Error                     | Mean 38.47                 | 99416       |
|                               |                     | Basic Sta       | tistical Measu                  | res                        |             |
|                               | Locat               | cion            | Varia                           | bility                     |             |
|                               | Mean                | 27.1800 S       | td Deviation                    | 138.7414                   | 0           |
|                               | Median              |                 | ariance                         | 1924                       |             |
|                               | Mode                | -21.3300 R      | ange                            | 520.6700                   |             |
| 1                             |                     | I               | nterquartile R                  | ange 22.9900               | 0           |
|                               |                     | Tests for       | Location: Mu0                   | =0                         |             |
|                               | Test                | -Stat           | istic                           | -p Value                   |             |
|                               | 0 L                 | atlat + 0       | 706242                          | t  0.4935                  |             |
|                               | Studer              | nt'st t O.<br>M |                                 | t  0.4935<br>=  M  0.2668  |             |
|                               |                     | Rank S          |                                 | =  S  0.1421               |             |
|                               |                     |                 |                                 | 1-1                        |             |
|                               |                     |                 |                                 |                            |             |
| L                             |                     |                 |                                 |                            |             |



|   |                  | Tests fo  | or Normality |                    |         |
|---|------------------|-----------|--------------|--------------------|---------|
|   | Test             | Sta       | tistic       | p Value            | -       |
|   |                  |           |              | r ·····            |         |
|   | Shapiro-Wilk     | W         | 0.397412     | Pr < W <0.000      | 1       |
|   | Kolmogorov-Smirn | ov D      | 0.470439     | Pr > D <0.010      | 0       |
|   |                  |           |              |                    |         |
|   | Clamer-von Mises | w-sq      | 0.705757     | Pr > W-Sq <0.005   | 0       |
|   | Anderson-Darling | A-Sq      | 3.530716     | Pr > A-Sq < 0.005  | 0       |
|   |                  | Quantilag |              | r)                 |         |
|   |                  | Quantiles | (Definition  | 5)                 |         |
|   |                  | Quantile  | Estimat      | ze                 |         |
|   |                  | 100% Max  |              |                    |         |
|   |                  | 998       | 487.0        | 00                 |         |
|   |                  | 95%       | 487.0        | 00                 |         |
|   |                  | 90%       | 10.0         | 57                 |         |
|   |                  | 75% Q3    | 1.0          |                    |         |
|   |                  |           |              |                    |         |
|   |                  | 50% Media |              |                    |         |
|   |                  | 25% Q1    | -21.3        | 33                 |         |
|   |                  | 10%       | -26.         | 00                 |         |
|   | The SAS S        |           |              | urday, October 29, | 2005 54 |
|   |                  |           | LIATE Proced |                    |         |
|   |                  | Quantiles | (Definition  | 5)                 |         |
|   |                  | Quantile  | Estima       | te                 |         |
|   |                  | 5%        | -33.         |                    |         |
|   |                  | 1%        | -33.4        | 57                 |         |
|   |                  | 0% Min    | -33.         | 67                 |         |
| l |                  | Extreme   | Observation  | 5                  |         |
|   | Lo               | west      | H            | ighest             |         |
|   | Value            | Obs       | Valu         | e Obs              |         |
|   | -33.67           | 5         | -0.3         | 3 4                |         |
|   | -26.00           | 12        | 1.6          |                    |         |
|   |                  |           |              |                    |         |
|   | -21.33           | 11        | 2.3          |                    |         |
|   | -21.33           | 10        | 10.6         |                    |         |
|   | -17.00           | 6         | 487.0        | 0 8                |         |
|   | The SAS System   | 21:05     | Saturday, O  | ctober 29, 2005 55 | 5       |
|   | Obs DEP          | RESSFLOW  | PRESSFLOW    | DIFFERENCE         |         |
| 1 | 1                | 98.00     | 152.00       | -54.00             |         |
|   |                  | 299.67    | 244.67       | 55.00              |         |
|   | 3                | 447.33    | 376.00       | 71.33              |         |
|   |                  | 297.67    | 299.00       | -1.33              |         |
|   |                  | 338.00    | 328.67       | 9.33               |         |
|   |                  |           |              |                    |         |
|   | 6                | 66.33     | 68.33        | -2.00              |         |
|   |                  | 333.67    | 282.67       | 51.00              |         |
|   | 8                | 351.67    | 330.33       | 21.34              |         |
|   |                  | 523.00    | 769.00       | 754.00             |         |
|   |                  | 151.00    | 120.00       | 31.00              |         |
|   |                  | 364.67    | 329.00       | 35.67              |         |
|   |                  |           |              |                    |         |
|   |                  | 291.67    | 258.00       | 33.67              |         |
|   |                  | 122.67    | 119.33       | 3.34               |         |
|   |                  | 277.67    | 259.67       | 18.00              |         |
|   | 15               | 309.33    | 299.33       | 10.00              |         |
|   |                  |           |              |                    |         |

|                                       | The SAS System 2  | 1:05 Saturday, October 29, 2005 56   |
|---------------------------------------|---|--|
|                                       | The   | TTEST Procedure  |
|                                       |   | Statistics   |
| Variable N<br>Minimum Maximum         |   | CL Lower CL Upper CL<br>n Std Dev Std Dev Std Dev Std Err  |
| DIFFERENCE 15<br>-54 754              | -37.11 69.09 175.2  | 9 140.4 191.78 302.45 49.516<br>T-Tests  |
|                                       | Variable  | DF t Value $Pr >  t $  |
|                                       | DIFFERENCE  |  |
| 29, 2005 57                           |   | The SAS System 21:05 Saturday, October   |
|                                       | -   | NIVARIATE Procedure<br>able: DIFFERENCE  |
|                                       |   | Moments  |
|                                       | N<br>Mean 6<br>Std Deviation 191.77<br>Skewness 3.7115<br>Uncorrected SS 586496<br>Coeff Variation 277.57 | 15       Sum Weights       15         59.09       Sum Observations       1036.35         76457       Variance       36778.2096         51744       Kurtosis       14.1361173         5.356       Corrected SS       514894.934         74841       Std Error Mean       49.5164684 |
|                                       | Basic   | Statistical Measures   |
|                                       | Location  | Variability  |
|                                       | Mean 69.09000<br>Median 21.34000<br>Mode .  | Std Deviation191.77646Variance36778Range808.00000Interquartile Range47.66000   |
|                                       | Tests   | for Location: Mu0=0  |
|                                       | Test -S   | Statisticp Value   |
|                                       | Student's t t<br>Sign M<br>Signed Rank S  | 1.395293 Pr > $ t $ 0.1847<br>4.5 Pr >= $ M $ 0.0352<br>45 Pr >= $ S $ 0.0084  |
|                                       | Tes   | sts for Normality  |
|                                       | Test  | Statisticp Value   |
|                                       | Shapiro-Wilk<br>Kolmogorov-Smirnov<br>Cramer-von Mises<br>Anderson-Darling                                | W       0.428214       Pr < W       <0.0001         D       0.428674       Pr > D       <0.0100  |
|                                       | Quant   | tiles (Definition 5)   |
|                                       | Quar  | ntile Estimate   |
| · · · · · · · · · · · · · · · · · · · |   |  |

Figure B.17 continued.....

|   |             | 100% Max   | 754.00          |             |         |
|---|-------------|------------|-----------------|-------------|---------|
|   |             | 998        | 754.00          |             |         |
|   |             | 95%        | 754.00          |             |         |
|   |             | 90%        | 71.33           |             |         |
|   |             | 75% Q3     | 51.00           |             |         |
|   |             | 50% Mediar | u 21.34         |             |         |
|   |             | 25% Q1     | 3.34            |             |         |
|   |             | 10%        | -2.00           |             |         |
|   | The SAS Sys | stem       | 21:05 Saturday, | October 29, | 2005 58 |
|   | -           | The UNIVAR | ATE Procedure   |             |         |
|   |             |            | DIFFERENCE      |             |         |
|   | (           | Quantiles  | (Definition 5)  |             |         |
|   |             | Quantile   | Estimate        |             |         |
|   |             | 5%         | -54.00          |             |         |
|   |             | 1%         | -54.00          |             |         |
|   |             | 0% Min     | -54.00          |             |         |
|   |             |            |                 |             |         |
|   |             | Extreme (  | bservations     |             |         |
|   | Low         | est        | Highest         |             |         |
|   | Value       | Obs        | Value           | Obs         |         |
|   | -54.00      | 1          | 35.67           | 11          |         |
|   | -2.00       | 6          | 51.00           | 7           |         |
| 4 | -1.33       | 4          | 55.00           | 2           |         |
|   | 3.34        | 13         | 71.33           | 3           |         |
| 1 | 9.33        | 5          | 754.00          | 9           |         |

Figure B.17 continued.....

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